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1 **The clinical utility of cervical range of motion in diagnosis, prognosis,**  
2 **and evaluating the effects of manipulation: a systematic review**

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1 **ABSTRACT**

2 **Background**

3 Clinicians commonly assess cervical range of motion (ROM), but it has rarely  
4 been critically evaluated for its ability to contribute to patient diagnosis or  
5 prognosis, or whether it is affected by mobilisation/manipulation.

6 **Objectives**

7 This review summarises the methods used to measure cervical ROM in  
8 research involving patients with cervical spine disorders, **reviews** the evidence  
9 for using cervical ROM in patient diagnosis, prognosis, and **evaluation of the**  
10 effects of mobilisation/manipulation on cervical ROM.

11 **Data sources and study selection**

12 A systematic search of MEDLINE, EMBASE, CINAHL, AMED and ICL  
13 databases was conducted, addressing one of four constructs related to  
14 cervical ROM: measurement, diagnosis, prognosis, and the effects of  
15 mobilisation/manipulation on cervical ROM.

16 **Study appraisal and synthesis**

17 Two independent raters appraised methodological quality using the QUADAS-  
18 2 tool for diagnostic studies, the QUIPS tool for prognostic studies and the  
19 PEDro scale for interventional studies. Heterogeneity of studies prevented  
20 meta-analysis.

21 **Results**

22 Thirty-six studies met the criteria and findings showed there is limited  
23 evidence for the diagnostic value of cervical ROM in cervicogenic headache,  
24 cervical radiculopathy and cervical spine injury. There is conflicting evidence  
25 for the prognostic value of cervical ROM, though restricted ROM appears

26 associated with negative outcomes while greater ROM is associated with  
27 positive outcomes. There is conflicting evidence as to whether cervical ROM  
28 increases or decreases following mobilisation/manipulation.

### 29 **Conclusion and Implications of Key Findings**

30 Cervical ROM has value as one component of assessment, but clinicians  
31 should be cautious about making clinical judgments primarily on the basis of  
32 cervical ROM.

### 33 **Funding**

34 This collaboration was supported by an internal grant from the Faculty of  
35 Health, The University of Newcastle.

36

### 37 **Key Words**

38 Cervical vertebrae, measurement, physical therapy techniques, manipulation  
39 (spinal)

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## 1 Introduction

2 Musculoskeletal disorders of the cervical spine are common, with the overall  
3 prevalence of neck pain reported as high as 87% in the general population [1].  
4 Consequently, cervical spine disorders are associated with significant  
5 economic, societal and personal burden [2]. The costs associated with neck  
6 pain range from €30 million annually in Sweden (all spinal conditions [3]), to US  
7 \$8 billion annually in the US [4]. Therefore, there is an immediate need to  
8 develop more effective assessment and treatment regimes to address the  
9 symptoms and sequelae associated with cervical spine disorders.

10

11 As pathophysiology of the majority of neck pain conditions is not clear [5, 6],  
12 treatment usually focuses on addressing physical impairments. One of the most  
13 commonly assessed physical impairments in clinical practice is range of motion  
14 (ROM) of the cervical spine. Cervical ROM can be assessed with a variety of  
15 reliable tools [7], and clinicians generally view it as important and necessary to  
16 measure in patients with mechanical neck disorders [8, 9]. However, the clinical  
17 utility of ROM is unclear; that is, its predictive validity in the clinical setting for  
18 diagnosis, prognosis and treatment outcomes. Further, a variety of methods  
19 have been reported for measuring cervical ROM, with little consistency in  
20 methods used in published clinical studies. Therefore, the clinical value of  
21 different methods of cervical ROM measurement for clinical decision-making  
22 remains uncertain. To the best of our knowledge, no previous study has  
23 systematically or critically evaluated the clinical utility of cervical ROM in terms  
24 of its ability to contribute to accurate patient diagnosis or prognosis, or whether  
25 it is affected by various interventions applied by physiotherapists.

26

27 One recommended intervention used by physiotherapists to reduce neck pain  
28 and associated disability is cervical and thoracic mobilisation and manipulation  
29 [6]. The term “mobilisation/manipulation” refers to a “manual therapy technique  
30 comprising a continuum of skilled passive movements to the joints and/or  
31 related soft tissues that are applied at varying speeds and amplitudes, including  
32 a small-amplitude/high-velocity therapeutic movement” [10], and a more  
33 detailed description regarding manipulative techniques can be found elsewhere  
34 [11]. Though it is commonly believed that cervical ROM should improve after  
35 applying a mobilisation or manipulation, it is unknown whether this belief can be  
36 substantiated by evidence.

37

38 This systematic review aims to critically appraise and summarise the literature  
39 related to the use of ROM as an impairment measure in patients with disorders  
40 related to the cervical spine. Specifically, this review will (1) summarise the  
41 methods used to measure cervical ROM in research studies involving patients  
42 with cervical spine disorders, (2) determine the evidence for using cervical ROM  
43 in patient diagnosis and prognosis, and (3) determine the potential effects of  
44 manipulation (thrust and non-thrust) on cervical ROM. The results of this review  
45 will provide evidence to guide clinicians in their evaluation of cervical ROM and  
46 its value within their clinical assessment.

47

## 48 **Methods**

49 A systematic review of the literature was conducted using AMED, CINAHL,  
50 EMBASE, ICL and MEDLINE databases from inception to September 2012. A

51 sensitive search strategy (Appendix 1) was developed incorporating the key  
52 study themes of ROM, cervical spine, diagnosis, prognosis and spinal  
53 manipulation. The specific search strings used in this study were informed by  
54 terms and phrases used in recent reviews on related research topics [12-14].

55

56 Identified studies were downloaded into reference management software and  
57 duplicate records were removed. Consistent with the objectives of this review,  
58 animal, cadaveric, and simulation studies were excluded. Conference  
59 proceedings, commentaries, editorials, letters, reviews, n=1 designs, and  
60 articles published in languages other than English were also excluded.

61

62 To be included, studies needed to address at least one of the following four  
63 research questions: (1) how is cervical ROM measured as an outcome of  
64 mobilisation/manipulation?, (2) how is cervical ROM used in diagnosis?, (3) how  
65 is cervical ROM used in prognosis?, and (4) what is the effect of  
66 mobilisation/manipulation on cervical ROM?

67

68 The operational definition of ROM employed in this review was the arc through  
69 which movement occurs at a joint or a series of joints [10]. For a study to be  
70 considered for the research question concerning diagnosis, it needed to have  
71 investigated the utility of cervical ROM to distinguish between patients with and  
72 without a clinically meaningful diagnosis (e.g., mechanical neck pain, whiplash,  
73 headache, cervical radiculopathy). Diagnostic studies that simply examined  
74 differences in cervical ROM between symptomatic (ie with a neck disorder) and  
75 asymptomatic populations were excluded as this study design is known to

76 overestimate diagnostic accuracy [15, 16]. To be considered for the research  
77 question concerning prognosis, studies must have investigated the utility of  
78 baseline cervical ROM measurements to predict future patient outcomes (e.g.,  
79 function, disability, patient-perceived improvement). Prognostic and single  
80 cohort prescriptive clinical prediction rule studies where cervical ROM had been  
81 investigated as a candidate variable were considered in this category. Finally,  
82 clinical trials were considered for the research question concerning  
83 mobilisation/manipulation if they satisfied the operational definition of  
84 mobilisation/manipulation used in this review which was a manual therapy  
85 technique comprising a continuum of skilled passive movements to the joints  
86 and/or related soft tissues that are applied at varying speeds and amplitudes,  
87 including a small-amplitude and high-velocity therapeutic movement [10].  
88 Consistent with this definition, both thrust manipulation and non-thrust spinal  
89 mobilisation techniques were considered for inclusion. To clarify, in this  
90 manuscript we use the term “manipulation” to refer specifically to techniques  
91 involving a high-velocity low-amplitude thrust, whereas mobilisation refers to  
92 techniques performed as lower velocity, passive movements of a joint. Studies  
93 employing multi-modal interventions were included provided the independent  
94 effect of manipulation was able to be delineated (i.e., groups were similar in  
95 every other aspect except for the manipulation). Studies limited to the  
96 investigation of the effect of traction, soft tissue massage, neural mobilisation,  
97 and peripheral joint mobilisation/manipulation techniques were excluded.  
98  
99 Two independent reviewers screened the titles and abstracts of the identified  
100 studies to determine eligibility. Studies judged by either reviewer to be eligible in

101 the first stage of screening were progressed to the second stage in which  
102 eligibility was evaluated using the full-text of each study. Two independent  
103 reviewers conducted the second round of screening with concordance  
104 determining study inclusion and exclusion. Disagreement between the  
105 reviewers was resolved by consensus or if needed by a third reviewer. Citation  
106 tracking and hand-searching of recent relevant journals were used as  
107 supplementary search strategies. The absolute and chance-corrected degree of  
108 agreement ( $\kappa$ ) between the two reviewers was calculated for each stage of  
109 study selection.

110

111 Additional eligibility criteria were developed and applied following the initial  
112 study selection process in recognition of the heterogeneity and quality variance  
113 of the included sample. Studies were excluded post-hoc if the assessment of  
114 outcomes was not blinded [17-28], the study samples were asymptomatic  
115 (prognostic studies excluded) [29-33], manipulation was applied by the patient  
116 [34], or if ROM measures did not include measurements cephalad of C7 [35], as  
117 well as survey studies of therapist beliefs and practices [36, 37]. Corresponding  
118 authors were contacted as required for clarification to determine a study's  
119 eligibility for inclusion, and when no response was received, those studies were  
120 excluded [21, 28].

121

122 The methodological quality of included studies was appraised by two  
123 independent raters using the QUADAS-2 (Quality Assessment Tool for  
124 Diagnostic Accuracy Studies) tool [15] for diagnostic studies, the QUIPS  
125 (Quality in Prognosis Studies) tool [38] for prognostic studies and the PEDro

126 (Physiotherapy Evidence Database) scale [39] for interventional studies.  
127 Disagreement on the assessment of quality appraisal items was resolved by  
128 consensus or if needed by a third rater. A sum methodology score was  
129 calculated for each interventional study evaluated with the PEDro scale [40].

130

131 No attempt was made to statistically pool the results of individual studies as a  
132 consequence of the heterogeneity of the included samples. A synthesis of the  
133 key findings is reported with consideration of the risk of bias of individual studies  
134 as identified by the quality appraisal.

135

## 136 **Results**

137 The database search strategy identified 2124 unique studies, with 104 of these  
138 progressing to the second stage of study selection. An additional eight studies  
139 were identified via citation tracking and hand-searching of relevant journals.

140 One hundred and twelve studies were therefore evaluated in the second stage  
141 of screening by full-text, with 57 determined to be eligible for inclusion. Reasons  
142 for study exclusion are detailed in Figure 1. Post-hoc eligibility screening  
143 resulted in the further exclusion of 21 studies, the most common reason being  
144 the non-blinding of outcome assessment. Consequently, 36 studies comprise  
145 the final included sample with seven studies relating to the use of cervical ROM  
146 in determining a diagnosis, 14 studies concerning the use of cervical ROM in  
147 establishing a prognosis and 15 studies investigating the effect of manipulation  
148 on cervical ROM.

149

150 The absolute degree of rater agreement for the first and second stages of study  
151 selection was 97% and 89% respectively. The chance-corrected degree of  
152 agreement was 'moderate' for screening by title and abstract ( $\kappa=0.54$ , 95%CI  
153 0.44-0.64), and 'good' for screening by full-text ( $\kappa=0.78$ , 95%CI 0.67-0.9) [41].  
154 All but two episodes of rater disagreement were resolved by consensus, with  
155 the third reviewer later excluding both of these studies.

156

157 The methodological quality varied between studies, but was generally fair to  
158 good. For diagnostic studies assessed with QUADAS-2, the main risks of bias  
159 occurred in patient selection (four of seven studies with high risk of bias) and in  
160 the reference standard (four of seven studies with high risk of bias). For  
161 prognostic studies evaluated with QUIPS, there was generally low risk of bias  
162 for most items. The main risks of bias related to a study's ability to account for  
163 potential confounders of the prognostic factor of interest (four of 14 studies with  
164 potential bias). Interventional studies evaluated with the PEDro tool received  
165 overall scores ranging from six to ten (out of a possible 11). Nine of the 15  
166 interventional studies scored eight or above, indicating moderately high  
167 methodological quality [40]. The methodological quality scores for each of the  
168 included studies are provided in Appendix 2.

169

## 170 **Measurement**

171 The most common instruments used to measure ROM in the included studies  
172 are the Cervical Range of Motion (CROM) device (Performance Attainment  
173 Associates, Minnesota, IL, USA), the universal goniometer and the inclinometer

174 (Table 1). All studies reported cervical ROM in two dimensions in the cardinal  
175 planes of movement, except for two studies using an orientation sensor [42, 43].

176

## 177 **Diagnosis**

178 Four studies which included a total of 356 subjects examined the utility of  
179 cervical ROM in identifying the presence of cervicogenic headache (i.e.,  
180 headache symptoms originating from the cervical spine) [44-47]. Two of these  
181 (n=238) examined the diagnostic utility of cervical ROM in the cardinal planes  
182 (flexion, extension, rotation and lateral flexion) [45, 47]. Both of these studies  
183 found that restricted active cervical extension ROM exhibited diagnostic utility  
184 for identifying cervicogenic headache if the patient also had pain with manual  
185 examination of the upper cervical spine. The remaining two studies (n=118)  
186 examined the accuracy of the flexion-rotation test, a multiplanar passive  
187 movement assessment tool for identifying patients with cervicogenic headache  
188 [44, 46]. In these two studies, a 10 degree or greater reduction in the expected  
189 normal range of motion of 44 degrees (visually estimated by the therapist during  
190 the flexion-rotation test with subsequent measurement with the CROM) was  
191 considered to be positive.

192

193 One study (n=82) examined the ability of active cervical ROM to identify  
194 patients with cervical radiculopathy [48]. Results demonstrated that cervical  
195 flexion less than 55 degrees and cervical rotation toward the side of the  
196 symptoms less than 60 degrees were useful in identifying patients with cervical  
197 radiculopathy (positive likelihood ratio (LR) 1.5, and 1.8 respectively [49]).

198

199 Two studies with large cohorts (n=8924 [50], n=8283 [49]) identified that  
200 cervical rotation ROM restriction was able to identify patients with clinically  
201 important cervical spine injuries, which were defined as any fracture,  
202 dislocation, or ligamentous instability demonstrated by diagnostic imaging [49,  
203 50]. The positive LR<sub>s</sub> from these two studies were 2.1 and 2.2, while the  
204 negative LR<sub>s</sub> were 0.14 and 0.07. Further details on the studies related to the  
205 ability of cervical ROM to assist with making a diagnosis can be found in Table  
206 2.

