On numerical simulation of high-speed CCD/CMOS-based wavefront sensors for adaptive optics

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ABSTRACT
Wavefront sensors, which use solid-state CCD or CMOS photosensors, are sources of errors in adaptive optics systems. Inaccuracy in the detection of wavefront distortions introduces considerable errors into wavefront reconstruction and leads to overall performance degradation of the adaptive optics system. The accuracy of wavefront sensors is significantly affected by photosensor noise. Thus, it is crucial to formulate high-level photosensor models that enable adaptive optic engineers to simulate realistic effects of noise from wavefront sensors. However, the complexity of solid-state photosensors and multiple noise sources makes it difficult to formulate an adequate model of the photosensor. Moreover, the characterisation of the simulated sensor and comparison with real hardware is often incomplete due to lack of comprehensive standards and guidelines. Owe to these difficulties, engineers work with oversimplified models of the wavefront sensors and consequently have imprecise numerical simulation results.

The paper presents an approach for the modelling of noise sources for CCD and CMOS sensors that are used for wavefront sensing in adaptive optics. Both dark and light noise such as fixed pattern noise, photon shot noise, and read noises, as well as, charge-to-voltage noises are described. Procedures for characterisation of both light and dark noises of the simulated photosensors are provided. Numerical simulation results of a photosensor for a high-frame rate Shack-Hartmann wavefront sensor are presented.

1. INTRODUCTION
Wavefront sensors (WFS), which use solid-state CCD or CMOS photosensors, are one of the main sources of errors in adaptive optics systems. Inaccuracy in the detection of wavefront distortions introduces considerable errors into the wavefront reconstruction and leads to overall performance degradation of adaptive optics system. However, the simulation of photosensors for WFS, primarily solid-state CCD or CMOS photosensors, is a challenging task.1,2 The main difficulty is that the photosensors is affected by many different sources of noise3 and non-linearity (e.g., ADC non-linearity, V/V and V/e− non-linearity) that cannot be modelled adequately using only Gaussian noise4 for performance estimation of the WFS.

Models of CMOS photosensors5–7 are often too specific8,9 and describe complicated solid-state physical processes10 in photosensors. The models of CCD photosensors are generally simpler.11–14 For instance, the CCD camera noise model by Cox12 includes noises such as photon shot and electronic shot noise, dark-current noise, readout noise; however the model suggests that noise is localised and stationary. Healey and Kondepudy15 model camera noise with offset fixed-pattern noise (FPN), photon and dark-current shot noises, read noise and photo response non-uniformity (PRNU). A more complete model was formulated by Farrell et al5 with optics and noise sources including read-out noise, dark-current, offset FPN, PRNU and photon shot noise.

Despite considerable efforts in modelling of noise in CCD/CMOS sensors, results are often either incomplete or obtained using oversimplified assumptions. This work attempts to the issue of the lack of high-level photosensor modelling tools that enable adaptive optics engineers to simulate realistic effects of noise on the images received from the WFS.

In this paper, physical processes that cause noises are outlined and references are provided for further details. The Photon Transfer technique is used16 for the simulation of the photosensor. Our model includes the light
source and optical propagation with Fresnel approximation and models of noise sources: photon shot noise, FPN (several models), a read noise (dark current shot noise, dark pixel and column FPN, kTC, flicker noise, etc.). Procedures for characterisation of both light and dark noises of the simulated sensor based on the EMVA1288 standard and other guidelines are sketched. An example of the simulated high-frame rate Shack-Hartmann wavefront sensor based on CMOS sensor is presented.

2. PHOTONS TO CHARGE CONVERSION

The ability of a semiconductor to produce electrons from incident photons is referred as quantum efficiency \( QE \). Quantum efficiency characterises the photon-to-photon efficiency from the pixel surface to the photosensor. In CMOS sensors, the QE is lower than that of a in CCD. In addition to lower internal QE, pixels in CMOS have a smaller photosensitive area that cause higher photon shot noise, higher current leakage, and more non-uniformities.

