

**Exploring representation: A semiotic
approach to understanding the image as
a carrier of design meaning in a
collective design context.**

by
Darin Phare

*A thesis submitted in fulfilment
of the requirements for the degree of Doctor of Philosophy.*

March 2016



School of Architecture and Built Environment

Faculty of Engineering and Built Environment
The University of Newcastle
Callaghan, 2308, Australia

Author's Declaration

This thesis contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text. I give consent to this copy of my thesis, when deposited in the University Library, being made available for loan and photocopying subject to the provisions of the Copyright Act 1968.

Signature:

Name: Darin Phare

Acknowledgements

It seems quite usual to record acknowledgements by declaring that there are too many debts to repay. In regards to my supervisors in particular, no truer word can be said. During the course of this dissertation their intellectual loans have been so staggering, that at times I have felt like a stenographer on a cerebral roller coaster, capturing as best I could their guidance and wisdom. A profound debt of gratitude must be extended to my principle supervisor Associate Professor Ning Gu (who deserves much of the credit and none of the blame!). Without his scope of knowledge, this dissertation would not have been possible at all. Indeed the theme of this dissertation was a result of his incredible insight. On a personal note and even more impressive has been his tenacity, guidance and patience, all of which I am sure I have tested on more than one occasion, especially during the times when I genuinely considered this undertaking to be a dark and insurmountable hill. Another debt of gratitude most humbly owed is to the contributions of Professor Michael Ostwald. His coruscating intellect and ability to skilfully advise on an incredible array of fields, all seemingly with ease, would often leave me with nothing but the greatest admiration and also make me green with envy. In short I will always be indebted to the uncompromising intellectual generosity, wisdom, enthusiasm, support and encouragement I have been privileged to receive from both Associate Professor Ning Gu and Professor Michael Ostwald. Without their time and effort, had I been left to my own devices, this dissertation would be little more than a rather abstract set of technical exercises.

A very special thank you must go to Associate Professor Carmel Loughland, who spent valuable personal time helping me organise my thoughts, proof reading and giving continual feedback, and showed dedication to a friendship far beyond anything that could be expected. Some of the ideas in this dissertation must be credited as having stemmed from the many exchanges I have had with my friend Robert Prosser. More often than not these exchanges would occur at lunch on campus and turn a normal lunch break into an extended one. They would always be absolutely invaluable in terms of either

fleshing out, or with a well-placed question, rejecting, an idea. Last, but by no means least, a heartfelt recognition must go to my family, Pip, Alex, Amelie, Kay and Bill. A special feeling of gratitude to my loving wife Pip, for all the proof reading whilst simultaneously bearing witness to the brief highs and very long lows over what seemed to stretch far beyond the last four and half years. A very special thank you to my son Alex, who never let me quit nor left my side, and unashamedly believed in what I was doing even when I didn't. His tenacity still rings in my ears. A whole bunch of thanks to the little girl I know called Amelie, who is the cuddliest and most entertaining girl in the family. Finally words cannot express the depth of my appreciation for Kay and Bill and their unshakable belief that I could do this.

Table of Contents

Author's Declaration	iii
Acknowledgements.....	v
Table of Contents	vii
List of Figures	xi
List of Tables	xiii
Glossary of Terms	xv
Abstract	xvii
Chapter 1: Introduction	1
1.1 RESEARCH AIM AND KEY CONCEPTS	8
1.1.1 Research aim.....	8
1.1.2 Definition of important concepts.....	8
1.2 HYPOTHESES	12
1.3 RESEARCH TASKS	12
1.4 RESEARCH SCOPE AND CONSTRAINTS.....	14
1.5 THESIS STRUCTURE	17
1.6 PUBLICATIONS ARISING FROM THE DISSERTATION.....	18
Chapter 2: The crowd	21
2.1 COLLECTIVE INTELLIGENCE	21
2.1.1 New forms of CI	23
2.1.2 Crowd as the basis for CI.....	25
2.1.3 The unpredictable crowd.....	26
2.1.4 Crowd management.....	27
2.1.5 Directing crowd intelligence	30
2.2. DESIGN INTELLIGENCE AND THE CROWD	31
2.2.1 Crowdsourcing.....	31
2.2.2 Crowdsourcing and design.....	35
2.2.3 Conditions and Moderation	41
2.2.4 Research development in collective design	42
2.3 SUMMARY	47
Chapter 3: Representation.....	51
3.1 DESIGN REPRESENTATIONS	51
3.1.1 Type and Content	51
3.1.2 Process.....	52
3.2 REPRESENTATIONS AND MEANING: SEMIOTICS.	54

