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## A note on the velocity derivative flatness factor in decaying HIT

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We develop an analytical expression for the velocity derivative flatness factor,  $F$ , in decaying homogeneous and isotropic turbulence (HIT) starting with the transport equation of the third-order moment of the velocity increment and assuming self-preservation. This expression, fully consistent with the Navier-Stokes equations, relates  $F$  to the product between the second-order pressure derivative ( $\partial^2 p / \partial x^2$ ) and second-order moment of the longitudinal velocity derivative ( $(\partial u / \partial x)^2$ ), highlighting the role the pressure plays in the scaling of the fourth-order moment of the longitudinal velocity derivative. It is also shown that  $F$  has an upper bound which follows the integral of  $k^{*4} E_p^*(k^*)$  where  $E_p$  and  $k$  are the pressure spectrum and the wavenumber, respectively (the symbol \* represents the Kolmogorov normalization). Direct numerical simulations of forced HIT suggest that this integral converges toward a constant as the Reynolds number increases. *Published by AIP Publishing.* [<http://dx.doi.org/10.1063/1.4983724>]

Soon after Kolmogorov proposed his similarity hypotheses, hereafter denoted K41,<sup>1</sup> Landau drew attention to the effect of fluctuations of the turbulent kinetic energy dissipation rate,  $\epsilon$ , on the small-scale properties of turbulence (see, for example, the footnote on page 126 of the book by Landau and Lifshitz<sup>2</sup>). This prompted Kolmogorov to modify his initial similarity hypotheses. The modified hypotheses widely known as K62<sup>3</sup> were first presented in 1961 at an international colloquium in Marseille partly to inaugurate the establishment of the Institut de Mécanique Statistique de la Turbulence (IMST). Manifestations of the salient differences between K41 and K62 can be observed in the skewness factor ( $S \equiv \overline{(\partial u / \partial x)^3} / (\overline{(\partial u / \partial x)^2})^{3/2}$ ) and flatness factor ( $F \equiv \overline{(\partial u / \partial x)^4} / (\overline{(\partial u / \partial x)^2})^2$ ) of the longitudinal velocity derivative. While K41 predicts that  $S$  and  $F$  are universal constants, K62 suggests  $S \sim Re_\lambda^{\mu_1}$  and  $F \sim Re_\lambda^{\mu_2}$ ,  $\mu_1$  and  $\mu_2$  are small positive numbers ( $Re_\lambda$  is the Taylor microscale Reynolds number). It should be stated that these power-laws are obtained empirically.

While the merits and demerits of K41 or K62 continue to be vigorously debated, there is a lack of exact analytical expressions for  $S$  and  $F$  rigorously deduced from the Navier-Stokes equations, and which can be used for testing K41 and K62. Further, a major hurdle for testing K41 and K62 is the lack of an “infinitely” large Reynolds number. Consequently, researchers examined the behaviours of  $S$  and  $F$  as the Reynolds number increases from low to moderately high values.

In this letter, we develop an analytical expression for  $F$  in homogeneous and isotropic turbulence (denoted hereafter HIT), based on the Navier-Stokes equations and assuming

self-preservation (hereafter denoted SP). An expression of  $S$  which accounts for the dependence on  $Re_\lambda$  was reported elsewhere.<sup>4-6</sup>

Starting with the Navier-Stokes equations written at two separate and independent spatial points  $x$  and  $x'$ , the transport equation for  $\delta u_3 \equiv \overline{(\delta u)^3}$ :  $\delta u = u(x', t) - u(x, t)$ ,  $x' = x + r$  for decaying HIT can be expressed as<sup>7</sup>

$$\delta u_4 = \frac{6}{r^2} \int_0^r \overline{s(\delta u_2 \delta v_2)} ds - \frac{1}{r^2} \int_0^r s^2 T_{111} ds - \frac{2\nu}{r^2} \int_0^r s^2 E_{111} ds + \frac{2\nu}{r^2} \int_0^r \left\{ 4 + 4s \frac{\partial}{\partial s} + s^2 \frac{\partial^2}{\partial s^2} \right\} \delta u_3 ds - \frac{1}{r^2} \int_0^r s^2 \frac{\partial \delta u_3}{\partial t} ds, \quad (1)$$