2.1 Photon shot noise

A process of photon capturing has an uncertainty that arises from random fluctuations when discrete photons are collected by the photodiode. Such uncertainty leads to photon shot noise and described by the Poisson probability distribution:

\[
p_i = \frac{P_i}{i!} e^{-P_i},
\]

where \( p_i \) is the probability that there are \( i \) interactions per pixel and \( P_i \) is the number of interacting photons. In the case of a high level of the light signal (more then 1000 arrival events of photons), Poisson distribution may be approximated by normal distribution.

2.2 Gain Fixed Pattern Noise / Photo Response Non-Uniformity

Gain fixed pattern noise (FPN) is the spatial variation in pixel output values under uniform illumination. This is due to pixel-to-pixel variations in the geometry during fabrication of the sensor, variations in substrate material or interference with the local circuitry. Gain FPN increases with illumination and exponentially increases with temperature. Typically, gain FPN is only 1-2\%, it is fixed for a given sensor but varies from sensor to sensor. The percentage of the FPN with signal should be constant until reaching a full well for the photodiode.

3. READ NOISE

Read noise is defined as any noise that is not a function of the signal and generally consists of: dark current shot noise, dark offset FPN, sense node reset noise, source follower noise, ADC quantising noise. Thus, the general read noise equation, according to, is:

\[
\sigma_{\text{READ}} = \sqrt{\sigma_{\text{DSHOT}}^2 + \sigma_{\text{DFPN}}^2 + \sigma_{\text{SN-RESET}}^2 + \sigma_{\text{SF}}^2 + \sigma_{\text{ADC}}^2}
\]

Read noise components are described in the following subsections.

3.1 Dark Current

Even in the absence of light, pixels generate electrons that are referred as the dark current. The dark current is mostly due to thermal generation. The amount of dark charge varies from pixel to pixel and doubles with every 6 – 8°C increase of temperature. The average dark current rate can be characterised by \( D_R [e^-/sec/pixel] \) and given by:

\[
D_R = 2.55 \cdot 10^{15} P_A D_{FM} T^{3/2} \exp \left(-\frac{E_{\text{gap}}}{2 \cdot kT}\right),
\]

where \( P_A \) is the pixel’s area [cm^2], \( D_{FM} \) is the dark current figure-of-merit (varies significantly with sensor manufacturer) at 300K [nA/cm^2], \( E_{\text{gap}} \) is the bandgap energy of the semiconductor which also varies with temperature, and \( k \) is Boltzman’s constant. Two forms of thermal dark current can be distinguished and simulated: dark current shot noise and offset FPN.

∗Some authors refer to gain fixed pattern noise as photo response non-uniformity (PRNU).
3.2 Dark shot noise

Additional shot noise from the dark current in the image sensor is called the dark current shot noise. The dark current shot noise is adequately described by the Poisson statistics as random arrival of the dark current electrons. Dark shot noise depends on the exposure time and the sensor temperature:

\[ \sigma_{D_{\text{shot}}} = \sqrt{D} \]

where \( D \) is the average dark current, \( D = t_I D_R \). Here \( D_R \) is the average dark current rate [\( e^-/\text{sec/pixel} \)] described above in Subsection 3.1.

3.3 Offset Fixed Pattern Noise / Dark Signal Non-Uniformity

Offset Fixed Pattern Noise (offset FPN) is a dark signal non-uniformity, which is the offset from the average dark current across the imaging array at a particular setting (temperature, integration time) in the absence of light. CMOS offset FPN is due to threshold differences in the pixel source follower amplifier, and can be column and pixel noise. Mathematically, offset FPN can be expressed as

\[ \sigma_{D_{\text{FPN}}} = D \cdot D_N \]

where \( D_N \) is the dark current FPN quality, which is typically between 10% and 40% for CCD and CMOS sensors, and \( D = t_I D_R \). Here \( D_R \) is the average dark current rate [\( e^-/\text{sec/pixel} \)] described above in Subsection 3.1.