207

## 208 Prognosis

209 Two prospective studies were identified that included 245 asymptomatic  
210 individuals who had baseline measurements recorded and were then contacted  
211 at follow-up to ascertain if they had developed neck pain since the time of the  
212 original measurements [51, 52]. Hush et al [2009] [58] demonstrated that at a  
213 one-year follow-up, greater cervical flexion and extension ROM at baseline was  
214 associated with a reduced likelihood of experiencing neck pain. At a long term  
215 follow-up of six years, Salo et al [2012] [51] found that baseline cervical ROM  
216 was not associated with the development of neck pain.

217

218 Twelve prognostic studies that included patients with neck pain were identified.  
219 Four of these found that cervical ROM was not predictive of outcome [53-56]  
220 while five found cervical ROM to be predictive of the target outcome [57-61].  
221 The direction and magnitude of range of motion that predicted outcome varied  
222 considerably among studies. Overall, when patients exhibited greater ranges of  
223 motion at baseline (regardless of magnitude and plane of movement) they were

224 more likely to experience a positive outcome (e.g., self-rated symptom  
225 improvement using scales such as the Global Rating of Change or Neck  
226 Disability Index, Table 3). When patients exhibited reduced cervical ROM they  
227 were more likely to experience a worse outcome (e.g., continued significant  
228 pain, or failure to return to usual activity level, Table 3). Two other studies  
229 demonstrated that the degree of change of cervical ROM was predictive of  
230 between session improvements for the particular movement identified as  
231 impaired [42, 43]. Conversely, one study found restricted cervical extension was  
232 a prognostic factor for a better outcome [62]. However, this was an initial cohort  
233 study and cervical extension limitation was not identified as a prognostic  
234 variable in a recent clinical trial [54]. Further details of studies investigating the  
235 ability of cervical ROM to assist with making a prognosis can be found in Table  
236 3.

237

### 238 **Manipulation Effects**

239 We identified 15 studies investigating the effect of mobilisation/manipulation  
240 (cervical, **nine** studies; thoracic, **five** studies; both, **one** study) on cervical ROM  
241 [63-77]. Of these studies, 13 examined the effects of mobilisation/manipulation  
242 on range of motion in patients with mechanical neck pain [63-69, 71-74, 76, 77].  
243 Of the other **two**, one examined the effects of mobilisation in a group of patients  
244 with cervicogenic dizziness [70] and the other manipulation on patients with  
245 cervicogenic headache [75]. All of these studies demonstrated a positive  
246 improvement (**nine** studies) in cervical ROM or no difference between groups  
247 (**six** studies). Of the **six** studies demonstrating no difference between groups,

248 one study was performed on patients with cervicogenic dizziness [70] and one  
249 was performed on patients with cervicogenic headache [75].

250

251 Of the **nine** studies where mobilisation/manipulation was directed at the cervical  
252 spine only, [64, 66, 70, 72-77] **three** demonstrated a statistically significant  
253 difference in cervical ROM between groups in favour of mobilisation [71] or  
254 manipulation [66, 72, 73]. One of these studies only exhibited a statistically  
255 significant difference between groups for flexion in favor of a 'preferred'  
256 mobilisation technique (unilateral posteroanterior [PA] pressure on the side of  
257 symptoms, i.e., ipsilateral) versus a randomly selected technique (central, ipsi-  
258 or contralateral unilateral PA mobilisation) [66]. Five studies examined the  
259 effects of thoracic spine manipulation on cervical ROM, all of which  
260 demonstrated statistically significant group differences with greater  
261 improvements in cervical ROM for the manipulation groups [65, 67-69, 71]. The  
262 final study used both cervical and thoracic manipulation versus non-thrust  
263 mobilisation. In this study, the thrust group demonstrated a significantly greater  
264 improvement in cervical ROM [63]. Further details of studies examining the  
265 effects of mobilisation/manipulation on cervical ROM can be found in Table 4.

266

## 267 **Discussion**

268 This systematic review examines the use of cervical ROM measurement in  
269 diagnosis, prognosis and the evaluation of the effects of  
270 mobilisation/manipulation in patients with mechanical neck pain. Most studies  
271 used commonly available instruments to measure cervical ROM, including  
272 goniometers, inclinometers and the CROM instrument. Cervical ROM was

273 reported as useful in diagnosing cervicogenic headache in four studies, cervical  
274 radiculopathy in one study and clinically important cervical spine injuries in two  
275 studies. Only one of two prospective studies found cervical ROM predictive of  
276 the incidence of neck pain in asymptomatic individuals. Five prognostic studies  
277 in patients with neck pain found that cervical ROM was predictive of patient  
278 outcome (e.g., symptom improvement), with another two reporting it was  
279 predictive of ROM changes following treatment. Nine studies found that cervical  
280 ROM improves following mobilisation/manipulation, with another six reporting  
281 no difference between groups. The results from these studies suggest there is  
282 limited evidence for the diagnostic and predictive value of cervical ROM for  
283 some neck pain conditions. There is general support for a positive effect on  
284 cervical ROM from mobilisation/manipulation, though the evidence is limited.  
285 There are more studies supporting thoracic rather than cervical thrust  
286 manipulation, and thrust rather than non-thrust techniques for improving cervical  
287 ROM. Further research is needed to determine the value of cervical ROM in  
288 diagnosis and prognosis, and in evaluating treatment effects. Cervical ROM  
289 may be informative to clinical decision making and is moderately valuable when  
290 considered as one component within a suite of assessment items.

291

## 292 **Measurement**

293 The majority of the instruments used to quantify ROM in studies assessing the  
294 effects of manipulation used instruments commonly found in clinical practice,  
295 such as goniometers, inclinometers or the CROM device. These methods have  
296 demonstrated adequate reliability [78, 79] and are not overly expensive, but  
297 cannot evaluate coupled or three-dimensional movement. Assessing coupled

298 and three-dimensional movement may provide greater insight into the potential  
299 mechanisms behind the changes that occur following manipulation in the  
300 cervical spine.

301

## 302 **Diagnosis**

303 In general, the findings from this current systematic review suggest that cervical  
304 ROM is potentially a valuable tool for establishing a diagnosis in individuals with  
305 cervicogenic headache, cervical radiculopathy and clinically important cervical  
306 spine injuries. Restricted cervical extension appears to be useful when  
307 classifying individuals with cervicogenic headache if the patient also exhibits  
308 pain with manual examination of the upper cervical spine [45, 47]. It should be  
309 recognized that when restricted ROM was identified along with the other  
310 physical assessment items, the diagnostic accuracy was reasonably high [80].  
311 Additionally, positive findings for the cervical flexion-rotation test have been  
312 shown to be useful in differentiating between patients who have cervicogenic  
313 headaches or headaches-of other origin [44, 46]. Considering that both Hall et  
314 al. [44] and Ogince et al. [44, 46] found the flexion-rotation test helpful in  
315 identifying patients with cervicogenic headache, this may be a useful tool in  
316 clinical practice to identify individuals who would be appropriate candidates for  
317 physical therapy treatment, as it has been shown to be effective in this  
318 population [81]. However, it should be recognized that although a positive  
319 flexion-rotation test resulted in a moderate to large increase in probability that a  
320 patient had a cervicogenic headache in the study by Ogince et al. [44, 46], Hall  
321 et al. [44] found that it only resulted in a small increase in probability [80]  
322 **suggesting future research evaluating this test is needed.**

323

324 **One study was identified** that found that reduced cervical flexion and reduced  
325 rotation toward a patient's symptomatic side was indicative of cervical  
326 radiculopathy [48]. However, these items exhibited small LRs with the lower  
327 bound estimate of the 95% CIs approaching one (**Table 2**). This suggests that  
328 restrictions in these movements only alter the probability of the patient having  
329 cervical radiculopathy to a small and relatively unimportant degree [80].

330

331 Stiell and colleagues developed [50] and validated [49] the Canadian Cervical  
332 Spine Rule that contains a number of items from the patient history, as well as  
333 observed cervical ROM, and exhibits excellent utility for ruling out a clinically  
334 important cervical spine injury if a patient tests negative on the rules. Restricted  
335 cervical rotation less than 45 degrees was included in the combination of  
336 variables (**high risk factors mandating radiographical examination and low risk**  
337 **factors allowing safe assessment of cervical ROM**) that comprised the rule. In  
338 fact, when a patient could rotate their cervical spine greater than 45 degrees to  
339 both the left and right there was a moderate (derivation study [50]) to large  
340 (validation study [49]) increase in probability that the patient did not have a  
341 cervical fracture, dislocation or ligamentous instability.

342

### 343 **Prognosis**

344 It is important to identify predictive factors that could potentially be useful in the  
345 clinical decision making process when attempting to prevent neck pain from  
346 becoming chronic. If these individuals could be identified, appropriate  
347 interventions may be able to prevent chronicity of symptoms. However, it cannot

348 be determined from this systematic review if cervical ROM is beneficial in  
349 identifying asymptomatic individuals who are likely to develop neck pain in the  
350 future. The two studies that longitudinally followed asymptomatic individuals  
351 reported conflicting results. Hush et al. [52] noted that greater ROM was  
352 associated with a reduced likelihood of developing neck pain, while Salo et al.  
353 [51] found cervical ROM was not prognostic for the future development of neck  
354 pain. One of the reasons for these inconsistent findings may be differences in  
355 the **populations sampled in** the two studies. Hush et al. [52] used a sample of  
356 office workers, while Salo et al. [51] used a combination of blue and white collar  
357 workers and students. Perhaps the office workers in the Hush study were more  
358 likely to develop neck pain because of prolonged desk sitting and associated  
359 neck flexion postures which have been identified as predictors of neck pain [82],  
360 **as compared to the mixed sample in the Salo study** [51]. The operational  
361 definition of neck pain also differed between the two studies, with Salo et al. [51]  
362 documenting cases of neck pain lasting greater than seven days, while Hush et  
363 al. [52] included patients with symptoms lasting greater than 24 hours. This may  
364 also explain the differences in the incidence of neck pain between the Salo et  
365 al. [51] and Hush et al. [52] studies of 19% and 49%, respectively. Therefore,  
366 more studies are necessary to determine the prognostic value of cervical ROM  
367 for determining the likelihood of developing a future episode of neck pain.

368

369 Although four studies found cervical ROM was not predictive of patient  
370 outcomes [53-56], there were five studies that suggest cervical ROM may have  
371 some predictive value. Five prospective cohort studies [57-61] reported that  
372 lower ranges of cervical ROM were predictive of a worse prognosis (in terms of

373 patient-reported pain, function or disability), while greater ranges of motion were  
374 predictive of a better prognosis. However, the plane of movement, amount of  
375 restriction and outcome of interest differs between these studies, making it  
376 difficult to make definitive conclusions.

377

### 378 **Manipulation**

379 This systematic review identified 15 studies examining the effects of spinal  
380 mobilisation/manipulation on cervical ROM. Overall, mobilisation/manipulation  
381 may be beneficial in increasing cervical ROM in patients with mechanical neck  
382 pain (nine out of 13 studies). Unfortunately, the heterogeneity of the identified  
383 articles prevents meta-analysis. It may be argued that in most of the reported  
384 studies, the magnitude of the effects of increases in cervical ROM was small  
385 when examining between group differences. However, in many circumstances  
386 these differences did surpass the minimal detectable change (MDC) scores as  
387 identified by Fletcher et al. [83]. It should also be noted that in the majority of  
388 the studies the within-group changes in cervical ROM for patients receiving  
389 mobilisation/manipulation did exceed these reported MDC values where the  
390 comparison group did not. Furthermore, all studies using a population of  
391 patients with neck pain either exhibited a significant improvement over the  
392 comparison group or no difference between groups. No studies found cervical  
393 ROM to be worse in the mobilisation/manipulation group. However, spinal  
394 mobilisation/manipulation does not appear to be effective for increasing cervical  
395 ROM in patients with cervicogenic dizziness [70] or cervicogenic headache [75].  
396

397 Each of the four studies examining the effects of mobilisation/manipulation on  
398 cervical ROM in patients with mechanical neck pain that did not show a  
399 difference between groups provided only one treatment session using either  
400 cervical thrust manipulation or non-thrust mobilisation. In every **study identified**  
401 where the manipulative intervention included multiple treatment sessions, there  
402 was a significant between group difference in cervical ROM. Therefore, it seems  
403 reasonable to conclude that multiple treatment sessions may be needed to  
404 achieve significant between group differences. A recent study by Fernandez-de-  
405 las-Penas et al. [69] demonstrated that repeated applications of thoracic spine  
406 thrust manipulation continued to consistently improve cervical spine mobility  
407 over five sessions of manipulation, with no plateau effect. Future studies should  
408 continue to investigate the dose-response relationship of mobilisation and  
409 manipulation on cervical ROM.

410

411 Only **three** of the **seven** studies examining the effects of cervical  
412 mobilisation/manipulation in patients with neck pain demonstrated  
413 improvements in cervical ROM over the comparison group [64, 66, 72-74, 76,  
414 77]. However, all the studies that examined the effect of thoracic manipulation  
415 revealed improvements in cervical ROM for the manipulation group [65, 67-69,  
416 71]. A number of factors could have resulted in the differences between studies  
417 targeting the manipulation to the cervical versus thoracic spine, as it has  
418 recently been reported that manipulation may have both a biomechanical and  
419 neurophysiologic response [84, 85]. However, the exact physiological  
420 mechanism(s) as to how manual therapy works remains to be elucidated. No  
421 studies in **this** review compared the differences between cervical and thoracic

422 manipulation and its effects on cervical ROM, so no inferences can directly be  
423 made as to which technique is superior for this particular outcome. Yet it has  
424 recently been demonstrated that patients with neck pain receiving cervical  
425 manipulation experience greater improvements in pain and function in the short  
426 and long-term compared to patients receiving thoracic manipulation [86],  
427 possibly suggesting that targeting the area of symptoms with manual therapy  
428 might result in improved patient outcomes. This is supported by an additional  
429 study investigating biomechanical mechanisms related to manipulation at  
430 specific spinal levels [87]. It should be noted however that the findings of the  
431 present review relate specifically to improvements in measured cervical ROM  
432 only and not other patient or pain-related outcomes.

433

434 The results of two studies included in this review suggest that patients receiving  
435 thrust manipulation may experience greater improvements in cervical ROM than  
436 those receiving a non-thrust mobilisation [63, 72]. Other literature that has  
437 examined the effects of thrust manipulation versus non-thrust mobilisation has  
438 shown mixed results in terms of improvements in pain and function [88-91]. It  
439 also appears that in patients with neck pain, allowing the therapist to direct  
440 manual therapy at their preferred segment may result in no better improvements  
441 in cervical ROM (with the exception of cervical flexion) compared to a randomly  
442 selected segment [64]. These findings are similar to those demonstrated in  
443 patients with low back pain. Chiradejnant et al. [92] reported that a manual  
444 technique directed at a therapist-selected segment resulted in no greater  
445 improvement in lumbar ROM as compared to a group that received manual  
446 therapy directed at a randomly selected segment [92].