where  $\delta u_4 \equiv \overline{(\delta u)^4}$ ,  $\overline{(\delta u_2 \delta v_2)} \equiv \overline{(\delta u)^2 (\delta v)^2}$ , and

$$T_{111} = \frac{3}{\rho} \overline{(\delta u)^2 \left( \frac{\partial \delta p}{\partial X} \right)}, \quad (2a)$$

$$E_{111} = 3(\delta u) \overline{\left\{ \left( \frac{\partial u}{\partial x} \right)^2 + \left( \frac{\partial u'}{\partial x'} \right)^2 \right\}} = 3(\delta u) \overline{\left\{ \left( \frac{\partial \delta u}{\partial x} \right)^2 + \left( \frac{\partial \delta u}{\partial x'} \right)^2 \right\}}. \quad (2b)$$

In the above equation and expressions, we used the transformation  $X = (x + x')/2$  and the fact that  $\partial u / \partial x' = \partial u' / \partial x = 0$ ;  $\delta p = p(x', t) - p(x, t)$  is the pressure increment (for the sake of simplicity, we incorporate  $1/\rho$  into  $p$ ). We now assume SP and write

$$\delta u_2 = u_2^2(t) f(r^*), \quad (3a)$$

$$\delta u_3 = u_3^3(t) g(r^*), \quad (3b)$$

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$$\delta u_4 = u_4^4(t)h(r^*), \quad (3c)$$

$$\delta v_2 = u_2^2(t)f_v(r^*), \quad (3d)$$

$$\overline{\delta u_2 \delta v_2} = u_2^4(t)f_{uv}(r^*), \quad (3e)$$

$$T_{111} = \frac{u_2^4(t)}{l(t)} \tilde{T}_{111}(r^*), \quad (3f)$$

$$E_{111} = \frac{u_2^3(t)}{l^2(t)} \tilde{E}_{111}(r^*), \quad (3g)$$

where  $r^* = r/l(t)$ ,  $l(t)$  is a length scale,  $u_2(t)$ ,  $u_3(t)$ , and  $u_4(t)$  are the velocity scales function of  $t$  while  $f$ ,  $g$ ,  $h$ ,  $f_v$ ,  $f_{uv}$ ,  $\tilde{T}_{111}$ , and  $\tilde{E}_{111}$  are the dimensionless functions of  $r^*$  only. For convenience, we hereafter drop the variable  $t$ . We introduce the skewness and flatness coefficients of the longitudinal velocity increment, viz.,  $S(\equiv \delta u_3/\delta u_2^{3/2})$  and  $F(\equiv \delta u_4/\delta u_2^2)$  as well as the mixed flatness coefficient  $F_{uv}(\equiv \overline{(\delta u)^2(\delta v)^2}/(\delta u_2)^2)$ . This allows us to express  $\delta u_4$ ,  $\delta u_3$ , and  $(\delta u)^2(\delta v)^2$  in terms of  $\delta u_2$ . Substituting (3) into (1), we obtain

$$\begin{aligned} Re_l F f^2 = & -\frac{Re_l}{r^{*2}} \int_0^{r^*} s^{*2} \tilde{T}_{111} ds^* - \frac{2}{r^{*2}} \int_0^{r^*} s^{*2} \tilde{E}_{111} ds^* \\ & + \frac{6Re_l}{r^{*2}} \int_0^{r^*} s^* F_{uv} f^2 ds^* \\ & + \frac{2}{r^{*2}} \int_0^{r^*} \left\{ 4 + 4s^* \frac{\partial}{\partial s^*} + s^{*2} \frac{\partial^2}{\partial s^{*2}} \right\} S f^{3/2} ds^* \\ & - \frac{l^2}{\nu u_2^3} \frac{\partial u_2^3}{\partial t} \frac{1}{r^{*2}} \int_0^{r^*} s^{*2} S f^{3/2} ds^* \\ & + \frac{l}{\nu} \frac{\partial l}{\partial t} \frac{1}{r^{*2}} \int_0^{r^*} s^{*3} \frac{\partial (S f^{3/2})}{\partial s^*} ds^*, \end{aligned} \quad (4)$$