CMOS sensors typically have higher dark FPN than CCDs. CMOS sensors also suffer from pixel FPN and column FPN. Column FPN is caused by an offset in the integrating amplifier, size variations in the integrating capacitor, and also variation of bias/offset voltages. Column FPN appears as “stripes” in the image and can result in significant image quality degradation. CMOS sensors also exhibit pixel FPN as well as CCD sensors. The pixel FPN is due to variation in the photodetector geometry, dark current and due to variations in transistors parameters. There are several approaches for the simulation of pixel and column FPN in CMOS sensors.

4. CHARGE TO VOLTAGE CONVERSION

After the charge is generated in the pixel by the photo-effect, it is moved to the sense node to convert charge into voltage. The sense node is shared in the case of a CCD, whilst in CMOS sensors the sense node is located inside each pixel. The charge collected in each pixel of a sensor array is converted to voltage by a sense capacitor and a source-follower amplifier.

The simulation of the charge-to-voltage conversion can be performed according to Photon Transfer technique. One can use the sense node gain \( A_{SN} \) as a parameter, which is typically \( 1 \ldots 5\mu V/e^- \). Conversion from charge to voltage can then be made as follows:

\[ I_V = V_{\text{REF}} + V_{\text{offset FPN}} + I_{e^-} \cdot A_{SN} \]

where \( V_{\text{REF}} \) is the reference voltage, \( V_{\text{offset FPN}} \) is the offset FPN, and \( I_{e^-} \) is the signal in electrons.

4.1 Sense node Reset noise (kTC noise)

Prior to the measurement of each pixel’s charge packet, the sense capacitor is reset to a reference voltage level. Noise is generated at the sense node by an uncertainty in the reference voltage level due to thermal variations in the channel resistance of the MOSFET reset transistor. The reference level of the sense capacitor is therefore different from pixel to pixel. The reset noise -voltage is given by:

\[ \sigma_{\text{RESET}}(V_{SN}) = \sqrt{kTC_{SN} / q} \]

where \( \sigma_{\text{RESET}}(V_{SN}) \) is reset noise voltage [V], \( R \) is the MOSFET channel resistance [Ohms], \( k \) is Boltzmann’s constant, the noise equivalent bandwidth \( B = 1/(4\tau) = 1/(4RC_{SN}) \), \( q \) is a charge of an electron, and \( T \) is the operation temperature [K].
**Sense node Reset noise: CCD case**  For CCD, the sense node reset noise is entirely removed by CDS.

**Sense node Reset noise: CMOS case**  In CMOS photosensors, it is difficult to remove the reset noise for the specific CMOS pixels architectures even after application of CDS. The CDS suppresses the low frequency noise components, although increasing thermal noise contributions.$^{27}$

### 4.2 Source follower noise

The source follower noise limits the read noise, however in high-end CCD and CMOS cameras the source follower noise has been driven down to one electron rms.$^{16}$ Nonetheless, the source follower noise noticeably increases with an increasing frame rate. The source follower noise consists of white noise, flicker noise ($1/f$ noise), and random telegraph noise (RTS).

#### 4.2.1 Johnson noise

Similarly to the sense node reset noise (see Subsection 4.1), the source-follower amplifier has a resistance that generates a thermal noise, which is governed by the Johnson white noise equation.$^{26}$ It is therefore either referred to as Johnson noise,$^{28}$ Johnson-Nyquist, or simply as white noise.$^{3}$

#### 4.2.2 Flicker ($1/f$) noise

The flicker noise is commonly referred as $1/f$ noise because of its approximate inverse dependence on frequency. For cameras in which pixels are read out at less than 1 MHz, the read noise floor is usually determined by $1/f$ noise.$^{26}$ Sources of $1/f$ noise in an image sensor are generated in the photo-diodes and the low-bandwidth analogue operation of MOS transistors due to imperfect contacts between two materials.

#### 4.2.3 Random Telegraph Signal (RTS) noise

The low-frequency noise increases$^{29}$ as the CCD and CMOS pixels shrink in dimension. In such devices, the low-frequency noise performance is dominated by Random Telegraph Signals (RTS) on top of the $1/f$ noise. The origin of such an RTS is attributed to the random trapping and emission of mobile charge carriers resulting in discrete modulation of the channel current.

