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1 **INITIAL FINDINGS IN THE SENSITIVITY AND SPECIFICITY OF THE TOE BRACHIAL INDEX IN DETECTING**
2 **PERIPHERAL ARTERIAL DISEASE**

3 ORIGINAL ARTICLE

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27 **Keywords:** toe-brachial index, ankle-brachial index, sensitivity, specificity, peripheral arterial disease

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32 **Abstract**

33 **Objectives** -The toe-brachial index (TBI) is an alternative to the ankle-brachial index (ABI) to screen
34 for peripheral arterial disease (PAD) however, there is limited evidence comparing their diagnostic
35 accuracy. This study compared the diagnostic accuracy of the ABI and TBI in a population at risk of
36 PAD.

37 **Method** –Sensitivity and specificity of the ABI and TBI were determined using colour duplex
38 ultrasound. Receiver operating characteristic (ROC) analysis was performed.

39 **Results** – 119 participants were recruited (M: 75 F: 44). Sensitivity for PAD was highest for the TBI
40 (TBI:70%, ABI: 45%) and specificity highest for the ABI (ABI:92%, TBI: 78%). ROC analysis indicated
41 the TBI (ROC area: 0.77 p=0.0001) had greater clinical efficacy for the diagnosis of PAD than the ABI
42 (ROC area:0.65, p=0.005).

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44 **Conclusion** In specific populations the TBI may have greater clinical efficacy than the ABI for the
45 diagnosis of PAD.

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49 **Introduction**

50 Peripheral arterial disease (PAD) involves the progressive stenosis and, potentially, occlusion of
51 arterial beds supplying the lower extremity through the development of atherosclerosis. The risk of
52 PAD increases with age, affecting 21% of those over the age of 65, and in the presence of risk factors
53 such as smoking, diabetes, dyslipidaemia and hypertension (1, 2). As many PAD sufferers are
54 asymptomatic, the condition is highly under-recognised (3) and if untreated can ultimately lead to
55 the development of wounds, gangrene and amputation (4). Presence of PAD is also an indicator of
56 systemic arterial disease and is associated with an increased risk of a cardiovascular event (5) and
57 associated mortality (6).

58 Traditionally, the ankle brachial index (ABI) has been used as a non-invasive method of assessing
59 peripheral vascular status in patients at risk of PAD. An ABI is calculated by taking the higher of the
60 systolic pressure of the dorsalis pedis or posterior tibial artery and dividing it by the highest systolic
61 brachial pressure (7). A normal ABI is considered to be above 1.0 (7) with a ratio less than 0.90 is
62 diagnostic of PAD (8).

63 The ABI is a highly sensitive and specific screening tool for PAD (8, 9). The relative simplicity of
64 application and low cost make the ABI an easily accessible assessment tool for many clinicians.
65 However, recent research suggests the diagnostic accuracy of the ABI is reduced in specific
66 populations. Decreased sensitivity and specificity of the ABI for the presence of PAD has been
67 demonstrated in the elderly and in the presence of renal disease or diabetes (10, 11). It is widely
68 recognised that higher rates of medial arterial calcification (MAC) in these populations leads to
69 stiffening of the arterial wall, preventing full compression of the lower extremity arteries, inflating
70 the ABI value and reducing the clinical efficacy of the test (10, 12). An elevated ABI (>1.4), is
71 generally accepted to be indicative of MAC (8). However, further complicating lower extremity

72 vascular testing in these patients, presence of MAC is also associated with significant lower
73 extremity atherosclerosis (13). The combination of these two pathologies may result in a normal ABI
74 result in the presence of significant PAD due to partial loss of compressibility of the artery, leading to
75 undiagnosed PAD. Additionally, more distal anatomical distribution of atherosclerotic lesions
76 occurring both in people with diabetes and advanced age (2) further affects the ABI, with a stenosis
77 of arteries at the level of, or distal to, the ankle unable to be detected with ankle pressure
78 measurements (12).

79 Alternative methods of non-invasive vascular assessment may be performed using small vessel
80 testing methods such as the toe-brachial index (TBI). The TBI is a ratio of the systolic toe pressure
81 divided by the highest systolic brachial pressure (7). Normal values for the TBI are lower than the
82 ABI, with 0.7 and above considered normal (14-16). The TBI has been shown to be an accurate
83 indicator of PAD in specific populations who are prone to medial calcification including those with
84 diabetes-related PAD, sensorimotor neuropathy (10), and patients undergoing haemodialysis for
85 end-stage renal failure (11, 17). The TBI is by no means a new assessment method however its use
86 remains limited, particularly in the vascular laboratory.