3.3 SEMIOTICS AND DESIGN - A METHODOLOGICAL BACKGROUND	60
3.4 SEMIOTIC QUALITIES AND SHARED PICTORIAL COMPETENCE.....	63
3.5 SUMMARY.....	65
Chapter 4: Research methodology and research design.....	67
4.1 SELECTED METHODS	67
4.2 RESEARCH DESIGN	70
4.3 ONLINE DESIGN ENVIRONMENT SELECTION CRITERIA.....	71
4.3.1 ODE selection criteria	72
4.3.2 Available platform comparison	74
4.3.3 ODE Selection	77
4.4 SUITABILITY TESTING OF THE SELECTED ODE	79
4.4.1 Developing the design brief.....	81
4.4.2 ODE data capture capabilities.....	84
4.4.3 Summary and results of the test study	85
4.4.4 Considerations based on the pilot study results	86
4.5 CODING SCHEME DEVELOPMENT	88
4.5.1 Part 1 Coding semiotic values.....	89
4.5.2 Part 2 Coding semiotic movement.....	96
4.5.3 Part 3 Coding design information categories.....	100
4.5.4 Summary of the coding scheme.....	108
4.6 EXPERIMENT.....	109
4.6.1 Experiment design	109
4.6.2 Experiment procedure.....	113
4.6.3 Data collection	116
Chapter 5: Preliminary Quantitative Results.....	119
5.1 PARTICIPANTS.....	119
5.2 RELIABILITY OF THE CODING.....	120
5.3 QUALITATIVE DESCRIPTION OF REPRESENTATION USE	122
5.4 COMPARISON OF SEMIOTIC DISTRIBUTIONS	129
5.4.1 Semiotic starting value distributions (Sg).....	129
5.4.2 Captured representational activity.....	130
5.4.3 Coded semiotic transitions.....	132
5.5 COMPARISON OF INFORMATIONAL DISTRIBUTIONS	138
5.5.1 Major category distributions	138
5.5.2 Subclass distribution	143
5.6 SUMMARY.....	144
5.7 STRUCTURE OF FURTHER ANALYSIS.....	150
5.8 DATA REDUCTION AND THRESHOLD ESTABLISHMENT	152

Chapter 6: Representation and its role in the ODE	155
6.1 SEMIOTIC DISTRIBUTION	155
6.1.1 Icon-based activity at $Sg \rightarrow Sd^{(2)}$	158
6.1.2 Index based activity at $Sg \rightarrow Sd^{(2)}$	160
6.1.3 Symbol-based activity at $Sg \rightarrow Sd^{(2)}$	164
6.2 COMPARISON OF INFORMATIONAL VALUES.....	166
6.2.1 Comparison between information ranges at $Sd^{(1)}$	166
6.2.2 Comparison between information ranges at $Sd^{(2)}$	168
6.2.3 Combined crowd semiotic informational characteristics	170
6.2.4 Combined expert semiotic informational characteristics	172
6.2.5 Comparison of iconic information— $Sg \rightarrow Sd^{(2)}$	174
6.2.6 Comparison of indexical information— $Sg \rightarrow Sd^{(2)}$	176
6.2.7 Comparison of symbolic information— $Sg \rightarrow Sd^{(2)}$	180
6.3 SUMMARY AND DISCUSSION	185
Chapter 7: Characterising information.....	187
7.1 ANALYSIS METHOD: CUMULATIVE ANALYSIS	187
7.2 INFORMATION GENERATION— $Sg \rightarrow Sd^{(1)}$	188
7.2.1 Top-down vs. Bottom-up.....	190
7.2.2 Depth vs. Breadth	193
7.3 SUMMARY AND DISCUSSION	196
Chapter 8: Characterising movement.....	201
8.1 ANALYSIS METHOD: QUANTITATIVE COMPARISON	201
8.2 INTERACTIONS	201
8.2.1 $Sg \rightarrow Sd^{(1)}$	202
8.2.2 $Sd^{(1)} \rightarrow Sd^{(2)}$	206
8.3 CHARACTERISING INTERACTIONS IN THE ODE	208
8.3.1 Generalising interactions.....	208
8.4 SUMMARY AND DISCUSSION	212
Chapter 9: Discussion and Conclusion	215
9.1 FINDINGS.....	215
9.2 SHARED SEMIOTIC CHARACTERISTICS	217
9.3 CHARACTERISTICS OF INFORMATION WITHIN THE ODE	219
9.4 CHARACTERISTICS OF MOVEMENT WITHIN THE ODE.....	221
9.5 CONCLUSION.....	223
9.6 FURTHER IMPLICATIONS.....	225
9.7 FUTURE STUDIES.....	230
References	232
Appendix I: Design brief.....	242