where  $s^*$  is a dummy variable of integration. It can be shown<sup>8</sup> that when  $r^* \rightarrow \infty$ , the integrals approach finite values. Since the coefficients of the second and fourth terms on the right side of (4) are constant, then the SP constraints immediately follow

$$Re_l = C_1, \quad (5a)$$

$$\frac{l^2}{\nu u_2^3} \frac{\partial u_2^3}{\partial t} = C_2, \quad (5b)$$

$$\frac{l}{\nu} \frac{\partial l}{\partial t} = C_3, \quad (5c)$$

where  $Re_l = u_2 l/\nu$  is a scaling Reynolds number. The above constraints, i.e.,  $C_1$ ,  $C_2$ , and  $C_3$  must be independent of  $t$ , apply for all separations  $r^*$ ; the values of the constants depend on the choice of the scaling variables  $l$  and  $u_2$ .

We now shift our attention on the behaviour of (4) when  $r^* \rightarrow 0$ . We first rewrite (4) as

$$\begin{aligned} F_0 f^2 = & F_{uv,0} \frac{6}{r^{*2}} \int_0^{r^*} s^* f^2 ds^* - \frac{1}{r^{*2}} \int_0^{r^*} s^{*2} \tilde{T}_{111} ds^* \\ & - \frac{1}{Re_l} \frac{2}{r^{*2}} \int_0^{r^*} s^{*2} \tilde{E}_{111} ds^* \\ & + \frac{S_0}{Re_l} \frac{1}{r^{*2}} \int_0^{r^*} \left[ 2 \left( 4 + 4s^* \frac{\partial}{\partial s^*} + s^{*2} \frac{\partial^2}{\partial s^{*2}} \right) (f^{3/2}) \right. \\ & \left. - C_2 s^{*2} f^{3/2} + C_3 s^{*3} \frac{\partial (f^{3/2})}{\partial s^*} \right] ds^*, \end{aligned} \quad (6)$$

where we used  $\frac{\partial S}{\partial r^*} \simeq 0$  as  $r^* \rightarrow 0$ ; the subscript 0 refers to the values when  $r^* \rightarrow 0$ . When  $r^* \rightarrow 0$ , and using a Taylor series expansion up to  $r^{*4}$ , we have  $f \sim (\alpha r^{*2} - \frac{1}{12} \beta r^{*4})$ ,  $\tilde{T}_{111} \sim 3(\gamma_1 r^{*3} + \gamma_2 r^{*4})$ , and  $\tilde{E}_{111} \sim 6(\zeta_1 r^* + \zeta_2 r^{*2} + \zeta_3 r^{*3} + \zeta_4 r^{*4})$  where  $\alpha$ ,  $\beta$ ,  $\gamma_i$ , and  $\zeta_i$  are constants under SP and whose values depend on the choice of  $l$  and  $u_2$ , and, at this stage of the analysis, cannot be assumed to be  $Re_\lambda$ -independent. Substituting these expressions into (6) and equating terms of order 4 in  $r^*$  leads to

$$\begin{aligned} F_0 = & F_{uv,0} - \frac{1}{2} \gamma_1 \alpha^{-2} \\ & + \frac{1}{Re_l} \left\{ -2\zeta_3 \alpha^{-2} + \left[ 2 + \frac{1}{6} (3C_3 - C_2) \right] S_0 \alpha^{-1/2} \right\}. \end{aligned} \quad (7)$$