87 Despite the potentially wide applicability of the TBI as a test for PAD, evidence evaluating its
88 diagnostic accuracy is limited. There is also a lack of comparative data assessing the relative
89 diagnostic accuracy of the TBI and the ABI for the presence of PAD using diagnostic imaging as the
90 reference standard. The aim of this study is to examine the sensitivity and specificity of the TBI, and
91 comparative diagnostic accuracy of the TBI versus the ABI in detecting PAD in a population of
92 patients at risk of PAD.

93 **Methods**

94 This study was undertaken at a private vascular clinic in Lake Macquarie, New South Wales,
95 Australia. Ethical approval was obtained from the University of Newcastle Human Research Ethics
96 Committee. All participants provided written informed consent prior to participation.

97 Over a period of twenty-eight months (August 2011- December 2013) participants were recruited on
98 a volunteer basis from a private vascular clinic and a podiatry service in Newcastle. Inclusion criteria
99 were set in accordance with current guidelines for lower extremity vascular screening (18):
100 participants aged over 65 years; or aged over 50 years with a history of diabetes or current smoking;
101 or with exertional leg pain or non-healing wounds. Exclusion criteria were: contraindications to
102 ankle, toe, and brachial pressure measurements including active hallux or leg ulceration preventing
103 cuff placement; history of deep vein thrombosis, lymphoedema and previous bilateral mastectomy
104 or vasospastic disorders.

105 All participants attended a single testing session at the vascular clinic with one of three
106 ultrasonographers. During the testing session ABI and TBI measurements, colour duplex ultrasound
107 (CFDU) and neurological testing were performed on the right leg. CFDU was chosen as it has been
108 demonstrated to be a valid imaging technique in non-invasive vascular diagnostic testing (3, 19). The
109 right limb only was used to comply with the assumption of independence of data in statistical testing
110 (20). Medical history was obtained each participant. Participants were asked to avoid alcohol,
111 smoking, exercise and caffeine one hour prior to the testing session to avoid influencing pressure
112 measurement (21). Participants were placed in a supine position and rested for at least 10 minutes
113 prior to pressure measurements being taken. A subset of 10 participants randomly selected returned
114 within one week of the initial testing session. At the second testing session all tests (vascular and
115 neurological) were repeated by a different clinician blinded to the results of the initial test, to
116 establish inter-tester reliability.

117 CFDU was performed with either a Phillips CX-50 or GE Logiq-I. All ankle and brachial pressures and
118 CW Doppler tracings of pedal arteries were taken using the Parks Vascular Mini Lab 1050c with 8.2

119 Mhz CW Doppler, a Parks standard 10 cm inflatable cuff and ERKA switch blood pressure gauge. Toe
120 pressures were obtained with a photoplethysmograph (PPG) probe, Hokinson toe pressure cuff
121 (2.5cm, 1.9cm or 1.6cm) and ERKA switch blood pressure gauge. Size of cuff used was in accordance
122 with current guidelines for cuff size (7)

123 Room temperature was monitored with a thermometer and was maintained between 23°C and 25°C
124 (22). Bilateral brachial systolic pressures were obtained in all participants using a Parkes CW Doppler
125 and hand-held sphygmomanometer. Ankle systolic pressures of the right leg only were taken by
126 placing the brachial pressure cuff around the lower leg, proximal to the medial and lateral malleoli.
127 Both dorsalis pedis and posterior tibial artery pressures were recorded, with the higher of the two
128 being used in calculation of the ABI. Toe systolic pressures were obtained by placing a PPG probe
129 directly on the distal pulp of the right great toe affixed with adhesive tape. Once a clear signal was
130 obtained, a toe cuff was placed immediately proximal to the PPG probe. In the event of the great toe
131 being too large for the toe cuff, the second toe was used. The cuff was then inflated to 20 mmHg
132 above the last visual PPG signal. The cuff was then slowly deflated - the pressure reading was
133 recorded when a consistent waveform returned. The TBI was calculated by dividing the toe pressure
134 by the highest brachial pressure.