447

## 448 **Limitations**

449 There are a number of limitations to this review that should be considered. **Only**  
450 **a** small number of studies examining the diagnostic utility of cervical ROM were  
451 identified. It is possible that future prospective designs could find different  
452 magnitudes of diagnostic utility for cervical ROM in physiotherapy practice than  
453 those identified here. The majority of the included prognostic studies were  
454 single cohort designs so it is not known if cervical ROM will continue to be  
455 identified as a prognostic variable in future studies. **The present review** only  
456 included studies where the effects of mobilisation/manipulation techniques  
457 could be ascertained. It is quite possible that mobilisation/manipulation  
458 combined with other interventions, such as exercise, may result in greater  
459 improvements in cervical ROM than these manual techniques alone. The  
460 sample size in some of the studies is fairly small which may limit the ability to  
461 generalize to the entire population with neck pain. **The study selection was**  
462 **limited to articles published in the English language, which may have resulted in**  
463 **the omission of relevant articles.**

464

## 465 **Conclusions**

466 The most often used measurement tools for cervical ROM in the studies  
467 reviewed were the goniometer, inclinometer and CROM. There is limited  
468 evidence that cervical ROM may have utility as **one** component of assessment  
469 used to diagnose cervicogenic headache, cervical radiculopathy and clinically  
470 important cervical spine injury. There is conflicting evidence as to whether  
471 cervical ROM predicts future neck pain **onset and** some evidence it has some

472 prognostic value in patients with mechanical neck pain. Limited evidence  
473 suggests restricted cervical ROM is associated with negative outcomes and  
474 greater cervical ROM is associated with positive outcomes. There is conflicting  
475 evidence regarding whether mobilisation/manipulation improves cervical ROM.  
476

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483 *Conflict of Interest*

484 The authors have no conflicts of interest in for this review.

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805 ed. London: Butterworth Heinemann; 2001.

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**Table 1.** Methods of cervical range of motion measurement used in studies assessing cervical spine diagnosis, prognosis or outcomes of manipulation.

Measurement Method	Publication
Cervical Range of Motion Instrument (CROM) <sup>a</sup>	Castien et al 2012 Dunning et al 2012 Hall et al 2010 Hush et al 2009 Kanlayanaphotporn et al 2009 Kanlayanaphotporn et al 2010 Kasch et al 2001 Kasch et al 2008 Krauss et al 2008 Ogince et al 2007 Reid et al 2008 Yurkiw and Mior 1996
Universal goniometer	Cassidy et al 1992 Cleland et al 2007 (horizontal plane) Fernandez-de-las-Penas et al 2009 Gonzalez-Iglesias et al 2009a Gonzalez-Iglesias et al 2009b Martinez-Segura et al 2006 Mintken et al 2010 (horizontal plane) Nilsson et al 1996 Puentedura et al 2012 Wainner et al 2003 (horizontal plane) Whittingham and Nilsson 2001
Inclinometer (various types)	Cleland et al 2007 (sagittal and frontal planes) Cleland et al 2010 Koes et al 1992 Mintken et al 2010 (sagittal and frontal planes) Wainner et al 2003 (sagittal and frontal planes) Whittingham and Nilsson 2001 (frontal plane)
Cervical Measurement System (CMS) <sup>b</sup>	Borentstein et al 2010 Salo et al 2012
Fastrak System <sup>c</sup>	Amiri et al 2007 Jull et al 2007
Multi-Cervical Unit <sup>TMd</sup>	Keating et al 2005 Lau et al 2011
3-axis orientation sensor <sup>e</sup>	Tuttle 2005 Tuttle et al 2006
Visual estimation	Stiell et al 2001 Stiell et al 2003
Compass	Whittingham and Nilsson 2001 (horizontal plane)
Not specified	Raney et al 2009

<sup>a</sup>Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Kuntoväline Ltd, Helsinki, Finland

<sup>c</sup>Polhemus, Colchester, VT, USA

<sup>d</sup>BTE, Hanover, MD, USA

<sup>e</sup>MicroStrain Inc, Williston, VT, USA

**Table 2:** Studies investigating the utility of cervical range of motion in diagnosis (n=7).

Publication	Diagnosis	Criterion standard	Measurement of cervical ROM	Sample	Findings
Hall et al [2010]	CGH	Probable CGH as defined by Antonaci et al [2001] [93]	<i>Visual estimation:</i> $\geq 10^\circ$ limitation of expected rotation ROM on flexion-rotation test	n=60 patients (20 CGH, 20 migraine without aura, 20 multiple headache types), mean age 33 years, 63% female	Positive test had accuracy of +LR = 2.3 (95%CI 1.3-4.1) and -LR = 0.43 (95%CI 0.21-0.85)
Amiri et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al 1998] [94]	Not specified	n=108 patients with 2 or more concurrent intermittent headache types, mean age 37 years, 73% female, 37% prevalence of target diagnosis	Restricted extension (mean 29.6°) identified as one discriminating variable of CG vs non-CGH; headache classification from physical assessment items had +LR = 10.5 (95%CI 4.7-27.1), -LR = 0.24 (95%CI 0.16-0.39)
Jull et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al 1998] [94]	<i>Fastrak<sup>a</sup>:</i> 3 planes	n=73 patients with single classifiable intermittent headache of >1 year duration, mean age 40 years, 63% female, 25% prevalence of target diagnosis; n=57 asymptomatic controls, mean age 38 years, 67% female	Restricted extension identified as one discriminating variable of CG vs non-CGH and controls; headache classification from physical assessment items had 100% sensitivity and 94% specificity
Ogince et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al., 1998] [94] and symptomatic C1/2 dysfunction [Maitland et al 2001] [95]	<i>Visual estimation:</i> $\geq 10^\circ$ limitation of expected rotation ROM on flexion-rotation test	n=58 patients (23 CGH, 12 migraine with aura, 23 asymptomatic controls), mean age 42 years, 66% female	Positive test had +LR = 10.7 (95%CI 4.1-24.4) & -LR = 0.1 (95%CI 0.02-0.29); calculated from data reported for therapist 1
Stiell et al [2003]	Acute cervical spine injury	Cervical fracture, dislocation or ligamentous instability demonstrated by imaging	<i>Visual estimation:</i> restricted active cervical rotation ( $< 45^\circ$ in either direction) as item in Canadian C-Spine Rule	n=8283, alert and stable adults with acute head/neck trauma presenting to ER, mean age 38 years, 48% female, 2% prevalence of target diagnosis	Restricted rotation had +LR = 2.1 (95%CI 2-2.2) and -LR = 0.14 (95%CI 0.08-0.23); Canadian C-Spine Rule had +LR = 1.8 (95%CI 1.7-1.8) and -LR = 0.01 (95%CI 0.001-0.09).
Wainner et al [2003]	Cervical radiculopathy	Needle electromyography and nerve conduction study	<i>Goniometer:</i> 3 planes	n=82 patients with suspected cervical radiculopathy or carpal tunnel syndrome, mean age 45 years, 50% female, 23% prevalence of target diagnosis	Flexion $< 55^\circ$ had +LR = 1.5 (95%CI 1.2-2) & -LR = 0.27 (95%CI 0.07-1); rotation to the symptomatic side $< 60^\circ$ had +LR = 1.8 (95%CI 1.3-2.4) & -LR = 0.23

Stiell et al [2001]	Acute cervical spine injury	Cervical fracture, dislocation or ligamentous instability demonstrated by imaging	<i>Visual estimation:</i> restricted active cervical rotation (< 45° in either direction) as item in Canadian C-Spine Rule	n=8924, alert and stable adults with acute head/neck trauma presenting to ER, mean age 37 years, 48% female, 1.7% prevalence of target diagnosis	(95%CI 0.06-0.85)  Restricted rotation had +LR = 2.2 (95%CI 2.1-2.3) and -LR = 0.07 (95%CI 0.03-0.16). Derived Canadian C-Spine Rule had +LR = 1.7 (95%CI 1.7-1.7) & -LR = 0.0 (95%CI 0.0-0.07).
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Abbreviations: ROM, range of motion; CGH, cervicogenic headache; +LR, positive likelihood ratio; -LR, negative likelihood ratio; CHISG, Cervicogenic Headache International Study Group (CHISG); ER, emergency room.

<sup>a</sup>Polhemus, Colchester, VT, USA

**Table 3.** Studies investigating the utility of cervical range of motion in prognosis (n=14).

Publication	Target outcome(s)	Measurement of cervical ROM	Intervention(s)	Sample	Findings
Castien et al [2012]	≥ 50% reduction in headache days and self-rated ≥ 'much improved' at 8 and 26 weeks	<i>CROM<sup>a</sup></i> : sum of all directions	Thrust and non-thrust manipulation, exercise and postural education (maximum of 9 sessions)	n=145, chronic tension-type headache patients receiving manual therapy, 77% and 73% prevalence of target outcome at 8 and 26 weeks respectively	Greater baseline ROM positively associated with target outcome at 8 weeks (OR per ° = 1.01, 95%CI 1-1.02) and 26 weeks (OR per ° = 1.01, 95%CI 1-1.01)
Puentedura et al [2012]	≥ 'quite a bit better' on GROC by 3 <sup>rd</sup> visit	<i>Goniometer</i> : 3 planes	Cervical thrust manipulation, gentle active ROM exercises and education (3 sessions)	n=82, patients with mechanical neck pain, mean age 38 years, 59% female, 39% prevalence of target outcome	Side-to-side difference in rotation ≥ 10° independently predictive of target outcome (+LR 6.8, 98%CI 3.1-14.6)
Salo et al [2012]	> 7 days of neck pain over 1 year reported 6 years post-baseline	<i>CMS<sup>b</sup></i> : passive in 3 planes	None	n=192 (87% follow-up rate), asymptomatic volunteers, 100% female, 19% prevalence of target outcome	Baseline ROM (any plane) not associated with target outcome (AUC sagittal = 0.54 (95%CI 0.44-0.65); horizontal = 0.55 (95%CI 0.46-0.65); frontal = 0.56 (95%CI 0.45-0.76))
Borenstein et al [2010]	Sick leave of any length and sick leave ≥ 30 days at 2.5-3 years post WAD	<i>CMS</i> : 3 planes	(1) Exercise +/- MDT (2) Education	n=71, acute WAD grades 0-2, 20% prevalence of sick leave of any length and 15% prevalence of sick leave ≥ 30 days	Greater baseline ROM independently associated with reduced likelihood of target outcome
Cleland et al [2010]	Pain and NDI at 1 week, 4 weeks and 6 months	<i>Inclinometer</i> : extension < 30° as variable in 5-item CPR	(1) Thoracic thrust manipulation (1 <sup>st</sup> 2 sessions only) + Exercise; (2) Exercise (5 sessions over 4 weeks)	n=140, patients with neck pain, mean age 40 years, 69% female	No significant 2-way interaction between rule status and time for pain (p = .3) or NDI (p = .7)
Mintken et al [2010]	≥ 'moderately better' on GROC by 3 <sup>rd</sup> visit	<i>Goniometer &amp; inclinometer</i> : 3 planes	Cervical non-thrust manipulation, thoracic thrust manipulation, exercise and education (3 sessions)	n=80, patients with shoulder pain, mean age 41 years, 60% female, 61% prevalence of target outcome	Baseline ROM not associated with target outcome in any direction (p>.3)
Hush et al [2009]	Development of an episode of neck pain lasting >24 hours over 1 year	<i>CROM</i> : 3 planes	None	n=53, asymptomatic office workers, mean age 42 years, 64% female, incidence of target outcome 49% / year	Greater baseline flexion-extension independently associated with reduced likelihood of target outcome (HR = 0.97, 95%CI 0.94-0.99, p=0.03); no other associations between baseline ROM variables and target outcome

Raney et al [2009]	≥ 'a great deal better' on GROC at 3 weeks post baseline	<i>Measurement not specified</i> : 3 planes	Intermittent cervical traction and exercises (6 sessions over 3 weeks)	n=68, patients with neck pain, mean age 48 years, 56% female, 44% prevalence of target outcome	No significant bivariate relationship between baseline ROM variables and target outcome (95% CI of corresponding +LR and -LR all cross 1)
Kasch et al [2008]	Continued significant neck pain or disability at 1 year post whiplash injury	<i>CROM</i> : 3 planes	(1) Verbal information; (2) Booklet; (3) MDT; (4) Neck collar	n= 688, acute WAD grades 1-3, 64% female	Reduced baseline ROM independently associated with increased risk of target outcome (RR=4.6, CI not reported)
Cleland et al [2007]	≥ 'quite a bit better' on GROC by 3rd visit	<i>Goniometer &amp; inclinometer</i> : 3 planes	1. Thoracic thrust manipulation, cervical ROM exercises and patient education.	n=78, primary complaint of neck pain, mean age 42 years, 68% female, 54% prevalence of target outcome	Restricted extension ROM (< 30°) predictive of target outcome (+LR = 2.5, 95%CI 1.3-4.6)
Tuttle et al [2006]	Change in most limited direction between 1st and final session (maximum 6 sessions)	<i>3-axis orientation sensor<sup>c</sup></i> : change in most limited direction between 1st and 2nd session	1. Manual therapy (maximum 6 sessions)	n=29, patients with neck pain, mean age 55 years, 72% female	Significant positive association between change in most limited direction between 1st and 2nd session and target outcome (R <sup>2</sup> =0.57, p<0.001)
Keating et al [2005]	≥ 14/100 NDI improvement at discharge (median duration 6 weeks)	<i>Multi-cervical Unit<sup>d</sup></i> : 3 planes	1. Neck strengthening exercises (median duration 6 weeks)	n=122, patients with chronic neck pain, mean age 41 years, 65% female, 56% prevalence of target outcome	No baseline ROM variable was predictive of the target outcome
Tuttle [2005]	Between-session improvement of most limited direction by ≥ 5°	<i>3-axis orientation sensor<sup>c</sup></i> : within-session improvement in most limited direction by ≥ 5°	1. Manual therapy (maximum 6 sessions)	n=29, patients with neck pain, mean age 55 years, 72% female	Within-session improvement (≥ 5°) in most limited direction predictive of target outcome for flexion-extension (OR = 8, 95%CI 2.4-26.8) and rotation (OR = 21.3, 95%CI 4.3-96.1), but not lateral flexion (OR = 2.5, 95%CI 0.6-10.1)
Kasch et al [2001]	Failure to return to usual level of activity or work 1 year post WAD	<i>CROM</i> : 3 planes	None	n=141, patients with acute WAD, mean age 34 years, 52% female, 8% prevalence of target outcome	Restricted baseline total ROM ≥ 2 SD below mean of asymptomatic sample predictive of target outcome (73% sensitivity, 91% specificity)

Abbreviations: ROM, range of motion; OR, odds ratio; GROC, Global Rating of Change scale; +LR, positive likelihood ratio; AUC, area under the curve (denotes percentage of randomly drawn pairs of subjects correctly classified by their status on the predictor variable); WAD, whiplash associated disorder; MDT, McKenzie's Mechanical Diagnosis and Therapy; NDI, Neck Disability Index; CPR, clinical prediction rule; HR, hazard ratio; -LR, negative likelihood ratio; RR, relative risk.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Cervical Measurement System' Kuntoväline Ltd, Helsinki, Finland

<sup>c</sup>MicroStrain Inc, Williston, VT, USA

<sup>d</sup>BTE, Hanover, MD, USA

**Table 4.** Studies investigating the effects of thrust manipulation and non-thrust mobilisation on cervical ROM (n=15).