Further,  $\alpha = \frac{l^2}{u_2^2} \overline{(\frac{\partial u}{\partial x})^2}$ ,  $\beta = \frac{l^4}{u_2^2} \overline{(\frac{\partial^2 u}{\partial x^2})^2}$ ,  $\gamma_1 = \frac{l^4}{u_2^4} \overline{(\frac{\partial u}{\partial x})^2 \frac{\partial^2 p}{\partial x^2}}$ , and  $\zeta_3 = \frac{l^5}{u_2^2} \overline{(\frac{\partial u}{\partial x})^2 \frac{\partial^3 u}{\partial x^3}}$ . If we use  $l = \lambda$  ( $\lambda \equiv u' / \overline{(\partial u / \partial x)^2}^{1/2}$  is the Taylor microscale) and  $u_2 = u'$  (i.e.,  $\lambda$  and  $u'$  are the scaling variables in conformity with SP) then  $\alpha = 1$  and (7) become

$$F_0 = F_{uv,0} - \frac{1}{2} \gamma_1 + \frac{1}{Re_\lambda} \left\{ -2\zeta_3 + \left( 2 + \frac{1}{6} (3C_3 - C_2) \right) S_0 \right\}. \quad (8)$$

As  $Re_\lambda \rightarrow \infty$ ,  $S_0/Re_\lambda \rightarrow 0$ , regardless of whether  $S_0$  is constant (K41) or varies as  $Re_\lambda^a$  ( $0 < a < 1$ ) (K62). Thus, as  $Re_\lambda \rightarrow \infty$ , (8) reduces to

$$F_0 = F_{uv,0} - \frac{1}{2} \gamma_1 - \frac{2\zeta_3}{Re_\lambda}. \quad (9)$$

It was shown<sup>9,10</sup> that  $\frac{6}{r^2} \int_0^r s \overline{(\delta u_2 \delta v_2)} ds \simeq \delta u_4$  at all separations or equivalently  $F \simeq F_{uv}$ . This is consistent with the measured ratio  $F_{uv,0}/F_0$  in several flows,<sup>11</sup> where it is shown that this ratio is practically independent of  $Re_\lambda$ , although its magnitude depends on the flow geometry. It was also shown<sup>12</sup> that for HIT, the fourth-order velocity derivative moments can be expressed in terms of four rotational invariants of the velocity-deformation tensor,  $I_\alpha$  ( $\alpha = 1, 2, 3$ , and 4) such as  $\overline{(\partial u / \partial x)^4} = 4I_1/105$ ,  $\overline{(\partial u / \partial x)^2 (\partial v / \partial x)^2} = I_1/105 + I_2/70 - I_3/105$ , where  $I_1 = (\omega_1^2 + \omega_2^2 + \omega_3^2)^2$ ,  $I_2 = (\omega_1^2 + \omega_2^2 + \omega_3^2)(s_1^2 + s_2^2 + s_3^2)$ , and  $I_3 = (\omega_1^2 s_1^2 + \omega_2^2 s_2^2 + \omega_3^2 s_3^2)$ , and  $\omega_i$  and  $s_i$  are the components of the vorticity and strain rate, respectively. Accordingly, we can write

$$\frac{F_{uv,0}}{F_0} = \frac{I_1/105 + I_2/70 - I_3/105}{4I_1/105} = \frac{1}{4} + \frac{105}{280} \frac{I_2}{I_1} - \frac{1}{4} \frac{I_3}{I_1}. \quad (10)$$

Thus, (9) becomes

$$F_0 \left( \frac{3}{4} - C \right) = -\frac{1}{2} \gamma_1 - \frac{2\zeta_3}{Re_\lambda}, \quad (11)$$

with  $C = \frac{105}{280} \frac{I_2}{I_1} - \frac{1}{4} \frac{I_3}{I_1}$ . A study<sup>15</sup> reported measured  $I_i$  in grid turbulence and found no discernible Reynolds number dependence on the ratios  $I_2/I_1$  and  $I_3/I_1$  over a range of  $Re_\lambda = 27-100$ , despite scatter in the data. The results of this study are consistent with others.<sup>12,13,16</sup> We report in Fig. 1 the dependence of the ratios  $\frac{I_i}{I_1}$  ( $i = 2, 3$ , and 4) on  $Re_\lambda$ .<sup>13,14</sup> There is a clear plateau in each ratio when  $Re_\lambda \geq 200$ , which implies