135 CFDU was performed following pressure measurements, from the abdominal aorta to the distal
136 ankle on the right side as the reference standard. For calculations relating to diagnostic accuracy,
137 presence of PAD was defined as one or more arteries with >50% stenosis (24, 25). Distal disease was
138 defined as disease distal to and including the proximal popliteal artery and proximal disease was
139 disease from the common iliac artery to the distal superficial femoral artery. Sensitivity, specificity,
140 diagnostic accuracy and positive predictive value of the ABI and TBI for the presence of PAD were
141 calculated using the standard cut-off score for an abnormal ABI of ≤ 0.90 or greater than 1.4,
142 consistent with current screening guidelines(7) and the suggested cut-off score for the TBI of <0.70
143 (26, 27). Ankle pressures exceeding 200 mmHg were considered incompressible (7). Receiver

144 Operating Characteristic (ROC) analysis was performed for ABI and TBI and was calculated using SPSS
145 version 19 statistical software. Standard deviations (SD) were derived for all means, sensitivities,
146 specificities and positive and negative predictive values. Calculations of diagnostic accuracy were
147 performed using Microsoft Excel.

148 Inter-tester reliability of CFDU scanning was calculated using the presence or absence of PAD as a
149 dichotomous variable and an unweighted Cohen's Kappa (K) statistic. Intra-class correlation
150 coefficients (ICC) with 95% confidence intervals (CI) were calculated to determine level of agreement
151 between test and retest for the ABI and the TBI. All ICC values for inter-tester reliability were
152 interpreted according to cut-offs suggested by Fleiss (28). Interpretation of the Cohen's K statistic
153 was performed using the method proposed by Landis and Koch (29). All reliability analyses were
154 conducted using SPSS version 19.

155 **Results**

156 A total of 119 participants were recruited. One participant was excluded as the CFDU scan was
157 performed on a different day to the remainder of the vascular examination. Participant
158 characteristics are included in Table 1.

159 Mean ABI was 1.13 (SD 0.23). The mean falls within the normal range for an ABI measurement. The
160 ABI results ranged from 0.34 to 2.0 that indicated participant peripheral arterial status included both
161 those with significant PAD and significant MAC. The ABI was more likely to fail to diagnose the
162 presence of PAD. Diagnostic accuracy of the ABI was 72% (Table 2). ROC analysis showed that
163 sensitivity for an ABI set at <0.9 or >1.4 for detecting PAD was only 65.2% (95%CI 0.54-0.77) (Fig 1).
164 This indicates in this population the ABI was a poor test (30). The sensitivity and negative predictive
165 value of the ABI of 45% and 69% reflects an increased risk of failure to diagnose existing disease
166 (Table 2). However the specificity (93%) and positive predictive value (82%) were high, indicating
167 that the ABI is relatively unlikely to falsely diagnose people without PAD.

168 The mean TBI was 0.71 (SD 0.21) which is within a normal range for TBI measurement. ROC analysis
169 was 77.7% (95%CI 0.69-0.87) indicating the TBI was a fair test in this population (Fig.1). The
170 sensitivity of the TBI for detecting PAD was 71% indicating that the TBI was quite likely to accurately
171 detect PAD in this population (Table 2). The specificity was 79%, which while lower than the ABI
172 result, suggests that the TBI is relatively unlikely to falsely detect PAD.

173 Inter-tester reliability of the CFDU scans between the three ultra-sonographers was high (K 0.78,
174 $p < 0.01$)(29). ICCs demonstrated good test-retest reliability of the toe pressures (ICC: 0.80, 95% CI:
175 0.39-0.95) and moderate reliability of brachial pressures (ICC: 0.66, 95% CI: 0.09-0.90) and ankle
176 pressures (ICC 0.62, 95% CI: 0.03-0.89)(31).

177 **Discussion**

178 The results of this study indicate that overall the TBI has much higher sensitivity (71%) for the
179 presence of PAD than the ABI (45%). However, the ABI demonstrated slightly higher specificity (93%)
180 than the TBI (79%). The negative predictive value of the ABI (69%) together with poor ROC analysis
181 (65.2%) has significant clinical implications, leaving approximately one third of participants falsely
182 undiagnosed.

183 Previous research studies have reported a range of results regarding sensitivity of the ABI,
184 depending on the cohort of subjects studied. In healthy patients, the ABI has been demonstrated to
185 be highly sensitive (95%) (32-35) however in patients with diabetes or renal disease sensitivity of the
186 ABI has been shown to be considerably lower (29.9-53%)(10, 11). The population in this present
187 study met current criteria for lower extremity vascular screening and consisted of an older age group
188 with a large number of people with diabetes. The findings of our study suggest that there may be a
189 high prevalence of concurrent MAC and PAD within the general population requiring peripheral
190 vascular screening. This is expected as this population is older, and at higher risk of comorbidities
191 such as diabetes which are both associated with the development of MAC. Although MAC is known

192 to affect the accuracy of the ABI in people with diabetes, renal disease and in older age, the
193 prevalence of clinical and subclinical MAC within the general population remains controversial.