Appendix II: Ethics approval..... 243
Appendix III: Recruitment posters 244
Appendix IV: Experiment participation instructions..... 245
Appendix V: Design activity results at day 14..... 249
Appendix VI: XML transcripts..... 250

List of Figures

Figure 1 Expected outcomes from optimal and non-optimal groups.....	29
Figure 2 Foldit user interface and 3D representation of complex protein problems.	34
Figure 3 openIDEO design process (image source www.openideo.com).....	35
Figure 4 The crowdsourced Rally Fighter.....	37
Figure 5 The Paris-Dakar produced by Mitsubishi.....	37
Figure 6 Citroën concept Dakar rally car by the in house design team.....	37
Figure 7 Fiat <i>Mio</i> concept rendering by the design team at Fiat Style Centre.....	39
Figure 8 Typical example of non-intentional design.	43
Figure 9 Yu and Nickerson's' approach to exploring crowd creativity (2011).....	45
Figure 10 Conceptual framework for Collective Design by Maher, et al. (2010).	45
Figure 11 Saussure's model of the sign (Chandler 2002).....	57
Figure 12 Charles Sanders Peirce - the semiotic triad (Chandler 2002).....	57
Figure 13 The workspace zoom in and zoom out in Prezi.....	78
Figure 14 The Prezi home screen and log in screen.	78
Figure 15 Inbuilt text tool as the communication tool in Prezi.....	80
Figure 16 The online design space, with instructions.	80
Figure 17 The design brief with example image and sketch.....	83
Figure 18 The design space with Instructions (A), Brief (B), and Notes (C).....	83
Figure 19 Icons work by directly referencing the subject.	91
Figure 20 Index works by indirectly referencing the subject.	91
Figure 21 Symbols must be learnt in order to interpret.....	91
Figure 22 Google map overlay with all semiotic classes combined.	92
Figure 23 Iconic images that can be associated with design.....	95
Figure 24 Indexical images often seen in design.....	95
Figure 25 Conventionalised symbolic images used to communicate design.	95
Figure 26 Contexts for semiotic transitions - general and design contexts.....	96
Figure 27 Transitions from general to design context and within design contexts.	97
Figure 28 Semiotic transitions - general to design and design to design contexts.....	98
Figure 29 (a) Collective Design Project on Facebook and (b) The CDP website.....	111
Figure 30 Design brief, Instructions and Rules.....	114
Figure 31 The crowd design space at day 14.....	123
Figure 32 The expert design space at day 14.	123
Figure 33 Construction method themed circle (by crowd participant B).....	125
Figure 34 An expert circle showing varied imagery.....	125
Figure 35 Crowd exploring stacking configurations.....	127
Figure 36 Experts exploration of repetition and connectivity.	128
Figure 37 Pie chart visualisation of the tabularised transitional data of Table 5.7.....	135
Figure 38 Example transition Icon to Icon (Transition = Type 1 No.1).	136
Figure 39 Example transition Icon to Index (Transition = Type 1 – No.2).....	137
Figure 40 Example transition Symbol to Symbol (Transition = Type 3 – No.1).....	138
Figure 41 Logarithmic trendlines showing the Type 1 interactions.	156
Figure 42 The normalised distribution of transitions at – Sg→Sd ⁽¹⁾	159
Figure 43 The normalised distribution of transitions at Sd ⁽¹⁾ →Sd ⁽²⁾	161
Figure 44 Trendlines of the Sg→Sd ⁽¹⁾ symbolic values.....	165
Figure 45 Trendlines of the Sd ⁽¹⁾ →Sd ⁽²⁾ symbolic values.....	165
Figure 46 Normalised distribution of Major categories across Sd ⁽¹⁾	167
Figure 47 Normalised distribution of Subclass categories across Sd ⁽¹⁾	167
Figure 48 Normalised distribution of Major categories across Sd ⁽²⁾	169
Figure 49 Normalised distribution of Subclass categories across Sd ⁽²⁾	169

Figure 50 Normalised spread of crowd subclass categories across $Sd^{(1)}$ and $Sd^{(2)}$. .. 171

Figure 51 Normalised spread of expert Subclass categories across $Sd^{(1)}$ and $Sd^{(2)}$. .. 173

Figure 52 Crowd provided icon of solar panels denoting power saving options. 175

Figure 53 Expert provided image referencing modularity and construction..... 175

Figure 54 Crowd provided iconic image referencing spatial qualities..... 178

Figure 55 Expert provided iconic image referencing Domain Knowledge. 179

Figure 56 Example of the concrete symbolic image used in the crowd group..... 181

Figure 57 Example of an abstract symbol used in the expert group. 181

Figure 58 Comparison of imagery, associated semiotic and informational values. 184