### 5. VOLTAGE TO DIGITAL NUMBERS CONVERSION

After the sense node and the source follower, the data is digitised using an analogue to digital converter (ADC). In the simulations presented here, we use a simple linear model of an ADC. The resolution of an ADC is assumed as:

$$K_{ADC} = \frac{V_{\text{max}} - V_{\text{min}}}{N_{\text{max}}}$$  

where $V_{\text{max}}$ is maximum voltage that can be quantified, $V_{\text{min}}$ is minimum quantifiable voltage, and $N_{\text{max}} = 2^M$ is the number of voltage intervals ($M$ is ADC’s resolution in bits). The ADC’s output can be represented as:

$$ADC_{\text{Code}} = \text{round}\left[\frac{V_{\text{input}} - V_{\text{min}}}{K_{ADC}}\right]$$  

The ADC noise is terms of noise electrons can be expressed as:

$$\sigma_{ADC} = \frac{K_{ADC}}{\sqrt{12}}$$  

Furthermore we assume the ADC linear; however, the analogue to digital converters tends to have integral/differential non-linearity, which can influence on the data accuracy. Once can analyse the ADC non-linearity on photon transfer curve.

### 6. SIMULATION METHODOLOGY

The model of the photosensor for the Shack-Hartmann wavefront sensor was implemented using MATLAB® in a modular fashion. Each module adds specific noise to the signal. Using the above-formulated noise models, one can therefore simulate CMOS or CCD sensors by turning on or off the appropriate modules.
6.1 Photon to Charge conversion

The photon to electron conversion simulation is as follows. The light field is considered as a photon flux, and can be converted to photon quantities:

\[ Q_p = \frac{h \cdot c}{\lambda}, \]

where \( Q_p \) is the energy of a photon at wavelength \( \lambda \), Planck’s constant \( h \) and the speed of light \( c \). Irradiance \( I_{ph} \) of the light field is converted to \([\text{photons}/(m^2 \cdot \text{sec})]\) using Eq. 9. Next the signal in photons \( I_{ph} \) is converted to a signal in electrons \( I_{e^-} \):

\[ I_{e^-} = I_{ph} \cdot QE \cdot P \cdot t_I, \]

where \( QE \) is quantum efficiency for visible and near-IR light, \( P \) is the average number of incident photons per pixel, and \( t_I \) is integration (exposure) time.

6.1.1 Simulation of photon shot noise

Photon shot noise can be optionally added on this stage. For the simulation of shot noise, a matrix is generated according to the number of photons in each pixel using the `poissrnd` MATLAB function. This matrix represents the image incorporating photon shot noise.

6.1.2 Simulation of Gain FPN/PRNU

We use a Gaussian probability distribution to simulate Gain FPN/PRNU. This assumption is based on our experiments and estimation on hardware CMOS/CCD sensors.

6.2 Read noise simulation

The dark current is calculated using Eq. 2 and is added to the signal as a constant. The value of the dark current is then used by other simulation modules (dark shot noise, dark FPN, etc.) as a mean value for the noise.

6.2.1 Simulation of dark shot noise

The dark shot noise is simulated using the `poissrnd` function in MATLAB. We use the value of the pixel as the input of `poissrnd`, and on the output we have a signal with added uncertainty that is Poisson distributed random variable.

6.2.2 Simulation of offset FPN / DSNU

Simulation of the offset FPN is more difficult since for CCD and CMOS the noise is significantly different. Offset FPN for CCD image sensors is random. CCD sensors suffer only from pixel FPN due to spatial variation in the photodetector geometry and dark current. CMOS sensors typically have higher FPN than CCDs, and CMOS sensors suffer from both pixel FPN and column FPN.

**Simulation of dark FPN in CCD.** The dark FPN is modelled as a sample from a spatial white noise process\(^\text{24}\) in a CCD image sensor. This is justified by the fact that in a typical CCD sensor all pixels share the same output amplifier. For the dark FPN simulation of CCD sensors, with low dark current, it was reported\(^\text{30}\) that the Gamma distribution is the most appropriate.