194 MAC has been estimated to affect approximately 13.3% of males and 6.9% of females in a
195 population at risk of PAD (36). However cut off points for the diagnosis of MAC by the ABI have been
196 questioned. Further complicating matters, the presence of a sub-clinical MAC has been proposed,
197 which goes undetected by the ABI (4). It is therefore difficult to determine the extent to which the
198 accuracy of the ABI may be affected and the efficacy of using the measurement as a screening tool.

199 Current recommendations suggest a toe pressure be used only in the presence of an ABI elevated to
200 beyond 1.40, however this does not address the presence of PAD coexisting with MAC which may
201 reduce ABI to within a normal range (12,37-39). This study supports previous findings indicating that
202 the ABI had decreasing levels of sensitivity in a population at risk of PAD and concurrent MAC.

203 Conversely, the specificity of the ABI (93%) in this study was higher than the TBI (79%). Previous
204 studies in different populations have demonstrated the ABI had differing specificity rates (88 –
205 100%)(10, 11), however this study was a mixed population with a larger sample size, and participants
206 were rested for 10 minutes which has been demonstrated as the ideal rest time for ankle
207 pressures(40). This may have resulted in higher specificity rates.

208 Previous research in small cohorts of people with diabetes has demonstrated that the TBI had a
209 superior sensitivity for the presence of PAD compared to the ABI (10). In this study, the TBI also had
210 a superior sensitivity and ROC analysis when compared to the ABI. Whilst the TBI's specificity was
211 lower than the ABI, the TBI still fared better overall demonstrating a more significant result with
212 ROC analysis. This suggests that the TBI has a wider applicability to a broader population at risk of
213 PAD than previously believed.

214 In this study 61% of the participants had diabetes and the average age was older than previously
215 reported. As both advanced age and diabetes are associated with more distally distributed
216 atherosclerotic lesions(2) these participants demonstrated higher rates of distally located stenoses.

217 Our findings of increased sensitivity of the TBI for PAD in our sample is congruent with previous
218 suggestions that the TBI has high sensitivity for more distally distributed disease and should
219 therefore be a test of choice in populations at risk of such disease patterns. However it is important
220 to note that in this study that a PPG probe was used to measure TBI. There are other methods of
221 obtaining toe pressures including strain gauge plethysmography, oscillometric plethysmography and
222 laser Doppler, therefore our study applies only to the PPG method.

223 In addition to being highly sensitive, our results also suggest that the TBI had higher specificity (79%)
224 than previously reported in small groups of people with diabetes (61-65%) (10). However this may
225 be due to the effect of diabetes on microcirculation and impairment of vasodilatory capacity which
226 would remain undetected by large vessel screening methods such as the ABI and CFDU (22). The
227 presence of microvascular disease dropping the TBI without co-existent PAD would reduce specificity
228 of the test for PAD. Conversely, in studies examining people with chronic renal failure, the specificity
229 of both the TBI and the ABI has been shown to be up to 100% potentially due to the high rates of
230 MAC in this population without the presence of peripheral microvascular disease (11).

231 Potential Limitations

232 To the authors' knowledge this is the first study to assess the sensitivity and specificity of the TBI
233 across a mixed population at risk of PAD. However, the findings of this study need to be considered
234 carefully due to some potential limitations. CFDU, while a valid form of non-invasive vascular
235 assessment, is heavily dependent on operator skill, and while an inter-tester reliability study was
236 performed, and shown to be adequate, the results are never the less subjective and dependant on
237 clinician skill and experience. The inter-tester reliability testing of CDFU was limited to ten due to
238 financial restraints and may not be statistically robust, however, has similar participant numbers to
239 another study of diagnostic accuracy using CFDU as a reference standard (10). Our convenience
240 sample consisted of a large proportion of people with diabetes, and an older mean age, however this
241 reflects the sample population who were attending a podiatry and vascular clinic at risk of PAD.

242 People over the age of 75 have a higher prevalence of PAD (3). People with diabetes are at increased
243 risk of PAD, with disease occurring earlier, and more aggressively with a more distal distribution
244 frequently reported(41). Results of this study therefore reflect a population at significant risk of PAD
245 with more distally located stenoses.

246 Conclusion

247 This study demonstrated that the TBI had greater sensitivity than the ABI in participants at risk of
248 PAD. Specificity of TBI was lower than the ABI, but higher than previously reported. These results
249 suggest that the TBI may be more clinically effective forms of vascular assessment in this population.
250 Further research is required in larger cohorts to further elucidate the sensitivity and specificity of the
251 TBI in broad populations at risk of PAD.