Publication	Study design	Measurement of cervical ROM	Interventions	Sample	Findings
Dunning et al [2012]	RCT	<i>CROM</i> <sup>a</sup> : Rotation in end range flexion at baseline and 48 hours post-intervention	(1) Upper cervical/thoracic thrust manipulation; (2) upper cervical/thoracic non-thrust mobilisation (1 session)	n=107, mechanical neck pain, any duration	Significant between group difference (favoring thrust manipulation)
Lau et al [2011]	RCT	<i>Multi-Cervical Unit</i> <sup>b</sup> : 3 planes at baseline, 4 weeks, 3 months and 6 months	(1) Thoracic thrust manipulation, infrared, education; (2) infrared, education (8 sessions over 4 weeks)	n=120, chronic neck pain	Significant between group difference (favoring thrust manipulation) in flexion, RLF, LLF and RRot at each time point
Kanlayanaphotporn et al [2010]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post intervention	(1) Cervical central non-thrust mobilisation; (2) cervical random (central, left or right unilateral) non-thrust mobilisation (1 session)	n=60, central or bilateral mechanical neck pain, any duration	No significant between group difference in ROM change in any direction
Fernandez-de-las-Penas et al [2009]	RCT, secondary analysis	Goniometer: 3 planes pre-and post-treatment within 1st, 3rd and 5th sessions	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (5 sessions)	n=45, acute mechanical neck pain	Significant between-group differences (favoring manipulation) in the magnitude of within-session ROM change in all directions
Gonzalez-Iglesias et al [2009a]	RCT	<i>Goniometer</i> : 3 planes at baseline and 5 weeks (2 weeks post discharge)	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (5 sessions)	n=45, acute mechanical neck pain	Significantly greater improvement in all directions in manipulation group
Gonzalez-Iglesias et al [2009b]	RCT	<i>Goniometer</i> : 3 planes at baseline and 4 weeks (1 week post discharge)	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (6 sessions)	n=45, acute mechanical neck pain	Significantly greater improvement in all directions in manipulation group
Kanlayanaphotporn et al [2009]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post intervention	(1) Preferred non-thrust cervical mobilisation on symptomatic side; (2) random non-thrust cervical mobilisation (1 session)	n=60, unilateral neck pain of > 1 week duration	No significant between group difference in ROM change, except for increased flexion in the 'preferred mobilisation' group
Krauss et al [2008]	RCT	<i>CROM</i> : Bilateral rotation at baseline and immediately post intervention.	(1) Thoracic thrust translatoric spinal manipulation (1 session); (2) No treatment	n=32, mechanical neck pain aggravated by cervical rotation	Significant between-group difference (favouring manipulation) in the magnitude of change in

Reid et al [2008]	RCT	<i>CROM</i> : 3 planes at baseline, 4 and 12 weeks	(1) Cervical SNAG in provocative direction; (2) detuned laser (4-6 sessions)	n=34, chronic cervicogenic dizziness	RRot and LRot No significant between-group difference in ROM change across time
Martinez-Segura et al [2006]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post-intervention	(1) Cervical thrust manipulation; (2) cervical non-thrust manipulation (sustained hold) (1 session)	n=70, mechanical neck pain of > 1 month duration	Significant between-group difference (favouring thrust manipulation) in the magnitude of ROM change in all directions
Whittingham and Nilsson [2001]	RCT, cross-over (3 week phases)	<i>Inclinometer</i> : horizontal and frontal planes at baseline, 3,6,9 and 12 weeks	(1) Cervical thrust manipulation (toggle-recoil); (2) sham manipulation with deactivated instrument; (3) no treatment (3 sessions)	n=105, chronic cervicogenic headache	Significant favorable changes in RLF, LLF, RRot and LRot following the spinal manipulation phase
Nilsson et al [1996]	RCT	<i>Goniometer</i> : 3 planes (passive) at baseline and 1 week post-intervention	(1) Cervical thrust manipulation; (2) low-level laser therapy and massage (6 sessions)	n=39, cervicogenic headache	No significant between-group difference in any ROM direction
Yurkiw and Mior [1996]	RCT	<i>CROM</i> : Lateral flexion at baseline and 5 minutes post-intervention	(1) Cervical manipulation (diversified technique); (2) Activator adjusting instrument (1 session)	n=14, subacute unilateral neck pain	No significant between group difference in ROM change
Cassidy et al [1992]	RCT	<i>Goniometer</i> : 3 planes at baseline and 5 minutes post-intervention	(1) Cervical thrust manipulation; (2) muscle energy technique (single session)	n=100, mechanical unilateral neck pain	No significant between-group difference in ROM change post-intervention
Koes et al [1992]	RCT	<i>Inclinometer</i> : most limited spinal movement at baseline, 3, 6 and 12 weeks	(1) 'Physiotherapy' – exercises, massage and electro-thermotherapeutic modalities; (2) spinal thrust manipulation and non-thrust mobilisation; (3) GP management; (4) Placebo – detuned SWD/US (maximum 3 months)	n=256, pain or self-reported limited range of motion in the back or neck for > 6 weeks	Changes in spinal mobility among the 4 groups were small and showed no consistent pattern

Abbreviations: ROM, range of motion; RCT, randomized controlled trial; RLF, right lateral flexion; LLF, left lateral flexion; RRot, right rotation; TENS, transcutaneous electrical nerve stimulation; SNAG, sustained natural apophyseal glide; LRot, left rotation; SWD/US, shortwave diathermy/ultrasound.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>BTE, Hanover, MD, USA

**Table 5.** Summary of results of review.

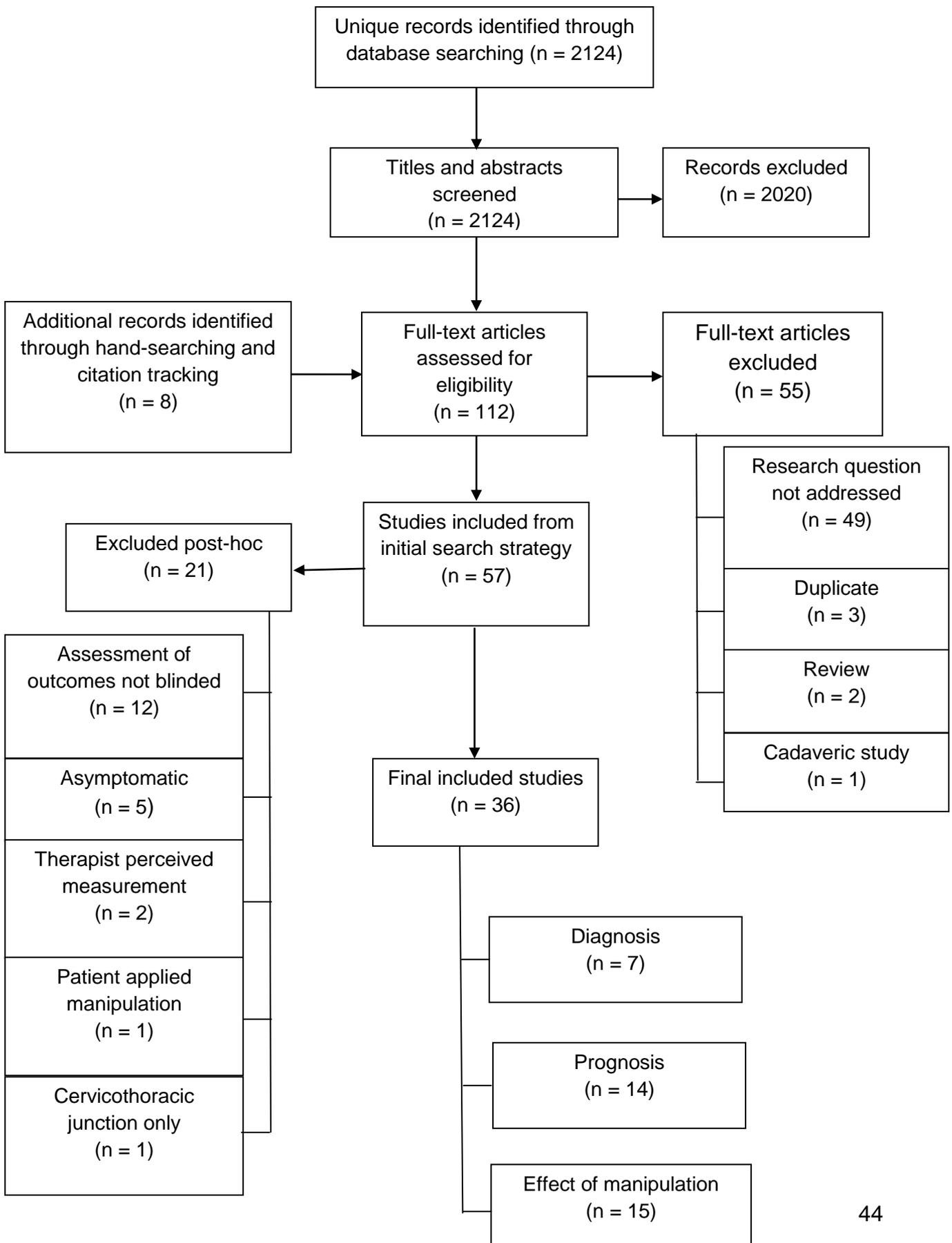
<b>Construct</b>	<b>Conclusion</b>
Measurement of cervical ROM as an outcome of mobilisation/manipulation in patients with neck pain	Commonly available instruments were used most often (e.g., such as goniometer, inclinometer or CROM <sup>a</sup> ), with few studies utilizing sensors or other devices. All included studies reported measurements in two dimensions in the cardinal planes of movement, with no studies including three dimensional, coupled or functional movements.
Cervical ROM in diagnosis	There is limited evidence from seven studies that cervical ROM may have diagnostic utility as a component of assessments used to diagnose cervicogenic headache (4 studies), cervical radiculopathy (1 study) and clinically important cervical spine injuries <sup>b</sup> (2 studies).
Cervical ROM in prognosis	There is conflicting evidence from two prospective studies that restricted cervical ROM may or may not be associated with a future onset of neck pain in a population asymptomatic at baseline. There is limited evidence that restricted cervical ROM is associated with negative outcomes (3 studies) and greater cervical ROM is associated with positive outcomes (2 studies).
Effects of mobilisation/manipulation on cervical ROM	There is limited evidence from nine studies that suggests mobilisation/manipulation may be beneficial in increasing cervical ROM in patients with mechanical neck pain, however, more than a single session is necessary. There were more studies reporting increased cervical ROM following thoracic thrust manipulation (5 studies) than cervical thrust manipulation (3 studies) or both (1 study). This evidence conflicted with studies reporting that cervical ROM does not change following cervical thrust manipulation (3 studies) or cervical non-thrust mobilisation (3 studies).

Abbreviation: ROM, range of motion.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Clinically important cervical spine injuries were defined as any fracture, dislocation, or ligamentous instability demonstrated by diagnostic imaging

**Figure 1.** Flow chart of search strategy and study selection





**Appendix 1. Search strategy.**

AMED via Ovid (1985 to September 2012)

MEDLINE via Ovid (1946 to September 2012)

Embase via Ovid (1974 to September 2012)

1	exp spine/ or spine.mp or spinal.mp
2	range of motion, articular/ or range of movement.mp or range of motion.mp
3	1 and 2
4	limit 3 to english language
5	limit 4 to humans
6	exp diagnosis/ or diagnos\$.mp
7	5 and 6
8	remove duplicates from 7
9	exp prognosis/ or prognos\$.mp or outcome.mp
10	5 and 9
11	remove duplicates from 10
12	spinal manipulation.mp or exp Manipulation, Spinal/ or exp Manipulation, Chiropractic/ or exp Chiropractic/ or chiropractic.mp or exp Manipulation, Osteopathic/ or osteopath\$.mp or exp Osteopathic Medicine/ or exp Physical Therapy Modalities/ or physical therapy.mp or physiotherapy.mp or exp Musculoskeletal Manipulations/ or craniosacral therapy.mp or exp Manipulation, Orthopedic/ or muscle energy technique.mp or neuromuscular facilitation.mp or manual therapy.mp or (cervical adj3 mobili\$).mp or (cervical adj3 manipul\$).mp or (thoracic adj3 mobili\$).mp or (thoracic adj3 manipul\$).mp or (lumbar adj3 mobili\$).mp or (lumbar adj3 manipul\$).mp or (spin\$ adj4 manipulat\$).mp or manipulation.mp or "physical therapy (specialty)"/ or (manual adj2 therap\$).mp or mobilisation\$.mp or mobilization\$.mp
13	5 and 12
14	remove duplicates from 13
15	8 or 11 or 14
16	remove duplicates from 15
17	exp cervical vertebrae/ or exp neck/ or cervical.mp or neck.mp or cervico\$.mp
18	16 and 17

CINAHL via EBSCO Host

S1	mh spine+ or spine or spinal
S2	mh range of motion or range of motion or range of movement
S3	S1 and S2
S4	mh diagnosis+ or diagnos*
S5	S3 and S4
S6	mh prognosis+ or prognos* or outcome
S7	S3 and S6
S8	spinal manipulation or mh manual therapy+ or mh manipulation, chiropractic+ or mh chiropractic+ or chiropractic or mh manipulation, osteopathic+ or osteopath* or mh osteopathic medicine+ or mh physical therapy+ or physical therapy or physiotherapy or craniosacral therapy or mh manipulation, orthopedic+ or muscle energy technique or neuromuscular facilitation or manual therapy or (cervical n3 mobili*) or (cervical n3 manipul*) or (thoracic n3 mobili*) or (thoracic n3 manipul*) or (lumbar n3 mobili*) or (lumbar n3 manipul*) or (spin* n4 manipul*) or manipulation or (manual n2 therap*) or mobilisation* or mobilization*
S9	S3 and S8
S10	S5 or S7 or S9
S11	mh cervical vertebrae+ or mh neck pain or mh neck or cervical or neck or cervico*
S12	S10 and S11