**Simulation of dark FPN in CMOS.** Several methods of simulation of dark FPN can be considered. A statistical model for FPN in CMOS sensors was introduced in\(^\text{24}\). The FPN is represented as the sum of two components: a column and a pixel component. Each component is modelled by a first order isotropic autoregressive process, and the processes are assumed uncorrelated. The advantage of such a model is that parameters can be estimated easily and efficiently from the data of a hardware sensor.\(^\text{31}\)

Another concept of offset FPN modelling is reported in\(^\text{2}\). The proposed concept is to superimpose two distributions in order to achieve a more realistic noise distribution. The first distribution describes the main body of dark FPN and then a uniform distribution is superimposed to model “leaky” pixels. There are other reports\(^\text{30}\) that the Log-Normal distribution works well for conventional 3T APS CMOS sensors with comparatively high dark current.
6.2.3 Simulation of sense node reset noise / kTC noise

For the simulation of sense node reset noise, the notation of sense node gain is more convenient:

\[ \sigma_{\text{RESET}}(V_{SN}) = \sqrt{kT/A_{SN}}, \]  

(11)

where \( A_{SN} \) is a sense node gain (\( \mu V/e^- \)). Because reset noise can be significant (about 50 rms electrons), most high-performance cameras incorporate a mechanism to eliminate it, for instance, correlated double sampling (CDS). CDS is used in many analogue circuits to reduce offsets and reset noise. The idea is to sample the output twice: once right after reset and the second time with the signal present. In this way the offset and reset noise are eliminated by taking the difference.\(^{32}\)

6.2.4 Simulation of source follower noise

The power spectrum of the source follower noise can be described as:

\[ S_{SF}(f) = W(f)^2 \cdot \left(1 + \frac{f_c}{f}\right) + S_{RTS}(f), \]  

(12)

where \( W(f) \) is the thermal white noise \( [V/Hz]^{1/2} \), typically \( 15 nV/Hz^{1/2} \), flicker noise corner\(^{†}\) frequency \( f_c \) in [Hz]. The RTS power spectrum is given by:

\[ S_{RTS}(f) = \frac{2\Delta I^2 \tau_{RTS}}{4 + (2\pi f \tau_{RTS})^2}, \]  

(13)

where \( \tau_{RTS} \) is the RTS characteristic time constant [sec] and \( \Delta I \) is the source follower current modulation induced by RTS [A]. The source follower noise can be approximated as:

\[ \sigma_{SF} = \sqrt{\frac{\int_0^\infty S_{SF}(f)H_{CDS}(f)df}{A_{SN} A_{SF} (1 - \exp^{-t_s/\tau_D})}}, \]  

(14)

where \( \sigma_{SF} \) is the source follower noise [e\(^-\) rms], \( f \) is the electrical frequency [Hz], \( t_s \) is the CDS sample-to-sampling time [sec], \( \tau_D \) is the CDS dominant time constant, which is usually set to \( \tau_D = 0.5 t_s \) [sec]. The \( H_{CDS}(f) \) function is the CDS transfer function:

\[ H_{CDS}(f) = \frac{1}{2 - 2 \cos(2\pi f t_s)} \cdot \left[1 + (2\pi f \tau_D)^2\right] \]  

(15)

Here the first term sets the CDS bandwidth for the white noise rejection before sampling takes place through \( B = 1/(4\tau_D) \), where \( B \) is defined as the noise equivalent bandwidth [Hz].

In CCD photosensors, source follower noise is typically limited by the flicker noise.

In CMOS photosensors, source follower noise is typically limited by the RTS noise.\(^{16}\)

7. NUMERICAL SIMULATION OF THE CMOS PHOTOSENSOR IN THE SHACK-HARTMANN WAVEFRONT SENSOR

The model of a 1024 \( \times \) 1024 pixel CMOS photosensor was implemented in MATLAB® using the modular approach described above. The sensor was simulated using:

1. photon shot noise and PRNU for light noises (see Section 2);
2. dark current, dark shot noise and offset FPN (dark FPN) as described in Section 3

\(^{†}\)Flicker noise corner frequency is the frequency where the power spectrum of white and flicker noise are equal.
3. sense node reset noise and source follower noise (see Section 4).