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261 **Tables**

262 **Table 1: Participant Characteristics**

Total Participants (N)	119
Males n (%)	75 (63.02)
Females n (%)	44 (36.97)
Age Range (Years)	53 – 92
Diabetes n (%)	73 (61.34)
Mean Age (years)	73.1 (SD ^A 7.2)
Incompressible ankle pressure n (%)	16 (13.44)
Distal PAD n (%)	37 (31.09)
Proximal PAD n (%)	7 (5.88)
Distal & Proximal PAD n (%)	7 (5.88)
PAD n (%)	51 (42.85)
Proximal Occlusions n (%)	1 (0.84)
Distal Occlusions n (%)	40 (33.61)

^A=standard deviation, PAD= Peripheral arterial disease

263

264 **Table 2: Table of Results**

	<i>Analysis</i>	
	<i>Ankle Brachial Index</i>	<i>Toe Brachial Index</i>
<i>Mean (SD)</i>	1.13 (0.23)	0.71 (0.21)
<i>Sensitivity (95% CI)</i>	45 (32-59)	71 (57-81)
<i>Specificity (95% CI)</i>	93 (84-97)	79 (67-87)
<i>Positive predictive value (95% CI)</i>	82% (63-93)	72% (57-83)
<i>Negative predictive value (95% CI)</i>	69% (58-78)	77% (65-86)
<i>ROC area (p value)</i>	0.65 (p=0.005)	0.77 (p=0.0001)

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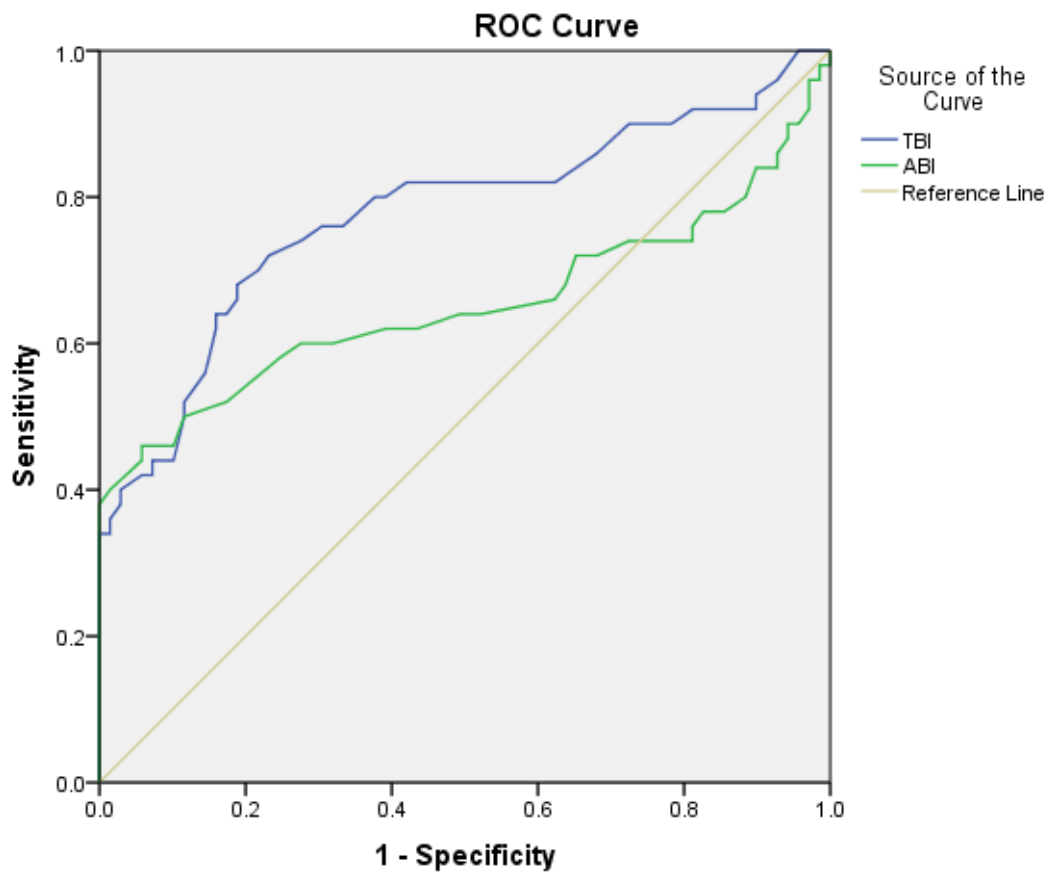
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Figures

Figure 1: Roc analysis TBI vs ABI



Diagonal segments are produced by ties.

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