Index to the Chiropractic Literature

S1	Subject:"spine" or spine or spinal
S2	Subject:"Range of Motion, Articular/physiology" or range of motion or range of movement
S3	S1 and S2
S4	Subject:"Back Pain / diagnosis" or diagnos*
S5	S3 and S4
S6	Subject:"Prognosis" or prognos* or outcome
S7	S3 and S6
S8	Subject:"Manipulation, Cervical" or Subject:"Manipulation, Chiropractic" or Subject:"Manipulation, Joint" OR Subject:"Manipulation, Orthopedic" OR Subject:"Manipulation, Osteopathic" or Subject:"Manipulation, Spinal" OR Subject:"Musculoskeletal Manipulations" OR Subject:"Physical Therapy" OR Subject:"Physical Therapy Modalities" OR Subject:"Physical Therapy Techniques" or Subject:"Osteopathic Medicine" or manipulation* or mobilisation* or mobilization* or manual therap*
S9	S3 and S6
S10	S5 or S7 or S9
S11	Subject:"Cervical Vertebrae" or Subject:"Neck" or Subject:"Neck Injuries" or Subject:"Neck Pain" or neck or cervical or cervico*
S12	S10 and S11

## Diagnostic Studies

Study	Risk of Bias in Patient Selection	Risk of Bias in Index Test(s)	Risk of Bias in Reference Standard	Risk of Bias in Flow and Timing
Amiri et al, 2007	High	Low	High	Low
Hall et al, 2010	High	Low	High	Low
Jull et al, 2007	High	Low	High	Low
Ogince et al, 2007	High	Low	High	Low
Stiell et al, 2001	Low	Low	Low	High
Stiell et al, 2003	Low	Low	Low	High
Wainner et al, 2003	Low	High	Low	Low

## Prognostic Studies

Study	<u>Study Participation</u> The study sample represents the population of interest on key characteristics, sufficient to limit potential bias to the results.	<u>Study Attrition</u> Loss to follow-up is not associated with key characteristics (ie, the study data adequately represent the sample), sufficient to limit potential bias	<u>Prognostic Factor Measurement</u> The prognostic factor of interest is adequately measured in study participants to sufficiently limit potential bias.	<u>Outcome Measurement</u> The outcome of interest is adequately measured in study participants to sufficiently limit potential bias.	<u>Confounding measurement and account</u> Important potential confounders are appropriately accounted for, limiting potential bias with respect to the prognostic factor of interest	<u>Analysis</u> The statistical analysis is appropriate for the design of the study, limiting potential for presentation of invalid results.
Borenstein et al, 2010	Partly	Partly	Yes	Partly	Yes	No
Castien et al, 2012	Yes	Yes	Partly	Yes	Yes	Yes
Cleland et al, 2007	Yes	Yes	Partly	Yes	Yes	Yes
Cleland et al, 2010	Yes	Yes	Yes	Yes	No	Yes
Hush et al, 2009	Partly	Yes	Yes	Yes	Yes	Yes
Kasch et al, 2001	Yes	Yes	Yes	Yes	Yes	Yes
Kasch et al, 2008	Yes	Yes	Yes	Yes	Yes	Partly
Keating et al, 2005	Partly	Partly	Yes	Yes	Yes	Yes
Mintken et al, 2010	Yes	Yes	Yes	Yes	Yes	Yes
Puentedura et al, 2012	Yes	Yes	Partly	Yes	Yes	Yes
Raney et al, 2009	Partly	Partly	No	Yes	Yes	Yes
Salo et al, 2012	Partly	Yes	Partly	Partly	No	Partly
Tuttle, 2005	Partly	Unsure	Yes	Yes	No	Partly
Tuttle et al, 2006	Partly	Unsure	Yes	Yes	No	Partly

# Appendix 2.3

## Interventional Studies

Study	1 Eligibility criteria were specified	2 Subjects were randomly allocated to groups (in a crossover study, subjects were randomly allocated an order in which to treatments were received)	3 Allocation was concealed	4 The groups were similar at baseline regarding the most important prognostic indicators	5 There was blinding of all subjects	6 There was blinding of all therapists who administered the therapy	7 There was blinding of all assessors who measured at least one key outcome	8 Measures of at least one key outcome were obtained from more than 85% of the subjects initially allocated to groups	9 All subjects for whom outcome measures were available received the treatment or control condition as allocated or, where this was not the case, data for at least one key outcome was analysed by "intention to treat"	10 The results of between-group statistical comparisons are reported for at least one key outcome	11 The study provides both point measures and measures of variability for at least one key outcome	Score
Cassidy et al, 1992	Yes	Yes	No	No	No	No	Yes	Yes	No	Yes	Yes	6
Dunning et al, 2012	Yes	Yes	Yes	Yes	No	No	Yes	Yes	Yes	Yes	Yes	9
Fernandez-De-Las-Penas et al, 2009	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	No	Yes	Yes	9
Gonzalez-Iglesias et al, 2009	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	10
Gonzalez-Iglesias et al, 2009	Yes	Yes	Yes	Yes	No	No	Yes	Yes	No	Yes	Yes	8
Kanlayanaphotporn et al, 2009	No	Yes	Yes	Yes	No	No	Yes	Yes	No	Yes	Yes	7
Kanlayanaphotporn et al, 2010	Yes	Yes	Yes	Yes	No	No	Yes	Yes	No	Yes	Yes	8
Koeset al, 1992	Yes	Yes	Yes	Yes	No	No	Yes	Yes	Yes	Yes	Yes	9
Krauss et al, 2008	Yes	Yes	Yes	Yes	No	No	Yes	No	No	Yes	Yes	7
Lau et al, 2011	Yes	Yes	Yes	Yes	No	No	Yes	Yes	Yes	Yes	Yes	9
Martinez-Segura et al, 2006	Yes	Yes	No	Yes	No	No	Yes	No	No	Yes	Yes	6
Nilsson et al, 1996	Yes	Yes	No	No	No	No	Yes	Yes	No	Yes	Yes	6
Whittingham et al, 2001	Yes	Yes	No	Yes	No	No	Yes	Yes	No	Yes	Yes	7
Reid et al, 2008	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	10
Yurkiw et al, 1996	Yes	Yes	Yes	Yes	No	No	Yes	Yes	No	Yes	Yes	8

1 **ABSTRACT**

2 **Background**

3 Clinicians commonly assess cervical range of motion (ROM), but it has rarely  
4 been critically evaluated for its ability to contribute to patient diagnosis or  
5 prognosis, or whether it is affected by mobilisation/manipulation.

6 **Objectives**

7 This review summarises the methods used to measure cervical ROM in  
8 research involving patients with cervical spine disorders, reviews the evidence  
9 for using cervical ROM in patient diagnosis, prognosis, and evaluation of the  
10 effects of mobilisation/manipulation on cervical ROM.

11 **Data sources and study selection**

12 A systematic search of MEDLINE, EMBASE, CINAHL, AMED and ICL  
13 databases was conducted, addressing one of four constructs related to  
14 cervical ROM: measurement, diagnosis, prognosis, and the effects of  
15 mobilisation/manipulation on cervical ROM.

16 **Study appraisal and synthesis**

17 Two independent raters appraised methodological quality using the QUADAS-  
18 2 tool for diagnostic studies, the QUIPS tool for prognostic studies and the  
19 PEDro scale for interventional studies. Heterogeneity of studies prevented  
20 meta-analysis.

21 **Results**

22 Thirty-six studies met the criteria and findings showed there is limited  
23 evidence for the diagnostic value of cervical ROM in cervicogenic headache,  
24 cervical radiculopathy and cervical spine injury. There is conflicting evidence  
25 for the prognostic value of cervical ROM, though restricted ROM appears

26 associated with negative outcomes while greater ROM is associated with  
27 positive outcomes. There is conflicting evidence as to whether cervical ROM  
28 increases or decreases following mobilisation/manipulation.

### 29 **Conclusion and Implications of Key Findings**

30 Cervical ROM has value as one component of assessment, but clinicians  
31 should be cautious about making clinical judgments primarily on the basis of  
32 cervical ROM.

### 33 **Funding**

34 This collaboration was supported by an internal grant from the Faculty of  
35 Health, The University of Newcastle.

36

### 37 **Key Words**

38 Cervical vertebrae, measurement, physical therapy techniques, manipulation  
39 (spinal)

40

41

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44

## 1 Introduction

2 Musculoskeletal disorders of the cervical spine are common, with the overall  
3 prevalence of neck pain reported as high as 87% in the general population [1].  
4 Consequently, cervical spine disorders are associated with significant  
5 economic, societal and personal burden [2]. The costs associated with neck  
6 pain range from €30 million annually in Sweden (all spinal conditions [3]), to US  
7 \$8 billion annually in the US [4]. Therefore, there is an immediate need to  
8 develop more effective assessment and treatment regimes to address the  
9 symptoms and sequelae associated with cervical spine disorders.

10

11 As pathophysiology of the majority of neck pain conditions is not clear [5, 6],  
12 treatment usually focuses on addressing physical impairments. One of the most  
13 commonly assessed physical impairments in clinical practice is range of motion  
14 (ROM) of the cervical spine. Cervical ROM can be assessed with a variety of  
15 reliable tools [7], and clinicians generally view it as important and necessary to  
16 measure in patients with mechanical neck disorders [8, 9]. However, the clinical  
17 utility of ROM is unclear; that is, its predictive validity in the clinical setting for  
18 diagnosis, prognosis and treatment outcomes. Further, a variety of methods  
19 have been reported for measuring cervical ROM, with little consistency in  
20 methods used in published clinical studies. Therefore, the clinical value of  
21 different methods of cervical ROM measurement for clinical decision-making  
22 remains uncertain. To the best of our knowledge, no previous study has  
23 systematically or critically evaluated the clinical utility of cervical ROM in terms  
24 of its ability to contribute to accurate patient diagnosis or prognosis, or whether  
25 it is affected by various interventions applied by physiotherapists.

26

27 One recommended intervention used by physiotherapists to reduce neck pain  
28 and associated disability is cervical and thoracic mobilisation and manipulation  
29 [6]. The term “mobilisation/manipulation” refers to a “manual therapy technique  
30 comprising a continuum of skilled passive movements to the joints and/or  
31 related soft tissues that are applied at varying speeds and amplitudes, including  
32 a small-amplitude/high-velocity therapeutic movement” [10], and a more  
33 detailed description regarding manipulative techniques can be found elsewhere  
34 [11]. Though it is commonly believed that cervical ROM should improve after  
35 applying a mobilisation or manipulation, it is unknown whether this belief can be  
36 substantiated by evidence.

37

38 This systematic review aims to critically appraise and summarise the literature  
39 related to the use of ROM as an impairment measure in patients with disorders  
40 related to the cervical spine. Specifically, this review will (1) summarise the  
41 methods used to measure cervical ROM in research studies involving patients  
42 with cervical spine disorders, (2) determine the evidence for using cervical ROM  
43 in patient diagnosis and prognosis, and (3) determine the potential effects of  
44 manipulation (thrust and non-thrust) on cervical ROM. The results of this review  
45 will provide evidence to guide clinicians in their evaluation of cervical ROM and  
46 its value within their clinical assessment.

47

## 48 **Methods**

49 A systematic review of the literature was conducted using AMED, CINAHL,  
50 EMBASE, ICL and MEDLINE databases from inception to September 2012. A

51 sensitive search strategy (Appendix 1) was developed incorporating the key  
52 study themes of ROM, cervical spine, diagnosis, prognosis and spinal  
53 manipulation. The specific search strings used in this study were informed by  
54 terms and phrases used in recent reviews on related research topics [12-14].

55

56 Identified studies were downloaded into reference management software and  
57 duplicate records were removed. Consistent with the objectives of this review,  
58 animal, cadaveric, and simulation studies were excluded. Conference  
59 proceedings, commentaries, editorials, letters, reviews, n=1 designs, and  
60 articles published in languages other than English were also excluded.

61

62 To be included, studies needed to address at least one of the following four  
63 research questions: (1) how is cervical ROM measured as an outcome of  
64 mobilisation/manipulation?, (2) how is cervical ROM used in diagnosis?, (3) how  
65 is cervical ROM used in prognosis?, and (4) what is the effect of  
66 mobilisation/manipulation on cervical ROM?

67

68 The operational definition of ROM employed in this review was the arc through  
69 which movement occurs at a joint or a series of joints [10]. For a study to be  
70 considered for the research question concerning diagnosis, it needed to have  
71 investigated the utility of cervical ROM to distinguish between patients with and  
72 without a clinically meaningful diagnosis (e.g., mechanical neck pain, whiplash,  
73 headache, cervical radiculopathy). Diagnostic studies that simply examined  
74 differences in cervical ROM between symptomatic (ie with a neck disorder) and  
75 asymptomatic populations were excluded as this study design is known to

76 overestimate diagnostic accuracy [15, 16]. To be considered for the research  
77 question concerning prognosis, studies must have investigated the utility of  
78 baseline cervical ROM measurements to predict future patient outcomes (e.g.,  
79 function, disability, patient-perceived improvement). Prognostic and single  
80 cohort prescriptive clinical prediction rule studies where cervical ROM had been  
81 investigated as a candidate variable were considered in this category. Finally,  
82 clinical trials were considered for the research question concerning  
83 mobilisation/manipulation if they satisfied the operational definition of  
84 mobilisation/manipulation used in this review which was a manual therapy  
85 technique comprising a continuum of skilled passive movements to the joints  
86 and/or related soft tissues that are applied at varying speeds and amplitudes,  
87 including a small-amplitude and high-velocity therapeutic movement [10].  
88 Consistent with this definition, both thrust manipulation and non-thrust spinal  
89 mobilisation techniques were considered for inclusion. To clarify, in this  
90 manuscript we use the term “manipulation” to refer specifically to techniques  
91 involving a high-velocity low-amplitude thrust, whereas mobilisation refers to  
92 techniques performed as lower velocity, passive movements of a joint. Studies  
93 employing multi-modal interventions were included provided the independent  
94 effect of manipulation was able to be delineated (i.e., groups were similar in  
95 every other aspect except for the manipulation). Studies limited to the  
96 investigation of the effect of traction, soft tissue massage, neural mobilisation,  
97 and peripheral joint mobilisation/manipulation techniques were excluded.  
98  
99 Two independent reviewers screened the titles and abstracts of the identified  
100 studies to determine eligibility. Studies judged by either reviewer to be eligible in

101 the first stage of screening were progressed to the second stage in which  
102 eligibility was evaluated using the full-text of each study. Two independent  
103 reviewers conducted the second round of screening with concordance  
104 determining study inclusion and exclusion. Disagreement between the  
105 reviewers was resolved by consensus or if needed by a third reviewer. Citation  
106 tracking and hand-searching of recent relevant journals were used as  
107 supplementary search strategies. The absolute and chance-corrected degree of  
108 agreement ( $\kappa$ ) between the two reviewers was calculated for each stage of  
109 study selection.