The photosensor was considered linear, i.e. non-linearity such as ADC, V/V and V/e\textsuperscript{−} were not applied to the signal. The main parameters of the simulated photosensor for typical Shack-Hartmann wavefront sensor are summarised in Table 1.

<table>
<thead>
<tr>
<th>Sensor’s parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>wavelength λ</td>
<td>0.55μm</td>
</tr>
<tr>
<td>pixel size</td>
<td>5.00μm</td>
</tr>
<tr>
<td>pixel fill factor</td>
<td>50%</td>
</tr>
<tr>
<td>Full well</td>
<td>20000 e\textsuperscript{−}</td>
</tr>
<tr>
<td>QE</td>
<td>0.80</td>
</tr>
<tr>
<td>PRNU / FPN factor</td>
<td>1%</td>
</tr>
<tr>
<td>dark FPN factor</td>
<td>10%</td>
</tr>
<tr>
<td>Column FPN factor</td>
<td>0.10%</td>
</tr>
<tr>
<td>Temperature</td>
<td>300K</td>
</tr>
<tr>
<td>dark current figure of merit</td>
<td>1.00 nA/cm\textsuperscript{2}</td>
</tr>
<tr>
<td>Sense node gain</td>
<td>5.00 μV/e\textsuperscript{−}</td>
</tr>
<tr>
<td>Clock speed</td>
<td>20 MHz</td>
</tr>
<tr>
<td>ADC bit</td>
<td>10 bit</td>
</tr>
</tbody>
</table>

The realistic light fields for the numerical simulations were generated using the simulated Lambertian light source.\textsuperscript{33} The classical case “Object against the lens”\textsuperscript{34} was used for the simulation of lenslets in the Shack-Hartmann WFS. The lenslets were assumed free from optical defects.

The results below were obtained using the simulated CMOS photosensor with parameters summarised in Table 1. The assumed frame rate of the simulated photosensor was 1000 frames per second (affects the source follower noise, see Subsection 4.2). The radiometric function, the noise spectrogram, the signal-to-noise ratio, and the dependency of signal versus noise were estimated. Parameters such as PRNU and FPN ratio were evaluated from the measurements.

7.1 Radiometric function of the simulated photosensor

The radiometric function has been measured in order to confirm that the response of the simulated CMOS photosensor is linear. We simulated the flat-field scenes with different integration times and “registered” those scenes by the simulated photosensor. The integration time of the captured images was varied from 1 · 10\textsuperscript{−4} up to 1 sec., where a saturation occurs. Four images were taken and averaged for each integration time. For each flat-field image, a 512 × 512 pixels area from the centre of the averaged image was used. The mean value of the 512 × 512 pixels area forms the radiometric curve (see Fig. 1), and the standard deviation indicates the uncertainty of the measurements. One can see from the Fig. 1 that the simulated sensor is highly linear as expected.

7.2 Photon Transfer Curve for the simulated sensor

The Photon Transfer Curve (PTC) was measured using the following procedure. The scene that covers the dynamic range was simulated. Such a scene can be visually described as a linear gradient. There were 64 frames of such scene taken and averaged. Two arrays were evaluated from the averaged data: the mean values of pixels S\textsubscript{mean} and the standard deviations of pixels S\textsubscript{std}. Furthermore, the values of the signal were picked from the array S\textsubscript{mean} with a step of 1 DN. For each signal’s value in S\textsubscript{mean}, the corresponding standard deviation value from the array S\textsubscript{std} was found as an estimation of noise.\textsuperscript{35}
Simulation results  The resulting Photon Transfer Curve (PTC) plot is presented in Fig. 2a for various noise cases.

First, only the dark current shot noise was turned on; one can see the distinct slope of the PTC curve in Fig. 2a (marked by “+”).

In the second experiment we turned on shot noise, dark current and FPN (PRNU) with PRNU factor 0.01 as in Table 1. The slope of PTC changed because the FPN is directly proportional to the signal, which is consistent with.\(^\text{18}\)

The dark pixel FPN noise of factor 0.1 was added in the third experiment to the noise picture using the autoregressive model. As a result, the PTC was altered in the low-light region due to the additional FPN noise, as seen in Fig. 2a marked by “○” symbol.