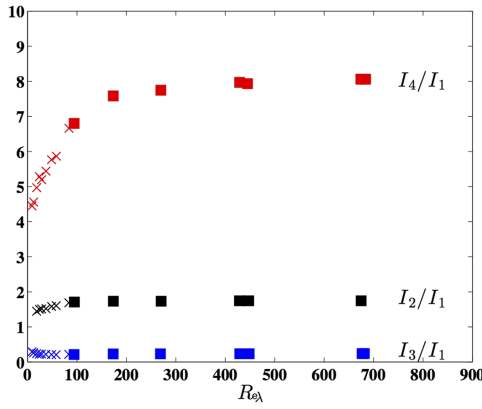


FIG. 1. Dependence of the ratios  $\frac{I_i}{I_1}$  ( $i = 2, 3$ , and  $4$ ) on  $Re_\lambda$ .  $\times$ :<sup>13</sup>  $\square$ :<sup>14</sup>

that  $C$  is a constant independent of  $Re_\lambda$ . Estimating  $\frac{I_2}{I_1} \simeq 1.75$  and  $\frac{I_3}{I_1} \simeq 0.25$  gives  $C \simeq 3/5$ , thus yielding

$$F_0 \simeq -\frac{10}{3}\gamma_1 - \frac{40}{3}\frac{\zeta_3}{Re_\lambda}. \quad (12)$$

Since experimental and numerical evidence shows that  $F_0$ , which is positive, does not approach zero as  $Re_\lambda \rightarrow \infty$ , the right side of (12) must also remain positive. It is straightforward to show that keeping the dominant terms in (6) when  $r^* \rightarrow 0$  leads to

$$3\zeta_1 r^{*2} \simeq 11r^{*2}, \quad (13)$$

yielding  $\zeta_1 \simeq 11/3$ , a constant independent of  $Re_\lambda$ . Recalling the expression  $\tilde{E}_{111} \sim 6(\zeta_1 r^* + \zeta_2 r^{*2} + \zeta_3 r^{*3} + \zeta_4 r^{*4})$  as  $r^* \rightarrow 0$ , the coefficients  $\zeta_2$ ,  $\zeta_3$ , and  $\zeta_4$  must be either independent of  $Re_\lambda$  or becoming  $Re_\lambda$ -independent as  $Re_\lambda$  increases if indeed  $\tilde{E}_{111} \sim r^{*2}$  as  $r^* \rightarrow 0$  at all  $Re_\lambda$  ( $\zeta_2$  is in fact zero since there is no  $r^{*3}$  term in (6) when  $r^* \rightarrow 0$ ). This would lead to  $\zeta_3/Re_\lambda \rightarrow 0$  as  $Re_\lambda \rightarrow \infty$ , yielding

$$F_0 \simeq -\frac{10}{3}\gamma_1 = -\frac{10}{3}\frac{\lambda^4}{u'^4} \overline{\left(\frac{\partial u}{\partial x}\right)^2 \left(\frac{\partial^2 p}{\partial x^2}\right)}. \quad (14)$$

We note that  $\gamma_1$  is a (negative) constant under the framework of SP used to derive (14) as the turbulence decays. However, it remains to determine whether or not it is  $Re_\lambda$ -dependent. Of course, from the definition of  $F_0$ , we also have

$$F_0 = 225 \left(\frac{\nu}{\bar{\epsilon}}\right)^2 \overline{\left(\frac{\partial u}{\partial x}\right)^4}, \quad (15)$$

which leads to

$$-\frac{10}{3} \overline{\left(\frac{\partial u}{\partial x}\right)^2 \left(\frac{\partial^2 p}{\partial x^2}\right)} \simeq \overline{\left(\frac{\partial u}{\partial x}\right)^4}. \quad (16)$$

Using the Kolmogorov scales to normalize both sides of (16) leads to

$$-\frac{10}{3} \overline{\left(\frac{\partial u^*}{\partial x^*}\right)^2 \left(\frac{\partial^2 p^*}{\partial x^{*2}}\right)} \simeq \overline{\left(\frac{\partial u^*}{\partial x^*}\right)^4} = F_0/15^2, \quad (17)$$

(the symbol  $*$  represents the Kolmogorov normalization; we used  $(\lambda/u')^4 = 15^2(\eta/\nu_K)^4$  where  $\eta$  and  $\nu_K$  are the