Figure 59 Trendlines showing $Sg \rightarrow Sd^{(1)}$ patterns of image introduction. 189

Figure 60 Trendlines showing semiotic values over $Sg \rightarrow Sd^{(1)}$ transition. 191

Figure 61 Normalised spread of crowd informational categories over 14 days. 194

Figure 62 Normalised spread of expert informational categories over 14 days..... 194

Figure 63 Images used in the bottom-up and top-down approaches. 196

Figure 64 Logarithmic trendlines showing the transitional activity over 14 days. 203

Figure 65 Logarithmic trendlines showing the of interaction peaks over 14 days..... 205

Figure 66 Logarithmic trendlines showing the upload/interaction peaks 207

Figure 67 Examples of *Appending* in the crowd and *Referencing* in expert group. 210

List of Tables

Table 4.1 Comparison of available web based tools.	76
Table 4.2 All potential combinations of semiotic transitions.....	98
Table 4.3 Example semiotics transition – general to design context.....	99
Table 4.4 Example $Sd^{(1,2,3,4...)}$ to $Sd^{(2,3,4,5...)}$	100
Table 4.5 Example of the finalised semiotic coding scheme	100
Table 4.6 Major and subclass design information categories	103
Table 4.7 Example of the finalised semiotic a coding scheme.....	108
Table 5.1 Inter-rater reliability for Design Meaning coding rounds 1 and 2.....	121
Table 5.2 Inter-rater reliability for Design Meaning coding round 3	121
Table 5.3 Contributions average by group	129
Table 5.4 Distribution of semiotics types as introduced.....	130
Table 5.5 Numeric distribution of interactions	131
Table 5.6 Numeric semiotic distributions between both groups.....	133
Table 5.7 Normalised semiotic distributions between both groups	134
Table 5.8 The numeric account of the five types of Major category.....	139
Table 5.9 Normalised percentages of the five types of Major category	139
Table 5.10 Numeric design information and its semiotic distribution	141
Table 5.11 Normalised design information and its Semiotic distribution	142
Table 5.12 Numeric account of types of Subclass design information.....	143
Table 5.13 Normalised percentages of types of Subclass information	144
Table 5.14 Crowd major category and subclass examples	145
Table 5.15 Expert major category and subclass examples	146
Table 5.16 Comparison of image abstraction.....	147
Table 5.17 Numeric distribution of semiotic and informational content	148
Table 5.18 Normalised distribution of semiotic and informational content	149
Table 5.19 Structure of further analysis	152
Table 5.20 Reduced data with omitted types of transition that fell under 5%.....	153
Table 8.1 Distribution of values between <i>Appending</i> and <i>Referencing</i> activities.....	211

Glossary of Terms

BIM	Building Information Modelling
CAD	Computer Aided Design
CI	Collective Intelligence
CD	Collective Design
CSCW	Computer Supported Collaborative Work
ODE	Online Design Environment
HREC	Human Research Ethics Committee
Sg	Semiotics in a general context
Sd ⁽¹⁾	Semiotics in the first design context
Sd ⁽²⁾	Semiotics in the second design context
HIT	Human Intelligence Tasks
WWW	World Wide Web
VDS	Virtual Design Studios

Abstract

Collective design has been investigated increasingly in the design research community in recent years. Researchers so far have provided conceptual models for design environments and looked to crowdsourcing for insights into motivation, communication and representation. However, with motivation and communication well explored, there is a lack of empirical evidence to support the understanding of how representations might be used in a collective context to convey meaningful design-related content.

This research aims to explore representational use under collective conditions. To achieve this, a study was conducted to compare an expert group of designers in an online design environment (ODE) with a small crowd consisting of 18 participants. Both groups were required to engage with the same design task over a two week open design session in each environment. The ODE was used to collect data during the experiment. By employing a semiotic coding scheme, specifically developed for this study, the collected data was coded and analysed using comparative, and cumulative comparative, analysis methods. The differences were identified through the comparison of experts' and crowd's behaviour in the ODE. From these results, it can be suggested that representation plays an important role in catalysing and supporting design activity in each group, both with unique characteristics within the ODE.

This study reveals that when presented with a design 'problem', and within the collective conditions established for this study, it was observed that human reasoning processes can actively participate as the creative agent in a collective system. In the openly shared collective context of this study the representation was found to be an integral component in the crowd's shared design reasoning processes. These processes consisted of expressed intuition, trains of thought, inquiries, questions and arguments. As such, this study might aid the development of collective mechanisms to support and capture these reasoning processes. Therefore, the outcome of this study may prove beneficial

not only for design educators and design researchers but also for World Wide Web (WWW) and software developers.