A fourth experiment added the sense node reset noise (see Section 4.1) and the source follower noise (see Section 4.2) to the noise sources in the third experiment. One can see from the “▲” marked PTC in Fig. 2a that the overall noise has been increased in the low-light section even more.

Finally, we added the column FPN offset noise (marked with symbol “×” on the plots) that was of factor 0.1% from the reference voltage \(V_{REF}\). It is difficult to see on the image by visual inspection; as such noise severely degrades signal and SNR performance.
7.3 Signal-to-noise performance

From the theoretical standpoint, the signal-to-noise ratio (SNR) as a function of signal plotted on a log-log scale, must exhibit three different regimes.\textsuperscript{16} The shot noise regime occurs in intermediate-light conditions producing the slope 1/2 on the plot. Then the FPN regime begins to emerge in the middle and high levels of signal with zero slope on the SNR plot. Finally, the full well regime occurs at the saturation levels.

Simulation results The SNR dependence for the several of noise combinations has been calculated during the experiments with Photon Transfer Curve (see Subsection 7.2 above). The results of the simulations are presented in Fig. 2b. A consistent behaviour with theory is observed.

7.4 Noise spectrograms

The measurement approach of the noise spectrogram described in this section is based on the “Spectrogram Method”.\textsuperscript{17} The total noise is taken from a spectrogram of a single image. The spectrogram is computed by taking the mean of the amplitude of the FFT on each line. First, the mean value of the image is computed as:

\[
\mu_y = (1/MN) \sum_n \sum_m y(n, m).
\]

Then for the \(j\)-th of the \(M\) lines of the image, the amplitude of the FFT is computed. Next, the amplitude \(S_j\) of the FFT:

\[
S(n) = \sqrt{\frac{1}{M} \sum S_j(n)^2}
\]

is computed for the \(j\)-th line. The \(N + 1\) values \(S(n)\) with \(0 \leq n \leq N\) form the spectrogram of the image.

The mean of the squared transform is the variance of the noise, describing the total grey value noise, including all artefacts. It is computed as: \(\sigma^2_{y,\text{full}} = [1/(N + 1)] \cdot \sum S(n)^2\). The square of the height of the flat section seen in the spectrogram curve is the variance describing the white part of the noise. It is estimated by taking the median of the spectrogram. As seen from the spectrograms, the read noise can be considered as white. The non-whiteness\textsuperscript{17} coefficient was calculated as: \(F = \sigma^2_{y,\text{full}}/\sigma^2_{y,\text{white}}\) and should be \(F \approx 1\).

Simulation results First, read noise spectrograms for different integration times were estimated. For the \(t_1 = 5.0 \cdot 10^{-4}\) sec non-whiteness coefficient was \(F = 1.0016 \pm 0.0018\), for \(t_2 = 5.0 \cdot 10^{-2}\) sec \(F = 1.0029 \pm 0.0018\), and for \(t_3 = 5.0\) sec \(F = 1.0046 \pm 0.0018\) is in excellent consistency with the model.\textsuperscript{17} Uncertainness of the non-whiteness coefficient \(F\) was estimated from the standard deviation of 100 measurements of \(F\) (uncertainty is constant regardless of integration time).

![Figure 3. Three noise spectrograms: in darkness, at 50% light saturation, and at 90% light saturation.](image-url)

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Three spectrograms were calculated in order to estimate the noise statistics. One spectrogram was simulated in darkness with the integration time $t_{I1} = 5.0 \cdot 10^{-2}$ sec (non-whiteness coefficient was estimated $F = 0.9995 \pm 0.0017$). The second spectrogram was simulated with the illumination turned on and the integration time set such that the sensor is at 50% saturation with $t_{I2} = 3.5 \cdot 10^{-2}$ sec and estimated as $F = 0.9993 \pm 0.0017$. Finally, the third spectrogram was simulated with the integration time set to give 90% saturation with $t_{I3} = 6.5 \cdot 10^{-2}$ sec and the estimated $F = 1.0002 \pm 0.0017$. Three spectrograms, according to EMVA1288 standard, were drawn in one diagram (see Fig. 3) showing $S(n)/K\eta$ in $[p \sim]$ versus the spatial frequency $[1$/pixel$]$. According to the model, spectrograms should be flat with occasional peaks only. The results of the noise spectrogram for the simulated sensor in Fig. 3 are consistent with the model.