Kolmogorov length and velocity scales, respectively). Applying now the Schwarz inequality on the left side of (17), we obtain

$$F_0 \leq \left(\frac{150}{3}\right)^2 \overline{\left(\frac{\partial^2 p^*}{\partial x^{*2}}\right)^2} \quad (18)$$

or

$$F_0 \leq \left(\frac{150}{3}\right)^2 \int_0^\infty k^{*4} E_p^*(k^*) dk^* \quad (19)$$

where  $E_p^*$  is the Kolmogorov normalized pressure spectrum. Expression (19) reveals that whether or not  $F_0$  becomes a constant as  $Re_\lambda \rightarrow \infty$  depends on the behaviour of the integral of  $k^{*4} E_p^*(k^*)$ . Since at very high Reynolds numbers, the small-scale turbulence in decaying HIT becomes stationary, (19) should also apply to a stationary (forced) 3D periodic box turbulence. We thus reproduce in Fig. 2 the distributions of  $k^{*4} E_p^*(k^*)$  at several  $Re_\lambda$  in high Reynolds number direct numerical simulations (DNSs) of forced 3D periodic box turbulence.<sup>17</sup> The distributions appear to converge toward a universal distribution with increasing  $Re_\lambda$ , which would imply that the integral of  $k^{*4} E_p^*(k^*)$  approaches a constant. DNS results at  $Re_\lambda$  higher than the maximum one reported in Fig. 2 should confirm whether or not the left side of (19) converges toward a true constant (i.e., independent of  $Re_\lambda$ ).

In summary, an expression for the velocity derivative flatness factor,  $F_0$  (see Eq. (14)), is derived from the transport equation for the third-order moment of the longitudinal velocity increment by assuming self-preservation for decaying homogeneous and isotropic turbulence. It is found that  $F_0$  should remain constant during the decay under self-preservation conditions. Further, it is shown that  $F_0$  has an upper bound which follows the integral of  $k^{*4} E_p^*(k^*)$  (see (19)). Results of DNS of forced periodic box turbulence suggest that this integral approaches a universal constant when  $Re_\lambda$  becomes relatively large. If this result is confirmed at higher Reynolds numbers than those reported in Fig. 2, it would then imply that  $F_0$  reaches a constant, likely to be smaller than the right side of (19), as  $Re_\lambda \rightarrow \infty$ , in agreement with K41 but in contradiction with K62.

An important observation that can be drawn from this work is that an accurate assessment of the behaviour of  $F_0$

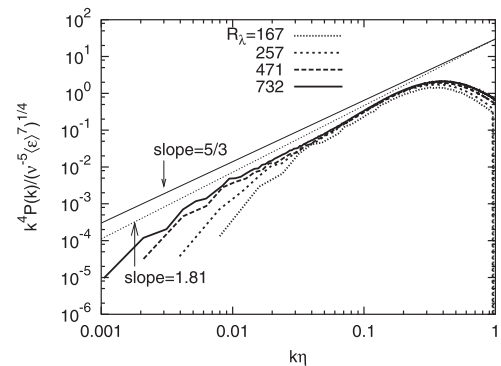


FIG. 2. Normalized spectra  $k^{*4} E_p^*(k^*)$  at several  $Re_\lambda$  (denoted  $R_\lambda$  in the figure) in a forced 3D periodic box turbulence. This figure<sup>17</sup> is partly reproduced with permission from Ishihara *et al.*, “Spectra of energy dissipation, enstrophy and pressure by high-resolution direct numerical simulations of turbulence in a periodic box,” *J. Phys. Soc. Jpn.* **72**, 983–986 (2003). Copyright 2003 Journal of the Physical Society of Japan.

in HIT as the Reynolds number increases requires results at  $Re_\lambda$  at least larger than the largest one reported in Fig. 2. It is likely that only DNS will provide those results. However, DNS faces a difficult challenge imposed by the resolution requirement associated with very high Reynolds number simulations. For example, it was estimated<sup>18</sup> that DNS with a mesh size resolution of about  $\eta \approx LRe^{-3/4}$  ( $L$  is an integral length scale and  $Re$  a large-scale Reynolds number) cannot accurately predict the properties of violent (small-scale) events.

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