110

111 Additional eligibility criteria were developed and applied following the initial  
112 study selection process in recognition of the heterogeneity and quality variance  
113 of the included sample. Studies were excluded post-hoc if the assessment of  
114 outcomes was not blinded [17-28], the study samples were asymptomatic  
115 (prognostic studies excluded) [29-33], manipulation was applied by the patient  
116 [34], or if ROM measures did not include measurements cephalad of C7 [35], as  
117 well as survey studies of therapist beliefs and practices [36, 37]. Corresponding  
118 authors were contacted as required for clarification to determine a study's  
119 eligibility for inclusion, and when no response was received, those studies were  
120 excluded [21, 28].

121

122 The methodological quality of included studies was appraised by two  
123 independent raters using the QUADAS-2 (Quality Assessment Tool for  
124 Diagnostic Accuracy Studies) tool [15] for diagnostic studies, the QUIPS  
125 (Quality in Prognosis Studies) tool [38] for prognostic studies and the PEDro

126 (Physiotherapy Evidence Database) scale [39] for interventional studies.  
127 Disagreement on the assessment of quality appraisal items was resolved by  
128 consensus or if needed by a third rater. A sum methodology score was  
129 calculated for each interventional study evaluated with the PEDro scale [40].

130

131 No attempt was made to statistically pool the results of individual studies as a  
132 consequence of the heterogeneity of the included samples. A synthesis of the  
133 key findings is reported with consideration of the risk of bias of individual studies  
134 as identified by the quality appraisal.

135

## 136 **Results**

137 The database search strategy identified 2124 unique studies, with 104 of these  
138 progressing to the second stage of study selection. An additional eight studies  
139 were identified via citation tracking and hand-searching of relevant journals.

140 One hundred and twelve studies were therefore evaluated in the second stage  
141 of screening by full-text, with 57 determined to be eligible for inclusion. Reasons  
142 for study exclusion are detailed in Figure 1. Post-hoc eligibility screening  
143 resulted in the further exclusion of 21 studies, the most common reason being  
144 the non-blinding of outcome assessment. Consequently, 36 studies comprise  
145 the final included sample with seven studies relating to the use of cervical ROM  
146 in determining a diagnosis, 14 studies concerning the use of cervical ROM in  
147 establishing a prognosis and 15 studies investigating the effect of manipulation  
148 on cervical ROM.

149

150 The absolute degree of rater agreement for the first and second stages of study  
151 selection was 97% and 89% respectively. The chance-corrected degree of  
152 agreement was 'moderate' for screening by title and abstract ( $\kappa=0.54$ , 95%CI  
153 0.44-0.64), and 'good' for screening by full-text ( $\kappa=0.78$ , 95%CI 0.67-0.9) [41].  
154 All but two episodes of rater disagreement were resolved by consensus, with  
155 the third reviewer later excluding both of these studies.

156

157 The methodological quality varied between studies, but was generally fair to  
158 good. For diagnostic studies assessed with QUADAS-2, the main risks of bias  
159 occurred in patient selection (four of seven studies with high risk of bias) and in  
160 the reference standard (four of seven studies with high risk of bias). For  
161 prognostic studies evaluated with QUIPS, there was generally low risk of bias  
162 for most items. The main risks of bias related to a study's ability to account for  
163 potential confounders of the prognostic factor of interest (four of 14 studies with  
164 potential bias). Interventional studies evaluated with the PEDro tool received  
165 overall scores ranging from six to ten (out of a possible 11). Nine of the 15  
166 interventional studies scored eight or above, indicating moderately high  
167 methodological quality [40]. The methodological quality scores for each of the  
168 included studies are provided in Appendix 2.

169

## 170 **Measurement**

171 The most common instruments used to measure ROM in the included studies  
172 are the Cervical Range of Motion (CROM) device (Performance Attainment  
173 Associates, Minnesota, IL, USA), the universal goniometer and the inclinometer

174 (Table 1). All studies reported cervical ROM in two dimensions in the cardinal  
175 planes of movement, except for two studies using an orientation sensor [42, 43].

176

## 177 **Diagnosis**

178 Four studies which included a total of 356 subjects examined the utility of  
179 cervical ROM in identifying the presence of cervicogenic headache (i.e.,  
180 headache symptoms originating from the cervical spine) [44-47]. Two of these  
181 (n=238) examined the diagnostic utility of cervical ROM in the cardinal planes  
182 (flexion, extension, rotation and lateral flexion) [45, 47]. Both of these studies  
183 found that restricted active cervical extension ROM exhibited diagnostic utility  
184 for identifying cervicogenic headache if the patient also had pain with manual  
185 examination of the upper cervical spine. The remaining two studies (n=118)  
186 examined the accuracy of the flexion-rotation test, a multiplanar passive  
187 movement assessment tool for identifying patients with cervicogenic headache  
188 [44, 46]. In these two studies, a 10 degree or greater reduction in the expected  
189 normal range of motion of 44 degrees (visually estimated by the therapist during  
190 the flexion-rotation test with subsequent measurement with the CROM) was  
191 considered to be positive.

192

193 One study (n=82) examined the ability of active cervical ROM to identify  
194 patients with cervical radiculopathy [48]. Results demonstrated that cervical  
195 flexion less than 55 degrees and cervical rotation toward the side of the  
196 symptoms less than 60 degrees were useful in identifying patients with cervical  
197 radiculopathy (positive likelihood ratio (LR) 1.5, and 1.8 respectively [49]).

198

199 Two studies with large cohorts (n=8924 [50], n=8283 [49]) identified that  
200 cervical rotation ROM restriction was able to identify patients with clinically  
201 important cervical spine injuries, which were defined as any fracture,  
202 dislocation, or ligamentous instability demonstrated by diagnostic imaging [49,  
203 50]. The positive LR<sub>s</sub> from these two studies were 2.1 and 2.2, while the  
204 negative LR<sub>s</sub> were 0.14 and 0.07. Further details on the studies related to the  
205 ability of cervical ROM to assist with making a diagnosis can be found in Table  
206 2.

207

## 208 **Prognosis**

209 Two prospective studies were identified that included 245 asymptomatic  
210 individuals who had baseline measurements recorded and were then contacted  
211 at follow-up to ascertain if they had developed neck pain since the time of the  
212 original measurements [51, 52]. Hush et al [2009] [58] demonstrated that at a  
213 one-year follow-up, greater cervical flexion and extension ROM at baseline was  
214 associated with a reduced likelihood of experiencing neck pain. At a long term  
215 follow-up of six years, Salo et al [2012] [51] found that baseline cervical ROM  
216 was not associated with the development of neck pain.

217

218 Twelve prognostic studies that included patients with neck pain were identified.  
219 Four of these found that cervical ROM was not predictive of outcome [53-56]  
220 while five found cervical ROM to be predictive of the target outcome [57-61].  
221 The direction and magnitude of range of motion that predicted outcome varied  
222 considerably among studies. Overall, when patients exhibited greater ranges of  
223 motion at baseline (regardless of magnitude and plane of movement) they were

224 more likely to experience a positive outcome (e.g., self-rated symptom  
225 improvement using scales such as the Global Rating of Change or Neck  
226 Disability Index, Table 3). When patients exhibited reduced cervical ROM they  
227 were more likely to experience a worse outcome (e.g., continued significant  
228 pain, or failure to return to usual activity level, Table 3). Two other studies  
229 demonstrated that the degree of change of cervical ROM was predictive of  
230 between session improvements for the particular movement identified as  
231 impaired [42, 43]. Conversely, one study found restricted cervical extension was  
232 a prognostic factor for a better outcome [62]. However, this was an initial cohort  
233 study and cervical extension limitation was not identified as a prognostic  
234 variable in a recent clinical trial [54]. Further details of studies investigating the  
235 ability of cervical ROM to assist with making a prognosis can be found in Table  
236 3.

237

### 238 **Manipulation Effects**

239 We identified 15 studies investigating the effect of mobilisation/manipulation  
240 (cervical, nine studies; thoracic, five studies; both, one study) on cervical ROM  
241 [63-77]. Of these studies, 13 examined the effects of mobilisation/manipulation  
242 on range of motion in patients with mechanical neck pain [63-69, 71-74, 76, 77].  
243 Of the other two, one examined the effects of mobilisation in a group of patients  
244 with cervicogenic dizziness [70] and the other manipulation on patients with  
245 cervicogenic headache [75]. All of these studies demonstrated a positive  
246 improvement (nine studies) in cervical ROM or no difference between groups  
247 (six studies). Of the six studies demonstrating no difference between groups,

248 one study was performed on patients with cervicogenic dizziness [70] and one  
249 was performed on patients with cervicogenic headache [75].  
250  
251 Of the nine studies where mobilisation/manipulation was directed at the cervical  
252 spine only, [64, 66, 70, 72-77] three demonstrated a statistically significant  
253 difference in cervical ROM between groups in favour of mobilisation [71] or  
254 manipulation [66, 72, 73]. One of these studies only exhibited a statistically  
255 significant difference between groups for flexion in favor of a 'preferred'  
256 mobilisation technique (unilateral posteroanterior [PA] pressure on the side of  
257 symptoms, i.e., ipsilateral) versus a randomly selected technique (central, ipsi-  
258 or contralateral unilateral PA mobilisation) [66]. Five studies examined the  
259 effects of thoracic spine manipulation on cervical ROM, all of which  
260 demonstrated statistically significant group differences with greater  
261 improvements in cervical ROM for the manipulation groups [65, 67-69, 71]. The  
262 final study used both cervical and thoracic manipulation versus non-thrust  
263 mobilisation. In this study, the thrust group demonstrated a significantly greater  
264 improvement in cervical ROM [63]. Further details of studies examining the  
265 effects of mobilisation/manipulation on cervical ROM can be found in Table 4.

266

## 267 **Discussion**

268 This systematic review examines the use of cervical ROM measurement in  
269 diagnosis, prognosis and the evaluation of the effects of  
270 mobilisation/manipulation in patients with mechanical neck pain. Most studies  
271 used commonly available instruments to measure cervical ROM, including  
272 goniometers, inclinometers and the CROM instrument. Cervical ROM was

273 reported as useful in diagnosing cervicogenic headache in four studies, cervical  
274 radiculopathy in one study and clinically important cervical spine injuries in two  
275 studies. Only one of two prospective studies found cervical ROM predictive of  
276 the incidence of neck pain in asymptomatic individuals. Five prognostic studies  
277 in patients with neck pain found that cervical ROM was predictive of patient  
278 outcome (e.g., symptom improvement), with another two reporting it was  
279 predictive of ROM changes following treatment. Nine studies found that cervical  
280 ROM improves following mobilisation/manipulation, with another six reporting  
281 no difference between groups. The results from these studies suggest there is  
282 limited evidence for the diagnostic and predictive value of cervical ROM for  
283 some neck pain conditions. There is general support for a positive effect on  
284 cervical ROM from mobilisation/manipulation, though the evidence is limited.  
285 There are more studies supporting thoracic rather than cervical thrust  
286 manipulation, and thrust rather than non-thrust techniques for improving cervical  
287 ROM. Further research is needed to determine the value of cervical ROM in  
288 diagnosis and prognosis, and in evaluating treatment effects. Cervical ROM  
289 may be informative to clinical decision making and is moderately valuable when  
290 considered as one component within a suite of assessment items.

291

## 292 **Measurement**

293 The majority of the instruments used to quantify ROM in studies assessing the  
294 effects of manipulation used instruments commonly found in clinical practice,  
295 such as goniometers, inclinometers or the CROM device. These methods have  
296 demonstrated adequate reliability [78, 79] and are not overly expensive, but  
297 cannot evaluate coupled or three-dimensional movement. Assessing coupled

298 and three-dimensional movement may provide greater insight into the potential  
299 mechanisms behind the changes that occur following manipulation in the  
300 cervical spine.

301

## 302 **Diagnosis**

303 In general, the findings from this current systematic review suggest that cervical  
304 ROM is potentially a valuable tool for establishing a diagnosis in individuals with  
305 cervicogenic headache, cervical radiculopathy and clinically important cervical  
306 spine injuries. Restricted cervical extension appears to be useful when  
307 classifying individuals with cervicogenic headache if the patient also exhibits  
308 pain with manual examination of the upper cervical spine [45, 47]. It should be  
309 recognized that when restricted ROM was identified along with the other  
310 physical assessment items, the diagnostic accuracy was reasonably high [80].  
311 Additionally, positive findings for the cervical flexion-rotation test have been  
312 shown to be useful in differentiating between patients who have cervicogenic  
313 headaches or headaches-of other origin [44, 46]. Considering that both Hall et  
314 al. [44] and Ogince et al. [44, 46] found the flexion-rotation test helpful in  
315 identifying patients with cervicogenic headache, this may be a useful tool in  
316 clinical practice to identify individuals who would be appropriate candidates for  
317 physical therapy treatment, as it has been shown to be effective in this  
318 population [81]. However, it should be recognized that although a positive  
319 flexion-rotation test resulted in a moderate to large increase in probability that a  
320 patient had a cervicogenic headache in the study by Ogince et al. [44, 46], Hall  
321 et al. [44] found that it only resulted in a small increase in probability [80]  
322 suggesting future research evaluating this test is needed.

323

324 One study was identified that found that reduced cervical flexion and reduced  
325 rotation toward a patient's symptomatic side was indicative of cervical  
326 radiculopathy [48]. However, these items exhibited small LRs with the lower  
327 bound estimate of the 95% CIs approaching one (Table 2). This suggests that  
328 restrictions in these movements only alter the probability of the patient having  
329 cervical radiculopathy to a small and relatively unimportant degree [80].

330

331 Stiell and colleagues developed [50] and validated [49] the Canadian Cervical  
332 Spine Rule that contains a number of items from the patient history, as well as  
333 observed cervical ROM, and exhibits excellent utility for ruling out a clinically  
334 important cervical spine injury if a patient tests negative on the rules. Restricted  
335 cervical rotation less than 45 degrees was included in the combination of  
336 variables (high risk factors mandating radiographical examination and low risk  
337 factors allowing safe assessment of cervical ROM) that comprised the rule. In  
338 fact, when a patient could rotate their cervical spine greater than 45 degrees to  
339 both the left and right there was a moderate (derivation study [50]) to large  
340 (validation study [49]) increase in probability that the patient did not have a  
341 cervical fracture, dislocation or ligamentous instability.