### 7.5 Dark noise performance

The dark current parameters and the resulting dark current can be characterised by plotting dark noise as a function of the dark current signal. The Photon Transfer Curve (PTC) generated without a light source is referred to as a Dark Transfer Curve (DTC). The DTC can provide insights on the noise picture of a photosensor.

**Simulation results** In order to measure the Dark Transfer Curve, we switched off the virtual light and conducted measurements in darkness by varying the integration time of the simulated photosensor. The comparison between the simulation of dark FPN using the autoregressive model and the Wald distribution is provided. The results of the DTC simulation are presented in Fig. 4 for various noise cases.

First, only the dark current was turned on. As expected, the constant noise level increases with the integration time according to Eq. 2. In the second experiment, we turned on the dark shot noise. The resulting DTC is of slope 1/2 as shown in Fig. 4 with data marked by “•” symbol.

The third experiment consisted of the dark current, the dark shot noise, and the dark pixel FPN. For the dark pixel FPN, we used Wald distribution combined with uniform distribution in order to simulate the dark FPN in CMOS sensors (especially non-cooled and on relatively long integration time). The dark pixel FPN was added with the dark noise factor of 0.1% and the Wald distribution parameter $\lambda_{Wald} = 2$. The resulting DTC in Fig. 2 is marked by the “▽” symbol. The slope of DTC has changed as compared with dark shot noise that is consistent with theoretical assumptions.

The forth experiment changed the dark pixel FPN noise to an autoregressive model of factor 0.1. As a result, it altered the noise levels, as seen as “▲” marked DTC in Fig. 2.

In the fifth experiment, we added sense node reset noise and source follower noise to the existing noise sources in the third experiment. One can see from the “■” marked DTC in Fig. 4 that the overall noise has been increased in the low-light part.

![Figure 4. Dark Transfer Curve for the simulated photosensor.](http://spiedl.org/terms)
Finally, the column FPN offset noise was added (marked with “□” symbol on the plot) that was of the factor 0.1% from the reference voltage $V_{REF}$. As before in PTC experiments, column offset FPN noise severely degrades signal and SNR performance. The behaviour of the noise is evidently different from the other noises, as seen in Fig. 4.

8. CONCLUSION

Accuracy of wavefront sensors used in adaptive optics is affected by noise in CCD or CMOS photosensors. Since most wavefront sensors use CCD or CMOS sensors, it is necessary to use an accurate model of the photosensor in numerical simulations. However, the model of photosensors is often based on oversimplified assumptions leading to inaccurate results of performance estimations of wavefront sensors. Hence, it is important to formulate a high-level model of photosensor that will enable engineers to simulate realistic effects of noise from wavefront sensors.

Using the formulated high-level model, a $1024 \times 1024$ pixels CMOS photosensor (which are commonly used in wavefront sensors) with a 10 bit ADC has been simulated. The results of simulation of the Photon Transfer curves (PTC) are consistent with theory and reveal the impact of specific noise sources to the whole noise picture. The spectrogram method has been used to show that the spectrum of the read noise as well as signal tends to be flat. The non-whiteness coefficient was calculated for three cases of the simulation and is $F \approx 1$, which is consistent with the model of the EMVA1288 standard. Numerical simulations and the comparison with a real CMOS sensor allow us to say that in case of CMOS sensors, skewed probability distributions are more adequate for description of dark FPN.

The results of numerical experiments are in good agreement with theory. The results reveal the difference between certain models of noise and provide an estimation of noise influence on the images quality. The formulated high-level model can be transformed from the simulation of CMOS photosensors to CCD. In this regard the model is flexible enough to be used for simulation either wavefront sensors or the scientific sensors for the astronomical objects registration.

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