342

### 343 **Prognosis**

344 It is important to identify predictive factors that could potentially be useful in the  
345 clinical decision making process when attempting to prevent neck pain from  
346 becoming chronic. If these individuals could be identified, appropriate  
347 interventions may be able to prevent chronicity of symptoms. However, it cannot

348 be determined from this systematic review if cervical ROM is beneficial in  
349 identifying asymptomatic individuals who are likely to develop neck pain in the  
350 future. The two studies that longitudinally followed asymptomatic individuals  
351 reported conflicting results. Hush et al. [52] noted that greater ROM was  
352 associated with a reduced likelihood of developing neck pain, while Salo et al.  
353 [51] found cervical ROM was not prognostic for the future development of neck  
354 pain. One of the reasons for these inconsistent findings may be differences in  
355 the populations sampled in the two studies. Hush et al. [52] used a sample of  
356 office workers, while Salo et al. [51] used a combination of blue and white collar  
357 workers and students. Perhaps the office workers in the Hush study were more  
358 likely to develop neck pain because of prolonged desk sitting and associated  
359 neck flexion postures which have been identified as predictors of neck pain [82],  
360 as compared to the mixed sample in the Salo study [51]. The operational  
361 definition of neck pain also differed between the two studies, with Salo et al. [51]  
362 documenting cases of neck pain lasting greater than seven days, while Hush et  
363 al. [52] included patients with symptoms lasting greater than 24 hours. This may  
364 also explain the differences in the incidence of neck pain between the Salo et  
365 al. [51] and Hush et al. [52] studies of 19% and 49%, respectively. Therefore,  
366 more studies are necessary to determine the prognostic value of cervical ROM  
367 for determining the likelihood of developing a future episode of neck pain.

368

369 Although four studies found cervical ROM was not predictive of patient  
370 outcomes [53-56], there were five studies that suggest cervical ROM may have  
371 some predictive value. Five prospective cohort studies [57-61] reported that  
372 lower ranges of cervical ROM were predictive of a worse prognosis (in terms of

373 patient-reported pain, function or disability), while greater ranges of motion were  
374 predictive of a better prognosis. However, the plane of movement, amount of  
375 restriction and outcome of interest differs between these studies, making it  
376 difficult to make definitive conclusions.

377

### 378 **Manipulation**

379 This systematic review identified 15 studies examining the effects of spinal  
380 mobilisation/manipulation on cervical ROM. Overall, mobilisation/manipulation  
381 may be beneficial in increasing cervical ROM in patients with mechanical neck  
382 pain (nine out of 13 studies). Unfortunately, the heterogeneity of the identified  
383 articles prevents meta-analysis. It may be argued that in most of the reported  
384 studies, the magnitude of the effects of increases in cervical ROM was small  
385 when examining between group differences. However, in many circumstances  
386 these differences did surpass the minimal detectable change (MDC) scores as  
387 identified by Fletcher et al. [83]. It should also be noted that in the majority of  
388 the studies the within-group changes in cervical ROM for patients receiving  
389 mobilisation/manipulation did exceed these reported MDC values where the  
390 comparison group did not. Furthermore, all studies using a population of  
391 patients with neck pain either exhibited a significant improvement over the  
392 comparison group or no difference between groups. No studies found cervical  
393 ROM to be worse in the mobilisation/manipulation group. However, spinal  
394 mobilisation/manipulation does not appear to be effective for increasing cervical  
395 ROM in patients with cervicogenic dizziness [70] or cervicogenic headache [75].  
396

397 Each of the four studies examining the effects of mobilisation/manipulation on  
398 cervical ROM in patients with mechanical neck pain that did not show a  
399 difference between groups provided only one treatment session using either  
400 cervical thrust manipulation or non-thrust mobilisation. In every study identified  
401 where the manipulative intervention included multiple treatment sessions, there  
402 was a significant between group difference in cervical ROM. Therefore, it seems  
403 reasonable to conclude that multiple treatment sessions may be needed to  
404 achieve significant between group differences. A recent study by Fernandez-de-  
405 las-Penas et al. [69] demonstrated that repeated applications of thoracic spine  
406 thrust manipulation continued to consistently improve cervical spine mobility  
407 over five sessions of manipulation, with no plateau effect. Future studies should  
408 continue to investigate the dose-response relationship of mobilisation and  
409 manipulation on cervical ROM.

410

411 Only three of the seven studies examining the effects of cervical  
412 mobilisation/manipulation in patients with neck pain demonstrated  
413 improvements in cervical ROM over the comparison group [64, 66, 72-74, 76,  
414 77]. However, all the studies that examined the effect of thoracic manipulation  
415 revealed improvements in cervical ROM for the manipulation group [65, 67-69,  
416 71]. A number of factors could have resulted in the differences between studies  
417 targeting the manipulation to the cervical versus thoracic spine, as it has  
418 recently been reported that manipulation may have both a biomechanical and  
419 neurophysiologic response [84, 85]. However, the exact physiological  
420 mechanism(s) as to how manual therapy works remains to be elucidated. No  
421 studies in this review compared the differences between cervical and thoracic

422 manipulation and its effects on cervical ROM, so no inferences can directly be  
423 made as to which technique is superior for this particular outcome. Yet it has  
424 recently been demonstrated that patients with neck pain receiving cervical  
425 manipulation experience greater improvements in pain and function in the short  
426 and long-term compared to patients receiving thoracic manipulation [86],  
427 possibly suggesting that targeting the area of symptoms with manual therapy  
428 might result in improved patient outcomes. This is supported by an additional  
429 study investigating biomechanical mechanisms related to manipulation at  
430 specific spinal levels [87]. It should be noted however that the findings of the  
431 present review relate specifically to improvements in measured cervical ROM  
432 only and not other patient or pain-related outcomes.

433

434 The results of two studies included in this review suggest that patients receiving  
435 thrust manipulation may experience greater improvements in cervical ROM than  
436 those receiving a non-thrust mobilisation [63, 72]. Other literature that has  
437 examined the effects of thrust manipulation versus non-thrust mobilisation has  
438 shown mixed results in terms of improvements in pain and function [88-91]. It  
439 also appears that in patients with neck pain, allowing the therapist to direct  
440 manual therapy at their preferred segment may result in no better improvements  
441 in cervical ROM (with the exception of cervical flexion) compared to a randomly  
442 selected segment [64]. These findings are similar to those demonstrated in  
443 patients with low back pain. Chiradejnant et al. [92] reported that a manual  
444 technique directed at a therapist-selected segment resulted in no greater  
445 improvement in lumbar ROM as compared to a group that received manual  
446 therapy directed at a randomly selected segment [92].

447

## 448 **Limitations**

449 There are a number of limitations to this review that should be considered. Only  
450 a small number of studies examining the diagnostic utility of cervical ROM were  
451 identified. It is possible that future prospective designs could find different  
452 magnitudes of diagnostic utility for cervical ROM in physiotherapy practice than  
453 those identified here. The majority of the included prognostic studies were  
454 single cohort designs so it is not known if cervical ROM will continue to be  
455 identified as a prognostic variable in future studies. The present review only  
456 included studies where the effects of mobilisation/manipulation techniques  
457 could be ascertained. It is quite possible that mobilisation/manipulation  
458 combined with other interventions, such as exercise, may result in greater  
459 improvements in cervical ROM than these manual techniques alone. The  
460 sample size in some of the studies is fairly small which may limit the ability to  
461 generalize to the entire population with neck pain. The study selection was  
462 limited to articles published in the English language, which may have resulted in  
463 the omission of relevant articles.

464

## 465 **Conclusions**

466 The most often used measurement tools for cervical ROM in the studies  
467 reviewed were the goniometer, inclinometer and CROM. There is limited  
468 evidence that cervical ROM may have utility as one component of assessment  
469 used to diagnose cervicogenic headache, cervical radiculopathy and clinically  
470 important cervical spine injury. There is conflicting evidence as to whether  
471 cervical ROM predicts future neck pain onset and some evidence it has some

472 prognostic value in patients with mechanical neck pain. Limited evidence  
473 suggests restricted cervical ROM is associated with negative outcomes and  
474 greater cervical ROM is associated with positive outcomes. There is conflicting  
475 evidence regarding whether mobilisation/manipulation improves cervical ROM.  
476

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483 *Conflict of Interest*

484 The authors have no conflicts of interest in for this review.

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807

**Table 1.** Methods of cervical range of motion measurement used in studies assessing cervical spine diagnosis, prognosis or outcomes of manipulation.

Measurement Method	Publication
Cervical Range of Motion Instrument (CROM) <sup>a</sup>	Castien et al 2012 Dunning et al 2012 Hall et al 2010 Hush et al 2009 Kanlayanaphotporn et al 2009 Kanlayanaphotporn et al 2010 Kasch et al 2001 Kasch et al 2008 Krauss et al 2008 Ogince et al 2007 Reid et al 2008 Yurkiw and Mior 1996
Universal goniometer	Cassidy et al 1992 Cleland et al 2007 (horizontal plane) Fernandez-de-las-Penas et al 2009 Gonzalez-Iglesias et al 2009a Gonzalez-Iglesias et al 2009b Martinez-Segura et al 2006 Mintken et al 2010 (horizontal plane) Nilsson et al 1996 Puentedura et al 2012 Wainner et al 2003 (horizontal plane) Whittingham and Nilsson 2001
Inclinometer (various types)	Cleland et al 2007 (sagittal and frontal planes) Cleland et al 2010 Koes et al 1992 Mintken et al 2010 (sagittal and frontal planes) Wainner et al 2003 (sagittal and frontal planes) Whittingham and Nilsson 2001 (frontal plane)
Cervical Measurement System (CMS) <sup>b</sup>	Borentstein et al 2010 Salo et al 2012
Fastrak System <sup>c</sup>	Amiri et al 2007 Jull et al 2007
Multi-Cervical Unit <sup>TMd</sup>	Keating et al 2005 Lau et al 2011
3-axis orientation sensor <sup>e</sup>	Tuttle 2005 Tuttle et al 2006
Visual estimation	Stiell et al 2001 Stiell et al 2003
Compass	Whittingham and Nilsson 2001 (horizontal plane)
Not specified	Raney et al 2009

<sup>a</sup>Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Kuntoväline Ltd, Helsinki, Finland

<sup>c</sup>Polhemus, Colchester, VT, USA

<sup>d</sup>BTE, Hanover, MD, USA

<sup>e</sup>MicroStrain Inc, Williston, VT, USA

**Table 2:** Studies investigating the utility of cervical range of motion in diagnosis (n=7).

Publication	Diagnosis	Criterion standard	Measurement of cervical ROM	Sample	Findings
Hall et al [2010]	CGH	Probable CGH as defined by Antonaci et al [2001] [93]	<i>Visual estimation:</i> $\geq 10^\circ$ limitation of expected rotation ROM on flexion-rotation test	n=60 patients (20 CGH, 20 migraine without aura, 20 multiple headache types), mean age 33 years, 63% female	Positive test had accuracy of +LR = 2.3 (95%CI 1.3-4.1) and -LR = 0.43 (95%CI 0.21-0.85)
Amiri et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al 1998] [94]	Not specified	n=108 patients with 2 or more concurrent intermittent headache types, mean age 37 years, 73% female, 37% prevalence of target diagnosis	Restricted extension (mean 29.6°) identified as one discriminating variable of CG vs non-CGH; headache classification from physical assessment items had +LR = 10.5 (95%CI 4.7-27.1), -LR = 0.24 (95%CI 0.16-0.39)
Jull et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al 1998] [94]	<i>Fastrak<sup>a</sup></i> : 3 planes	n=73 patients with single classifiable intermittent headache of >1 year duration, mean age 40 years, 63% female, 25% prevalence of target diagnosis; n=57 asymptomatic controls, mean age 38 years, 67% female	Restricted extension identified as one discriminating variable of CG vs non-CGH and controls; headache classification from physical assessment items had 100% sensitivity and 94% specificity
Ogince et al [2007]	CGH	Symptomatic criteria of the CHISG diagnostic criteria [Sjaastad, et al., 1998] [94] and symptomatic C1/2 dysfunction [Maitland et al 2001] [95]	<i>Visual estimation:</i> $\geq 10^\circ$ limitation of expected rotation ROM on flexion-rotation test	n=58 patients (23 CGH, 12 migraine with aura, 23 asymptomatic controls), mean age 42 years, 66% female	Positive test had +LR = 10.7 (95%CI 4.1-24.4) & -LR = 0.1 (95%CI 0.02-0.29); calculated from data reported for therapist 1
Stiell et al [2003]	Acute cervical spine injury	Cervical fracture, dislocation or ligamentous instability demonstrated by imaging	<i>Visual estimation:</i> restricted active cervical rotation ( $< 45^\circ$ in either direction) as item in Canadian C-Spine Rule	n=8283, alert and stable adults with acute head/neck trauma presenting to ER, mean age 38 years, 48% female, 2% prevalence of target diagnosis	Restricted rotation had +LR = 2.1 (95%CI 2-2.2) and -LR = 0.14 (95%CI 0.08-0.23); Canadian C-Spine Rule had +LR = 1.8 (95%CI 1.7-1.8) and -LR = 0.01 (95%CI 0.001-0.09).
Wainner et al [2003]	Cervical radiculopathy	Needle electromyography and nerve conduction study	<i>Goniometer:</i> 3 planes	n=82 patients with suspected cervical radiculopathy or carpal tunnel syndrome, mean age 45 years, 50% female, 23% prevalence of target diagnosis	Flexion $< 55^\circ$ had +LR = 1.5 (95%CI 1.2-2) & -LR = 0.27 (95%CI 0.07-1); rotation to the symptomatic side $< 60^\circ$ had +LR = 1.8 (95%CI 1.3-2.4) & -LR = 0.23

Stiell et al [2001]	Acute cervical spine injury	Cervical fracture, dislocation or ligamentous instability demonstrated by imaging	<i>Visual estimation:</i> restricted active cervical rotation (< 45° in either direction) as item in Canadian C-Spine Rule	n=8924, alert and stable adults with acute head/neck trauma presenting to ER, mean age 37 years, 48% female, 1.7% prevalence of target diagnosis	(95%CI 0.06-0.85)  Restricted rotation had +LR = 2.2 (95%CI 2.1-2.3) and -LR = 0.07 (95%CI 0.03-0.16). Derived Canadian C-Spine Rule had +LR = 1.7 (95%CI 1.7-1.7) & -LR = 0.0 (95%CI 0.0-0.07).
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Abbreviations: ROM, range of motion; CGH, cervicogenic headache; +LR, positive likelihood ratio; -LR, negative likelihood ratio; CHISG, Cervicogenic Headache International Study Group (CHISG); ER, emergency room.

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**Table 3.** Studies investigating the utility of cervical range of motion in prognosis (n=14).

Publication	Target outcome(s)	Measurement of cervical ROM	Intervention(s)	Sample	Findings
Castien et al [2012]	≥ 50% reduction in headache days and self-rated ≥ 'much improved' at 8 and 26 weeks	<i>CROM<sup>a</sup></i> : sum of all directions	Thrust and non-thrust manipulation, exercise and postural education (maximum of 9 sessions)	n=145, chronic tension-type headache patients receiving manual therapy, 77% and 73% prevalence of target outcome at 8 and 26 weeks respectively	Greater baseline ROM positively associated with target outcome at 8 weeks (OR per ° = 1.01, 95%CI 1-1.02) and 26 weeks (OR per ° = 1.01, 95%CI 1-1.01)
Puentedura et al [2012]	≥ 'quite a bit better' on GROC by 3 <sup>rd</sup> visit	<i>Goniometer</i> : 3 planes	Cervical thrust manipulation, gentle active ROM exercises and education (3 sessions)	n=82, patients with mechanical neck pain, mean age 38 years, 59% female, 39% prevalence of target outcome	Side-to-side difference in rotation ≥ 10° independently predictive of target outcome (+LR 6.8, 98%CI 3.1-14.6)
Salo et al [2012]	> 7 days of neck pain over 1 year reported 6 years post-baseline	<i>CMS<sup>b</sup></i> : passive in 3 planes	None	n=192 (87% follow-up rate), asymptomatic volunteers, 100% female, 19% prevalence of target outcome	Baseline ROM (any plane) not associated with target outcome (AUC sagittal = 0.54 (95%CI 0.44-0.65); horizontal = 0.55 (95%CI 0.46-0.65); frontal = 0.56 (95%CI 0.45-0.76))
Borenstein et al [2010]	Sick leave of any length and sick leave ≥ 30 days at 2.5-3 years post WAD	<i>CMS</i> : 3 planes	(1) Exercise +/- MDT (2) Education	n=71, acute WAD grades 0-2, 20% prevalence of sick leave of any length and 15% prevalence of sick leave ≥ 30 days	Greater baseline ROM independently associated with reduced likelihood of target outcome
Cleland et al [2010]	Pain and NDI at 1 week, 4 weeks and 6 months	<i>Inclinometer</i> : extension < 30° as variable in 5-item CPR	(1) Thoracic thrust manipulation (1 <sup>st</sup> 2 sessions only) + Exercise; (2) Exercise (5 sessions over 4 weeks)	n=140, patients with neck pain, mean age 40 years, 69% female	No significant 2-way interaction between rule status and time for pain (p = .3) or NDI (p = .7)
Mintken et al [2010]	≥ 'moderately better' on GROC by 3 <sup>rd</sup> visit	<i>Goniometer &amp; inclinometer</i> : 3 planes	Cervical non-thrust manipulation, thoracic thrust manipulation, exercise and education (3 sessions)	n=80, patients with shoulder pain, mean age 41 years, 60% female, 61% prevalence of target outcome	Baseline ROM not associated with target outcome in any direction (p>.3)
Hush et al [2009]	Development of an episode of neck pain lasting >24 hours over 1 year	<i>CROM</i> : 3 planes	None	n=53, asymptomatic office workers, mean age 42 years, 64% female, incidence of target outcome 49% / year	Greater baseline flexion-extension independently associated with reduced likelihood of target outcome (HR = 0.97, 95%CI 0.94-0.99, p=0.03); no other associations between baseline ROM variables and target outcome

Raney et al [2009]	≥ 'a great deal better' on GROC at 3 weeks post baseline	<i>Measurement not specified</i> : 3 planes	Intermittent cervical traction and exercises (6 sessions over 3 weeks)	n=68, patients with neck pain, mean age 48 years, 56% female, 44% prevalence of target outcome	No significant bivariate relationship between baseline ROM variables and target outcome (95% CI of corresponding +LR and -LR all cross 1)
Kasch et al [2008]	Continued significant neck pain or disability at 1 year post whiplash injury	<i>CROM</i> : 3 planes	(1) Verbal information; (2) Booklet; (3) MDT; (4) Neck collar	n= 688, acute WAD grades 1-3, 64% female	Reduced baseline ROM independently associated with increased risk of target outcome (RR=4.6, CI not reported)
Cleland et al [2007]	≥ 'quite a bit better' on GROC by 3rd visit	<i>Goniometer &amp; inclinometer</i> : 3 planes	1. Thoracic thrust manipulation, cervical ROM exercises and patient education.	n=78, primary complaint of neck pain, mean age 42 years, 68% female, 54% prevalence of target outcome	Restricted extension ROM (< 30°) predictive of target outcome (+LR = 2.5, 95%CI 1.3-4.6)
Tuttle et al [2006]	Change in most limited direction between 1st and final session (maximum 6 sessions)	<i>3-axis orientation sensor<sup>c</sup></i> : change in most limited direction between 1st and 2nd session	1. Manual therapy (maximum 6 sessions)	n=29, patients with neck pain, mean age 55 years, 72% female	Significant positive association between change in most limited direction between 1st and 2nd session and target outcome (R <sup>2</sup> =0.57, p<0.001)
Keating et al [2005]	≥ 14/100 NDI improvement at discharge (median duration 6 weeks)	<i>Multi-cervical Unit<sup>d</sup></i> : 3 planes	1. Neck strengthening exercises (median duration 6 weeks)	n=122, patients with chronic neck pain, mean age 41 years, 65% female, 56% prevalence of target outcome	No baseline ROM variable was predictive of the target outcome
Tuttle [2005]	Between-session improvement of most limited direction by ≥ 5°	<i>3-axis orientation sensor<sup>c</sup></i> : within-session improvement in most limited direction by ≥ 5°	1. Manual therapy (maximum 6 sessions)	n=29, patients with neck pain, mean age 55 years, 72% female	Within-session improvement (≥ 5°) in most limited direction predictive of target outcome for flexion-extension (OR = 8, 95%CI 2.4-26.8) and rotation (OR = 21.3, 95%CI 4.3-96.1), but not lateral flexion (OR = 2.5, 95%CI 0.6-10.1)
Kasch et al [2001]	Failure to return to usual level of activity or work 1 year post WAD	<i>CROM</i> : 3 planes	None	n=141, patients with acute WAD, mean age 34 years, 52% female, 8% prevalence of target outcome	Restricted baseline total ROM ≥ 2 SD below mean of asymptomatic sample predictive of target outcome (73% sensitivity, 91% specificity)

Abbreviations: ROM, range of motion; OR, odds ratio; GROC, Global Rating of Change scale; +LR, positive likelihood ratio; AUC, area under the curve (denotes percentage of randomly drawn pairs of subjects correctly classified by their status on the predictor variable); WAD, whiplash associated disorder; MDT, McKenzie's Mechanical Diagnosis and Therapy; NDI, Neck Disability Index; CPR, clinical prediction rule; HR, hazard ratio; -LR, negative likelihood ratio; RR, relative risk.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Cervical Measurement System' Kuntoväline Ltd, Helsinki, Finland

<sup>c</sup>MicroStrain Inc, Williston, VT, USA

<sup>d</sup>BTE, Hanover, MD, USA

**Table 4.** Studies investigating the effects of thrust manipulation and non-thrust mobilisation on cervical ROM (n=15).

Publication	Study design	Measurement of cervical ROM	Interventions	Sample	Findings
Dunning et al [2012]	RCT	<i>CROM<sup>a</sup></i> : Rotation in end range flexion at baseline and 48 hours post-intervention	(1) Upper cervical/thoracic thrust manipulation; (2) upper cervical/thoracic non-thrust mobilisation (1 session)	n=107, mechanical neck pain, any duration	Significant between group difference (favoring thrust manipulation)
Lau et al [2011]	RCT	<i>Multi-Cervical Unit<sup>b</sup></i> : 3 planes at baseline, 4 weeks, 3 months and 6 months	(1) Thoracic thrust manipulation, infrared, education; (2) infrared, education (8 sessions over 4 weeks)	n=120, chronic neck pain	Significant between group difference (favoring thrust manipulation) in flexion, RLF, LLF and RRot at each time point
Kanlayanaphotporn et al [2010]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post intervention	(1) Cervical central non-thrust mobilisation; (2) cervical random (central, left or right unilateral) non-thrust mobilisation (1 session)	n=60, central or bilateral mechanical neck pain, any duration	No significant between group difference in ROM change in any direction
Fernandez-de-las-Penas et al [2009]	RCT, secondary analysis	Goniometer: 3 planes pre-and post-treatment within 1st, 3rd and 5th sessions	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (5 sessions)	n=45, acute mechanical neck pain	Significant between-group differences (favoring manipulation) in the magnitude of within-session ROM change in all directions
Gonzalez-Iglesias et al [2009a]	RCT	<i>Goniometer</i> : 3 planes at baseline and 5 weeks (2 weeks post discharge)	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (5 sessions)	n=45, acute mechanical neck pain	Significantly greater improvement in all directions in manipulation group
Gonzalez-Iglesias et al [2009b]	RCT	<i>Goniometer</i> : 3 planes at baseline and 4 weeks (1 week post discharge)	(1) Thoracic thrust manipulation, TENS, heat, massage; (2) TENS, heat, massage (6 sessions)	n=45, acute mechanical neck pain	Significantly greater improvement in all directions in manipulation group
Kanlayanaphotporn et al [2009]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post intervention	(1) Preferred non-thrust cervical mobilisation on symptomatic side; (2) random non-thrust cervical mobilisation (1 session)	n=60, unilateral neck pain of > 1 week duration	No significant between group difference in ROM change, except for increased flexion in the 'preferred mobilisation' group
Krauss et al [2008]	RCT	<i>CROM</i> : Bilateral rotation at baseline and immediately post intervention.	(1) Thoracic thrust translatoric spinal manipulation (1 session); (2) No treatment	n=32, mechanical neck pain aggravated by cervical rotation	Significant between-group difference (favouring manipulation) in the magnitude of change in

Reid et al [2008]	RCT	<i>CROM</i> : 3 planes at baseline, 4 and 12 weeks	(1) Cervical SNAG in provocative direction; (2) detuned laser (4-6 sessions)	n=34, chronic cervicogenic dizziness	RRot and LRot No significant between-group difference in ROM change across time
Martinez-Segura et al [2006]	RCT	<i>CROM</i> : 3 planes at baseline and 5 minutes post-intervention	(1) Cervical thrust manipulation; (2) cervical non-thrust manipulation (sustained hold) (1 session)	n=70, mechanical neck pain of > 1 month duration	Significant between-group difference (favouring thrust manipulation) in the magnitude of ROM change in all directions
Whittingham and Nilsson [2001]	RCT, cross-over (3 week phases)	<i>Inclinometer</i> : horizontal and frontal planes at baseline, 3,6,9 and 12 weeks	(1) Cervical thrust manipulation (toggle-recoil); (2) sham manipulation with deactivated instrument; (3) no treatment (3 sessions)	n=105, chronic cervicogenic headache	Significant favorable changes in RLF, LLF, RRot and LRot following the spinal manipulation phase
Nilsson et al [1996]	RCT	<i>Goniometer</i> : 3 planes (passive) at baseline and 1 week post-intervention	(1) Cervical thrust manipulation; (2) low-level laser therapy and massage (6 sessions)	n=39, cervicogenic headache	No significant between-group difference in any ROM direction
Yurkiw and Mior [1996]	RCT	<i>CROM</i> : Lateral flexion at baseline and 5 minutes post-intervention	(1) Cervical manipulation (diversified technique); (2) Activator adjusting instrument (1 session)	n=14, subacute unilateral neck pain	No significant between group difference in ROM change
Cassidy et al [1992]	RCT	<i>Goniometer</i> : 3 planes at baseline and 5 minutes post-intervention	(1) Cervical thrust manipulation; (2) muscle energy technique (single session)	n=100, mechanical unilateral neck pain	No significant between-group difference in ROM change post-intervention
Koes et al [1992]	RCT	<i>Inclinometer</i> : most limited spinal movement at baseline, 3, 6 and 12 weeks	(1) 'Physiotherapy' – exercises, massage and electro-thermotherapeutic modalities; (2) spinal thrust manipulation and non-thrust mobilisation; (3) GP management; (4) Placebo – detuned SWD/US (maximum 3 months)	n=256, pain or self-reported limited range of motion in the back or neck for > 6 weeks	Changes in spinal mobility among the 4 groups were small and showed no consistent pattern

Abbreviations: ROM, range of motion; RCT, randomized controlled trial; RLF, right lateral flexion; LLF, left lateral flexion; RRot, right rotation; TENS, transcutaneous electrical nerve stimulation; SNAG, sustained natural apophyseal glide; LRot, left rotation; SWD/US, shortwave diathermy/ultrasound.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>BTE, Hanover, MD, USA

**Table 5.** Summary of results of review.

<b>Construct</b>	<b>Conclusion</b>
Measurement of cervical ROM as an outcome of mobilisation/manipulation in patients with neck pain	Commonly available instruments were used most often (e.g., such as goniometer, inclinometer or CROM <sup>a</sup> ), with few studies utilizing sensors or other devices. All included studies reported measurements in two dimensions in the cardinal planes of movement, with no studies including three dimensional, coupled or functional movements.
Cervical ROM in diagnosis	There is limited evidence from seven studies that cervical ROM may have diagnostic utility as a component of assessments used to diagnose cervicogenic headache (4 studies), cervical radiculopathy (1 study) and clinically important cervical spine injuries <sup>b</sup> (2 studies).
Cervical ROM in prognosis	There is conflicting evidence from two prospective studies that restricted cervical ROM may or may not be associated with a future onset of neck pain in a population asymptomatic at baseline. There is limited evidence that restricted cervical ROM is associated with negative outcomes (3 studies) and greater cervical ROM is associated with positive outcomes (2 studies).
Effects of mobilisation/manipulation on cervical ROM	There is limited evidence from nine studies that suggests mobilisation/manipulation may be beneficial in increasing cervical ROM in patients with mechanical neck pain, however, more than a single session is necessary. There were more studies reporting increased cervical ROM following thoracic thrust manipulation (5 studies) than cervical thrust manipulation (3 studies) or both (1 study). This evidence conflicted with studies reporting that cervical ROM does not change following cervical thrust manipulation (3 studies) or cervical non-thrust mobilisation (3 studies).

Abbreviation: ROM, range of motion.

<sup>a</sup>Cervical Range of Motion Instrument, Performance Attainment Associates, Minnesota, IL, USA

<sup>b</sup>Clinically important cervical spine injuries were defined as any fracture, dislocation, or ligamentous instability demonstrated by diagnostic imaging

**Figure 1.** Flow chart of search strategy and study selection

